



**Innovative and Current Approaches for  
Streamlining the Design, Deployment, and  
Operation of Near-term and Emerging Reactors**

## **Micromechanical models based on NTFA approach**

*(Visco)-Plastic strain heterogeneity in composite and polycrystalline materials and the  
Nonuniform Transformation Field Analysis (NTFA)*

Dr. Rodrigue Largenton – Senior Expert  
EDF R&D - Materials and Mechanics of Components Department  
Civil and Fuel Engineering Group  
CEA Cadarache Bât. 151 13108 St Paul lez Durance Cedex FRANCE  
[rodrigue.largenton@edf.fr](mailto:rodrigue.largenton@edf.fr)

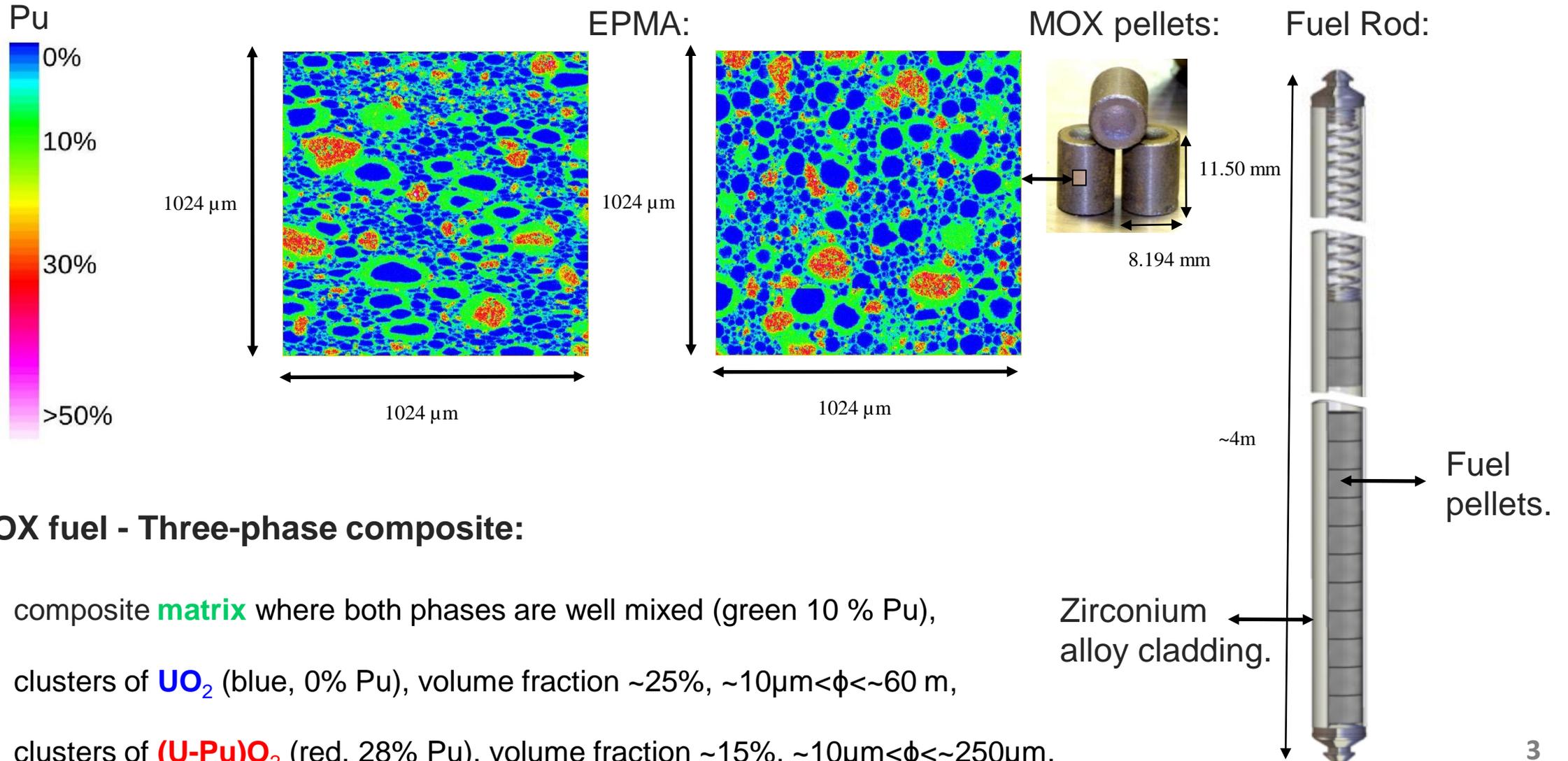
# Outline

- 1. Motivations - Two typical examples\* at the microstructural scale: nonlinear behavior**
- 2. Classical approach - Transformation Field Analysis**
- 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)**
- 4. NTFA-TSO applied to the two typical examples – Implementation & Results**
- 5. NTFA: Conclusion - Outlook & Reflection**

*\*Appendix 2: other examples with linear and nonlinear behaviors at the microstructural and structural scales*

# 1. Motivations - Two typical examples at the microstructural scale: nonlinear behavior

## 1. Composite material - MOX nuclear fuel (Largenton et al., 2014).



### MOX fuel - Three-phase composite:

- composite **matrix** where both phases are well mixed (green 10 % Pu),
- clusters of **UO<sub>2</sub>** (blue, 0% Pu), volume fraction ~25%,  $\sim 10\mu\text{m} < \phi < \sim 60\text{ m}$ ,
- clusters of **(U-Pu)O<sub>2</sub>** (red, 28% Pu), volume fraction ~15%,  $\sim 10\mu\text{m} < \phi < \sim 250\mu\text{m}$ .

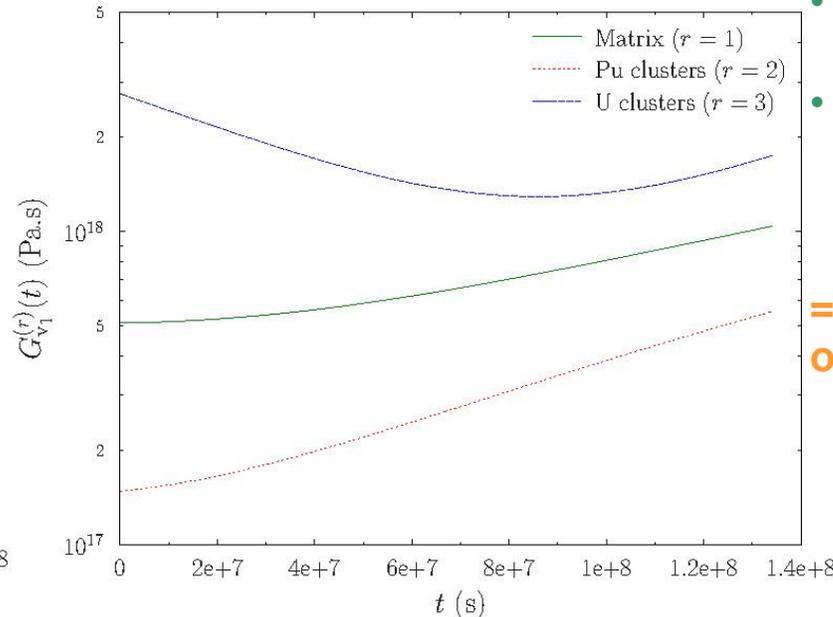
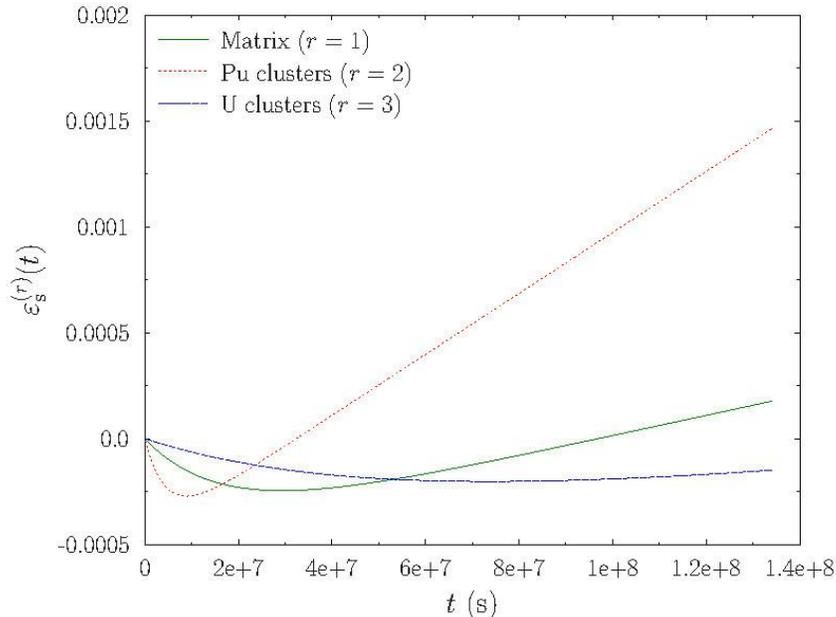
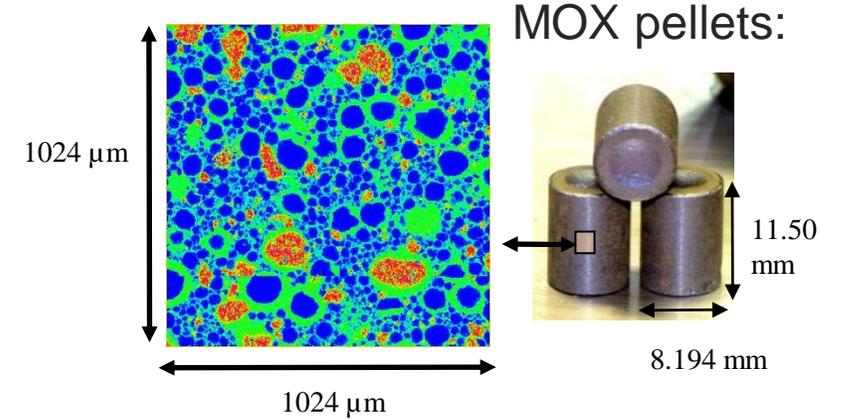
# 1. Motivations - Two typical examples at the microstructural scale: nonlinear behavior

## 1. Composite material - MOX nuclear fuel under irradiation (Largenton et al., 2019).

Constitutive relations for the individual phases (r):

$$\boldsymbol{\varepsilon}(\boldsymbol{x}, t) = \boldsymbol{\varepsilon}_e(\boldsymbol{x}, t) + \boldsymbol{\varepsilon}_v(\boldsymbol{x}, t) + \boldsymbol{\varepsilon}_s(\boldsymbol{x}, t) \quad , \text{ with}$$

$$\begin{cases} \boldsymbol{\varepsilon}_e(\boldsymbol{x}, t) = \boldsymbol{M}^{(r)} : \boldsymbol{\sigma}(\boldsymbol{x}, t) , \\ \dot{\boldsymbol{\varepsilon}}_v(\boldsymbol{x}, t) = \frac{\partial \psi^{(r)}}{\partial \boldsymbol{\sigma}}(\boldsymbol{\sigma}(\boldsymbol{x}, t)) , \\ \boldsymbol{\varepsilon}_s(\boldsymbol{x}, t) = \boldsymbol{\varepsilon}_s^{(r)}(t) \boldsymbol{i} . \end{cases} \quad \left\{ \begin{array}{l} \psi^{(r)}(\boldsymbol{\sigma}) = \psi_1^{(r)}(\sigma_m, \sigma_{eq}) + \psi_n^{(r)}(\sigma_{eq}) , \\ \psi_n^{(r)}(\sigma_{eq})(\boldsymbol{x}, t) = \frac{\sigma_{eq}^{n+1}(\boldsymbol{x}, t)}{3(n+1)G_{V_n}^{(r)}} , \\ \psi_1^{(r)}(\sigma_m, \sigma_{eq})(\boldsymbol{x}, t) = \frac{\sigma_m^2(\boldsymbol{x}, t)}{2k_{V_1}^{(r)}} + \frac{\sigma_{eq}^2(\boldsymbol{x}, t)}{6G_{V_1}^{(r)}(t)} . \end{array} \right.$$



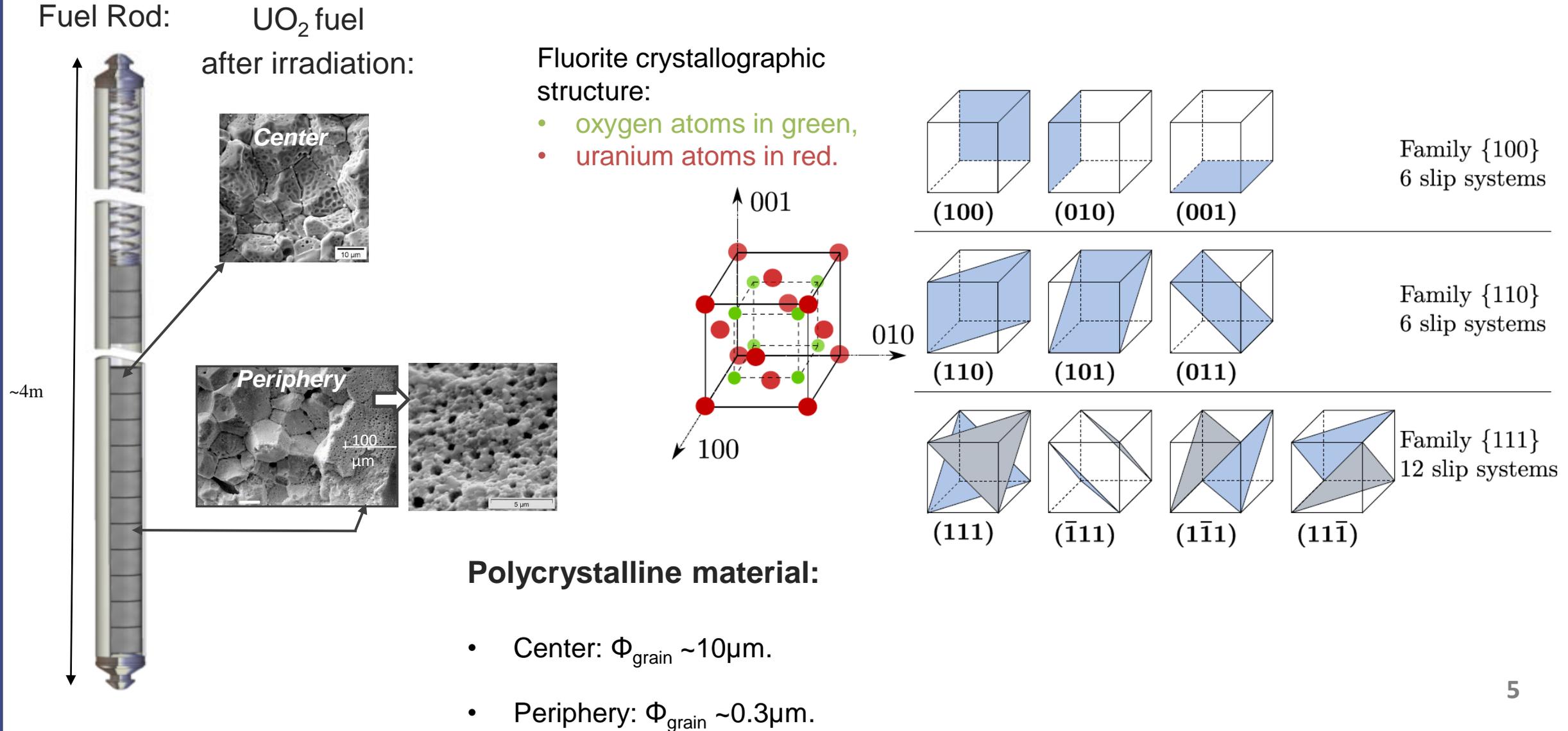
- **Shrinkage-Swelling:** effects of fission products.
- **Creep:** effects of fission rate and temperature.
- **Aging:** time-dependent material properties (function of irradiation which is a function of time).

=> **Pellet-Cladding-Interaction => Impact on the first safety barrier ("cladding")?**

**PREDICT: effective and local behavior of this composite material?**

# 1. Motivations - Two typical examples at the microstructural scale: nonlinear behavior

## 2. Polycrystalline material - $\text{UO}_2$ nuclear fuel (Labat et al., 2023).



# 1. Motivations - Two typical examples at the microstructural scale: nonlinear behavior

## 2. Polycrystalline material - UO<sub>2</sub> nuclear fuel (Labat et al., 2023).

Constitutive relations for the slip systems (s):

$$\dot{\gamma}_s^{vp} = \dot{\gamma}_i^0 \times \left( \frac{|\tau_s|}{r_i} \right)^{n_i} \times \text{sgn}(\tau_s),$$

$$r_i(\mathbf{x}, t) = \tau_i^0(T, \dot{\epsilon}) + h_i(T, \dot{\epsilon}) \times p_i(\mathbf{x}, t),$$

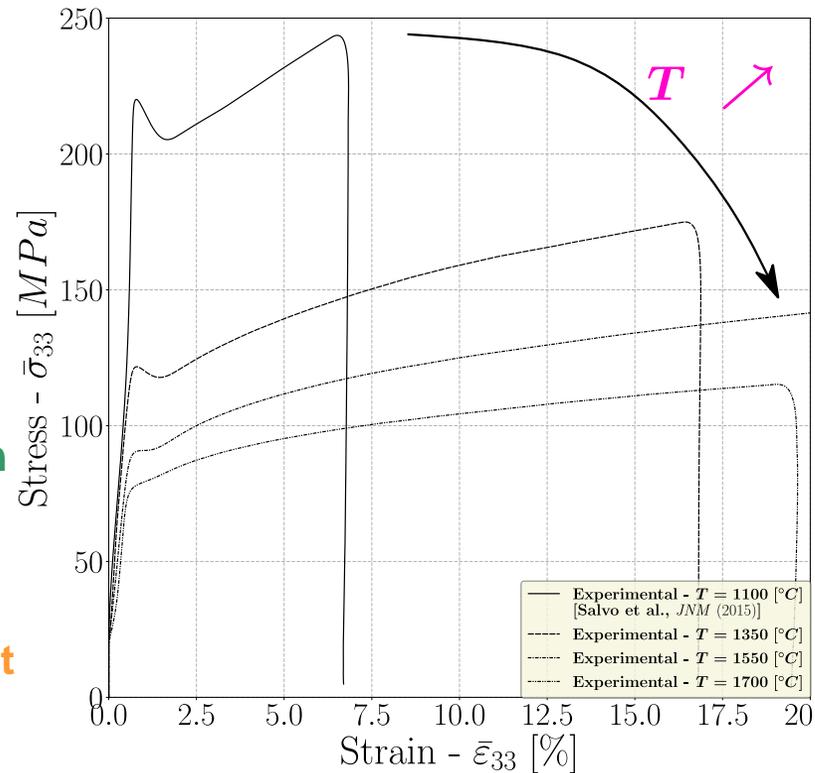
$$\dot{p}_i = \sum_{s \in S_i} \dot{\gamma}_s^p, \quad \dot{\gamma}_s^p = |\dot{\gamma}_s^{vp}|,$$

$\dot{\gamma}_s^{vp}$  evolution law inspired by [Knezevic et al., *IJP* (2013)] .

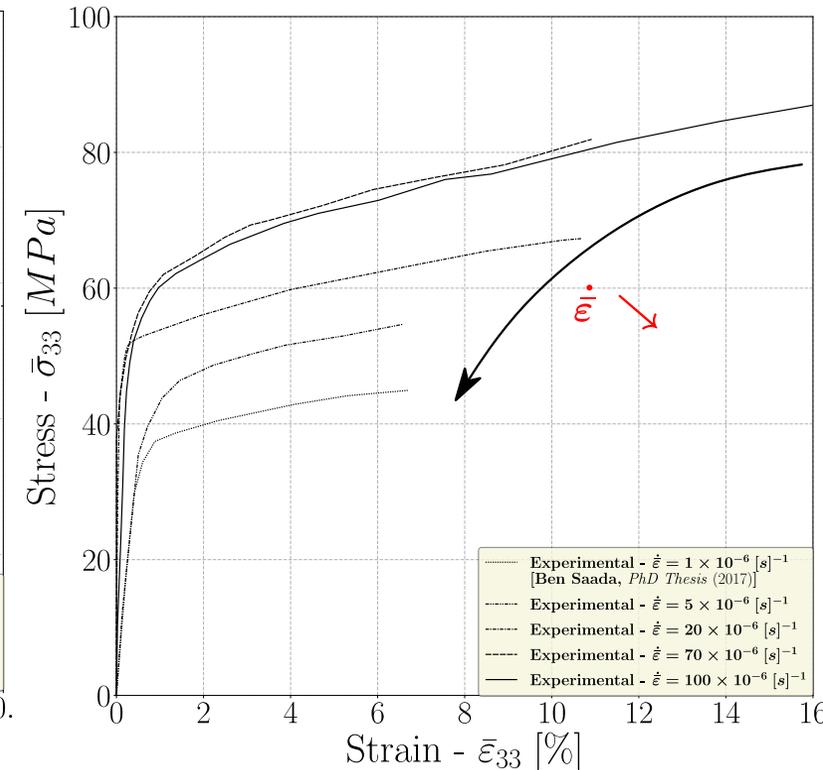
- **Thermal creep:** effects of temperature and macroscopic strain rate.
- **High temperature gradient and strain rate** → heterogeneous strain, damage and cracking.

=> **Pellet-Cladding-Interaction** => **Impact on the first safety barrier ("cladding")?**

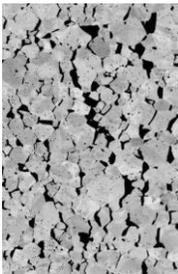
Variation of T°C -  $\dot{\epsilon} = 10^{-1} [s]^{-1}$  :



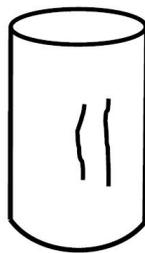
Variation of  $\dot{\epsilon}$  - T°C = 1500°C:



T°C = 1550°C  
- $\dot{\epsilon} = 10^{-1} [s]^{-1}$ :



T°C = 1700°C  
- $\dot{\epsilon} = 10^{-1} [s]^{-1}$ :



T°C = 1100°C  
- $\dot{\epsilon} = 10^{-1} [s]^{-1}$ :



**PREDICT: effective and local behavior of this polycrystalline material? 6**

# 1. Homogenization of these composite and polycrystalline materials

- **Homogenization of highly heterogeneous materials with nonlinear dissipative constituents entering the class of Generalized Standard Materials (GSM) (Halphen & Nguyen, 1975) governed by two convex potentials.**

At each point  $x$  of the microstructure:

$$\text{State of the system (state variables)} : \varepsilon, \alpha = \varepsilon^p (\varepsilon_v, \varepsilon_s, \varepsilon_{vp}, p \dots),$$

$$\text{Energy available in the system} : w(\varepsilon, \alpha),$$

$$\Rightarrow \text{Driving forces} : \sigma = \frac{\partial w}{\partial \varepsilon}(\varepsilon, \alpha), \quad \mathcal{A} = -\frac{\partial w}{\partial \alpha}(\varepsilon, \alpha),$$

$$\text{Evolution of the internal variables} : \dot{\alpha} = \frac{\partial \psi}{\partial \mathcal{A}}(\mathcal{A}) \quad \Leftrightarrow \quad \mathcal{A} = \frac{\partial \varphi}{\partial \dot{\alpha}}(\dot{\alpha}).$$

Where  $\psi$  and  $\varphi$  are dual potentials.

# 1. Homogenization of these composite and polycrystalline materials

- EXACT separation of scales in nonlinear problems is seldomly met : an infinite number of internal variables is required** (Mandel, 1968 – Rice, 1971 – Suquet, 1982 1987).

State variables	:	$\bar{\varepsilon}, \quad \tilde{\alpha} = \{\varepsilon^p(x)\}_{x \in V},$
Potentials	:	$\tilde{w}(\bar{\varepsilon}, \tilde{\alpha}) = \langle w(\varepsilon, \varepsilon^p) \rangle, \quad \tilde{\varphi}(\dot{\tilde{\alpha}}) = \langle \varphi(\dot{\varepsilon}^p) \rangle,$
Driving forces	:	$\bar{\sigma} = \frac{\partial \tilde{w}}{\partial \bar{\varepsilon}}(\bar{\varepsilon}, \tilde{\alpha}), \quad \tilde{\mathcal{A}} = -\frac{\partial \tilde{w}}{\partial \tilde{\alpha}}(\bar{\varepsilon}, \tilde{\alpha}),$
Evolution of internal variables	:	$\tilde{\mathcal{A}} = \frac{\partial \tilde{\varphi}}{\partial \dot{\tilde{\alpha}}}(\dot{\tilde{\alpha}}).$

=> No scale decoupling : both scales have to be resolved simultaneously

Differential Equation in a space of infinite dimension:

$$\dot{\tilde{\alpha}} = \frac{\partial \tilde{\varphi}}{\partial \tilde{\mathcal{A}}} \left( -\frac{\partial \tilde{w}}{\partial \tilde{\alpha}}(\bar{\varepsilon}, \tilde{\alpha}) \right).$$

$$\langle * \rangle = \frac{1}{|V|} \int * dx$$

Aim of this work: **derive a reduced model.**

## 2. Classical approach - Transformation Field Analysis (TFA)

- **Objective** : approximate homogenized model for the r.v.e.  $V$ . Internal variables =>

$$\tilde{\alpha} = \varepsilon^p(x) |_{x \in V},$$

where  $V =$  r.v.e. (representative volume element).

Reduction (Dvorak, 1992) of the plastic strain field on the r.v.e to  $6 \times N$  internal variables,  $N =$  number of phases in the composite or polycrystalline material:

- **H1. Plastic strain uniform / phase:**

$$\varepsilon^p(x) = \sum_{r=1}^N \varepsilon_r^p \chi^{(r)}(x), \quad x \in V.$$

Internal variables :  $\varepsilon_r^p |_{r=1, \dots, N}.$

- **H2. Evolution of  $\varepsilon_r^p$  governed by the average stress in phase  $r$ :**

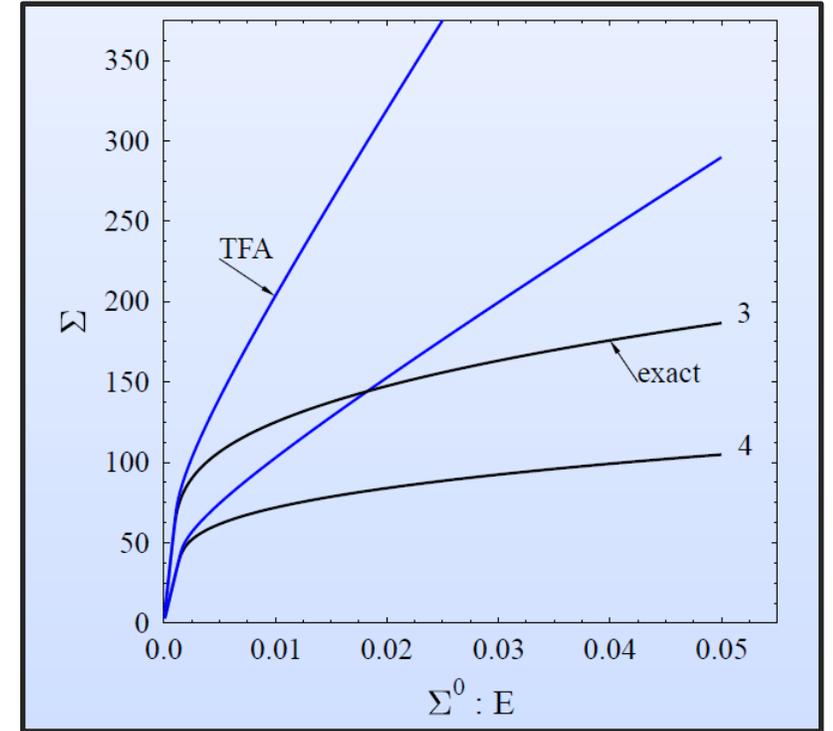
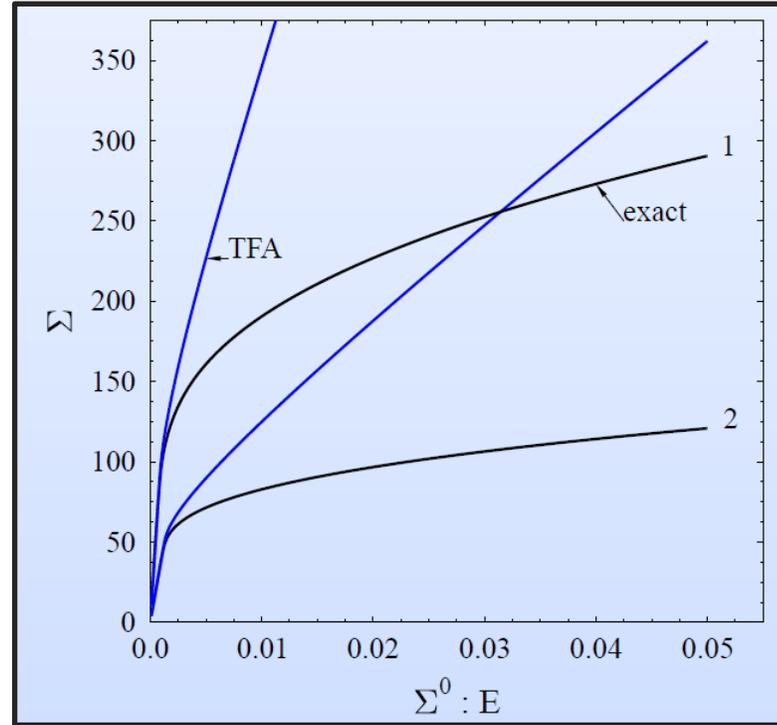
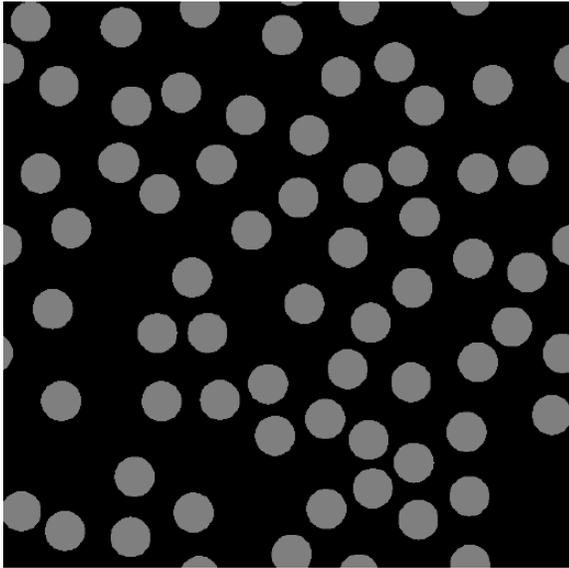
$$\varepsilon_r = M^{(r)} : \sigma_r + \varepsilon_r^p, \quad \sigma_r = \langle \sigma \rangle_r,$$

$$\dot{\varepsilon}_r^p = \frac{\partial \psi^{(r)}}{\partial \sigma}(\bar{\sigma}_r).$$

## 2. Classical approach - Transformation Field Analysis (TFA)

- **Very stiff predictions...**

Simulations  
(Michel & Suquet, 2003)



4 loading conditions:

$$\bar{\sigma} = \bar{\sigma}(t) \Sigma^0$$

$$\Sigma^{(1)} = e_1 \otimes e_1, \quad \Sigma^{(2)} = e_1 \otimes e_2 + e_2 \otimes e_1, \quad \Sigma^{(3)} = \Sigma^{(1)} + \frac{1}{2} \Sigma^{(2)}, \quad \Sigma^{(4)} = \Sigma^{(1)} + \Sigma^{(2)}.$$

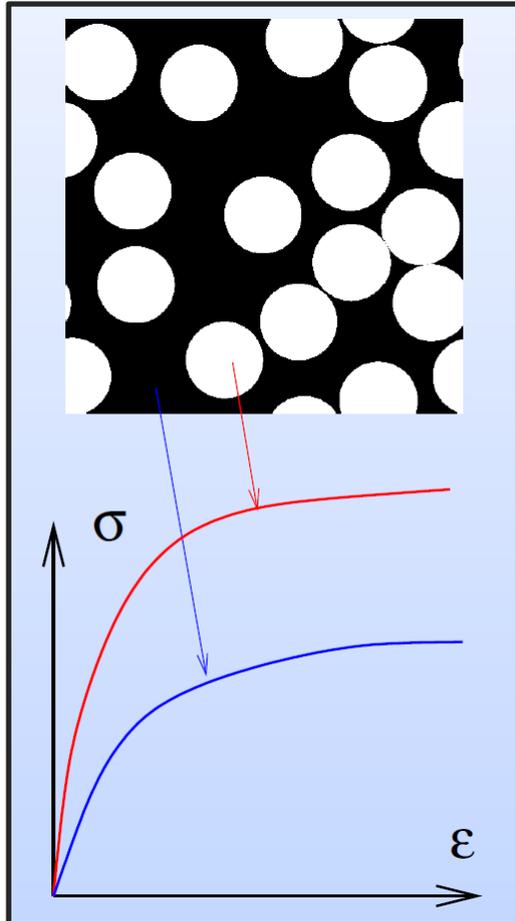
## 2. Classical approach - Transformation Field Analysis (TFA)

- What's wrong?

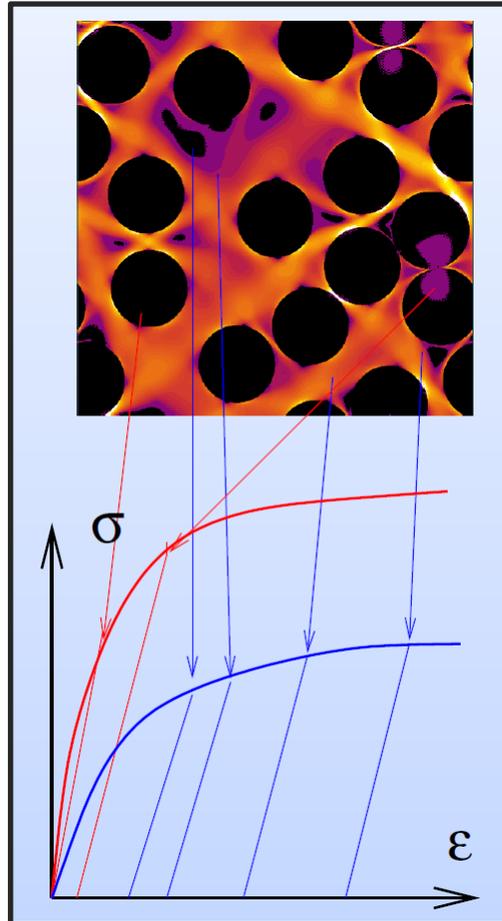
Simulations  
(Michel & Suquet, 2003)

Experiments  
(Doumalin & al, 2003)

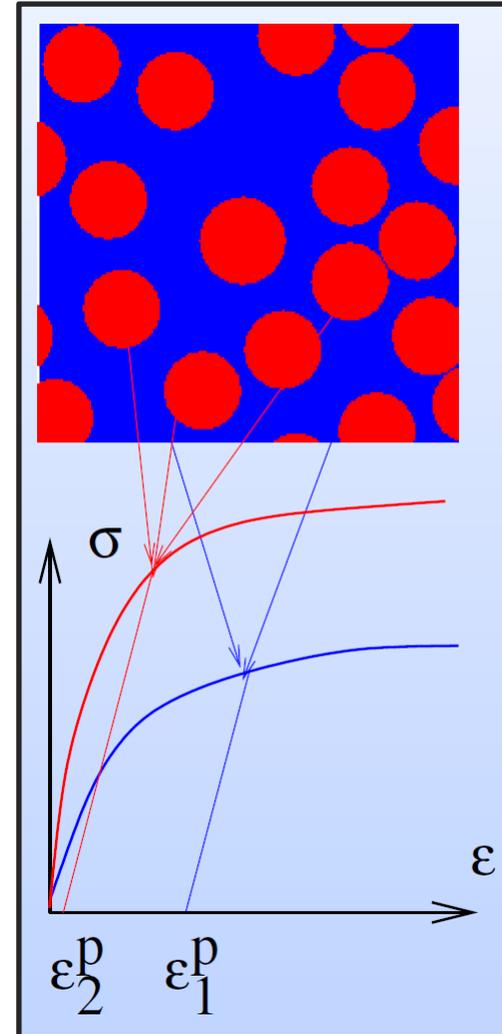
Nonlinear composite  
(two-phase):



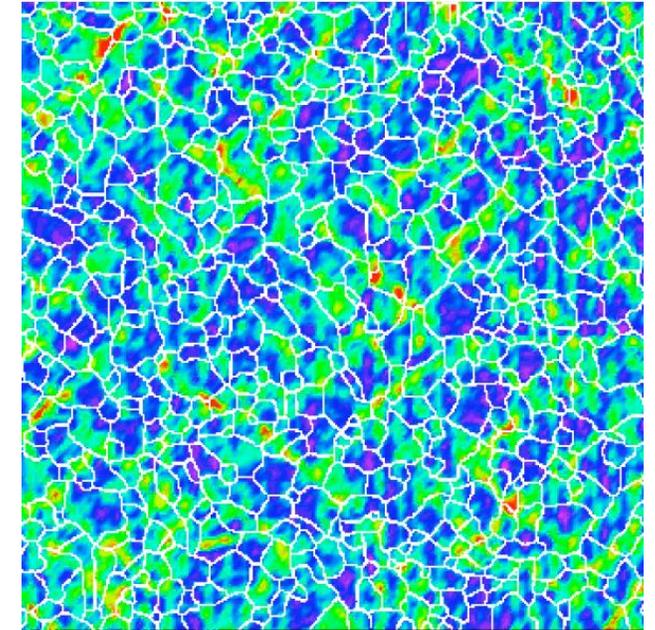
Actual nonuniform  
plastic strain field:



Uniform plastic  
strain field (TFA):



Polycrystalline Zirconium:



Field fluctuations do exist !

The TFA assumes that the  
plastic strain field is uniform  
per phase.

### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- **Reduction** (Michel & Suquet, 2003): reproduce the “dynamics” (evolution) and the observed “patterns” with **just a few variables, but physically motivated.**

**1<sup>st</sup> ingredient:** Re-formulation of assumptions **H1 TFA** => internal variables  $\alpha = \varepsilon^p, \varepsilon_v, \varepsilon_s, \varepsilon_{vp}, p \dots$  decomposed on nonuniform “(visco)plastic modes”, “hardening modes” ...

**Example for  $\varepsilon^p$ :**

$$\varepsilon^p(\mathbf{x}, t) = \sum_{k=1}^M \varepsilon_k^p(t) \boldsymbol{\mu}^{(k)}(\mathbf{x}), \quad \mathbf{x} = \text{microscopic variable} \in \text{volume element } V.$$

$\boldsymbol{\mu}^{(k)}$  **tensorial field** defined on  $V$  only, capturing the pattern of the plastic deformation,  $\varepsilon_k^p$  is a **scalar**. The  $\varepsilon_k^p$ 's are the **internal variables of the model**. Other possible notations for  $\varepsilon_k^p$  depending on the studied behavior:

$\xi^{(k)}, \xi_{vp}^{(k)}, \xi_p^{(k)} \dots$

- $\boldsymbol{\mu}^{(k)}$  has its support in a single phase in  $V$  or “on”  $V$ : mode identification “on”  $V$  reduces the number of modes (Largenton et al., 2014).
- $\boldsymbol{\mu}^{(k)}$  are mutually orthogonal:  $\langle \boldsymbol{\mu}^{(k)}; \boldsymbol{\mu}^{(l)} \rangle = 0$  when  $k \neq l$ .

State variables :  $\bar{\varepsilon}, (\varepsilon_k^p)_{k=1, M}$ .

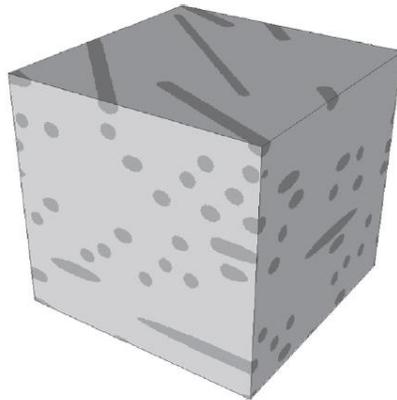
### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- What do these modes look like?

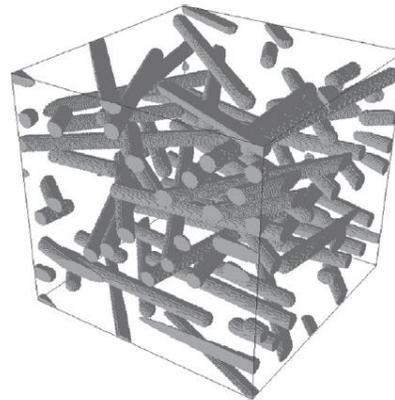
Composite material  
[Michel and Suquet, *JMPS* (2016)]



(a) *Micrograph.*

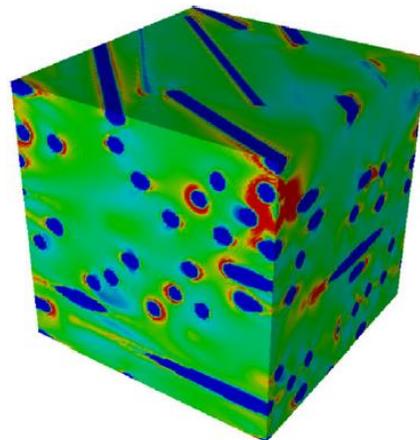
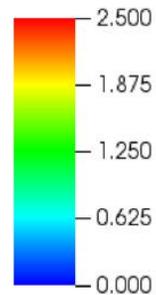


(b) *r.v.e. V.*

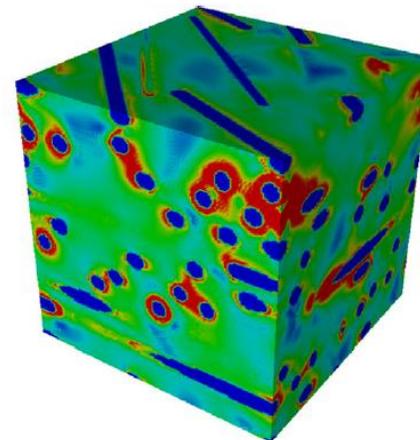


(c) *r.v.e. V – Only fibers.*

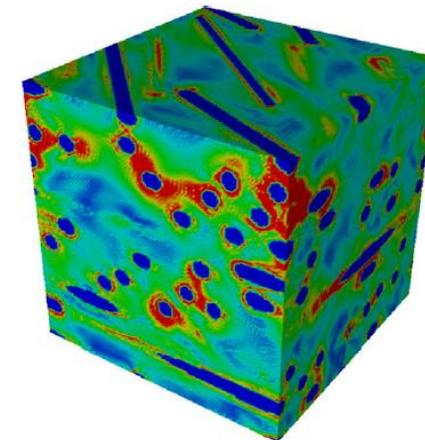
- Elastic fibers.
- Elasto-viscoplastic matrix with nonlinear kinematic hardening.
- Fiber volume fraction: 0.1.



(a) Mode 1.



(b) Mode 2.



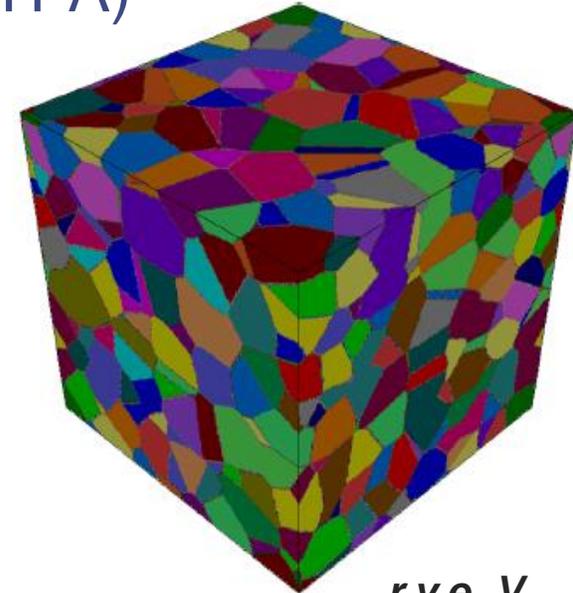
(c) Mode 3.

Snapshot of the modes  
(the equivalent strain  $\mu_{eq}$ ):

### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- **What do these modes look like?**

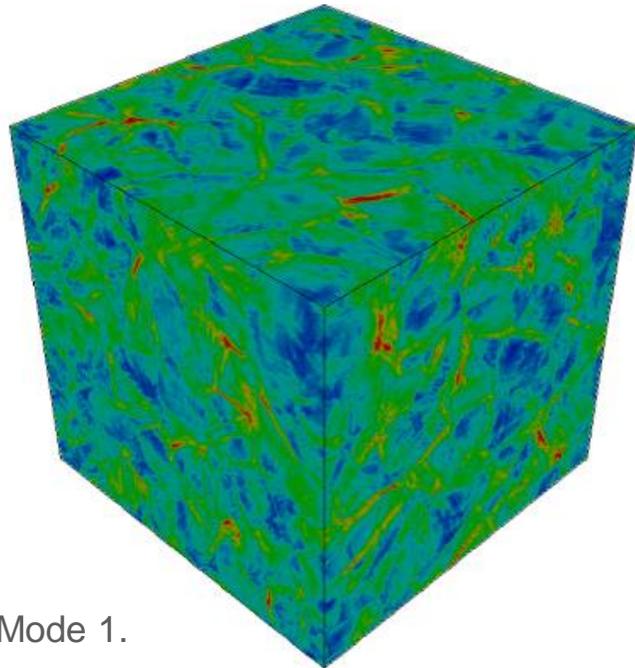
Polycrystalline material  
[Michel and Suquet, *CM* (2016)]



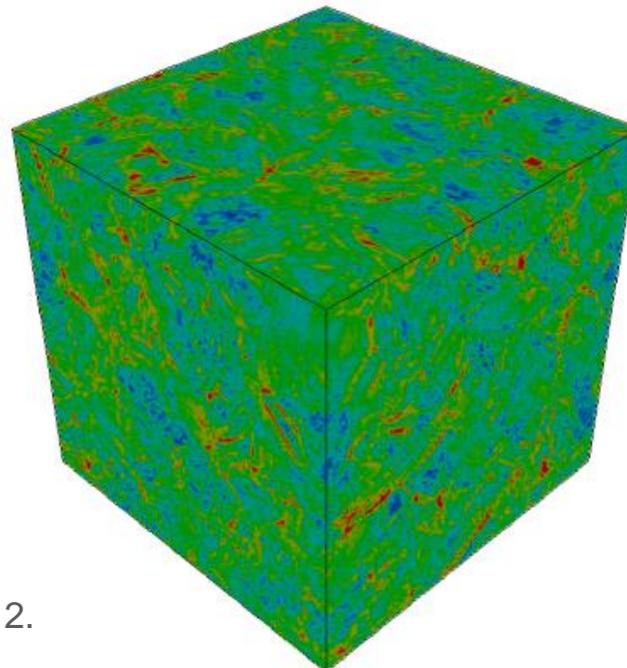
*r.v.e. V.*

- 500 grains.
- The crystal plasticity model for ice proposed by [Castelnau et al., 2008] and modified in [Suquet et al., 2012]: similar to the model of Méric-Cailletaud [Méric and Cailletaud, 1991].

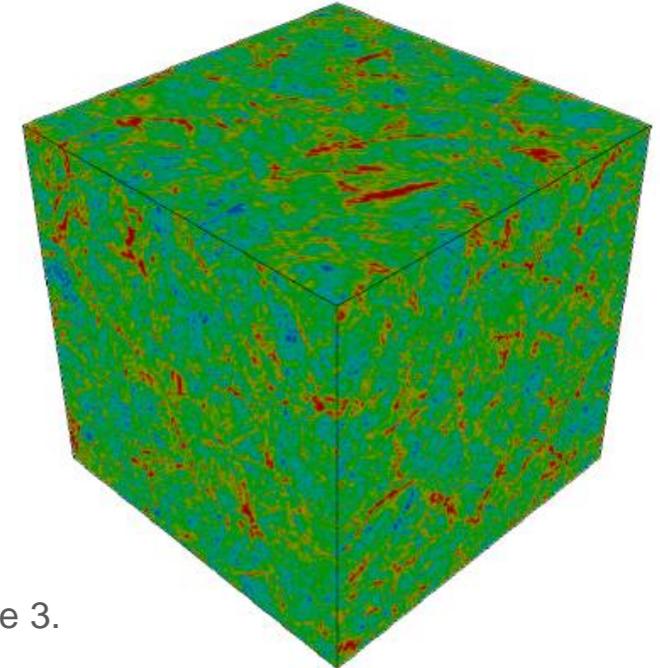
Snapshot of the modes  
(the equivalent strain  $\mu_{eq}$ ):



Mode 1.



Mode 2.



Mode 3.

Requires an accurate evolution law for the amplitudes  $\varepsilon_k^p$  on the modes.

### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- **2<sup>nd</sup> ingredient : evolution law for the reduced variables.**

**1. Local fields :** Prescribe the macroscopic state variables  $\bar{\varepsilon}, (\varepsilon_k^p)_{k=1,M}$ .

$$\sigma(\mathbf{x}) = \mathbf{L}(\mathbf{x}) : (\varepsilon(\mathbf{x}) - \varepsilon^p(\mathbf{x})), \quad \varepsilon^p(\mathbf{x}) = \sum_{k=1}^M \varepsilon_k^p \mu^{(k)}(\mathbf{x}),$$

$$\text{div}(\sigma(\mathbf{x})) = 0, \quad \langle \varepsilon \rangle = \bar{\varepsilon}, \quad + \text{B.C. (periodicity)}.$$

**Linear (thermoelastic) problem** => superposition:

$$\varepsilon(\mathbf{x}, t) = \mathbf{A}(\mathbf{x}) : \bar{\varepsilon}(t) + \sum_{k=1}^M \mathbf{D} * \mu^{(k)}(\mathbf{x}) \varepsilon_k^p$$

$$\sigma(\mathbf{x}, t) = \mathbf{L}(\mathbf{x}) : \mathbf{A}(\mathbf{x}) : \bar{\varepsilon}(t) + \sum_{k=1}^M \rho^{(k)}(\mathbf{x}) \varepsilon_k^p(t),$$

To simplify notation  $\varepsilon_l^p = \xi^{(l)}$ :

$$\varepsilon(\mathbf{x}) = \mathbf{A}(\mathbf{x}) : \bar{\varepsilon} + \sum_{\ell=1}^M (\mathbf{D} * \mu^{(\ell)})(\mathbf{x}) \xi^{(\ell)},$$

$$\sigma(\mathbf{x}) = \mathbf{L}(\mathbf{x}) : \mathbf{A}(\mathbf{x}) : \bar{\varepsilon} + \sum_{\ell=1}^M \rho^{(\ell)}(\mathbf{x}) \xi^{(\ell)}.$$

- $\mathbf{A}(\mathbf{x})$  **elastic strain-localization tensor.**
- $\mathbf{D}$  Green's operator,  $\mathbf{D} * \mu^{(k)}(\mathbf{x})$  **influence tensors.**
- $\rho^{(k)}(\mathbf{x}) = \mathbf{L}(\mathbf{x}) : (\mathbf{D} * \mu^{(k)}(\mathbf{x}) - \mu^{(k)}(\mathbf{x}))$ .

### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- **2<sup>nd</sup> ingredient : evolution law for the reduced variables.**

**2. Knowing that the effective free energy  $\tilde{w}$  is the average in  $V$  of the local free energy  $w$ , the reduced thermodynamic forces associated with the macroscopic state variables are  $\mathbf{a}^{(k)}$ :**

$$\tilde{W}(\bar{\boldsymbol{\varepsilon}}, \boldsymbol{\xi}) = \frac{1}{2} \bar{\boldsymbol{\varepsilon}} : \tilde{\mathbf{L}} : \bar{\boldsymbol{\varepsilon}} - \bar{\boldsymbol{\varepsilon}} : \sum_{k=1}^M \mathbf{a}^{(k)} \boldsymbol{\xi}^{(k)} + \frac{1}{2} \sum_{k,\ell=1}^M (\mathcal{L}^{(k\ell)} - \mathcal{D}^{(k\ell)}) \boldsymbol{\xi}^{(k)} \boldsymbol{\xi}^{(\ell)}$$

$$\mathbf{a}^{(k)} = - \frac{\partial \tilde{W}}{\partial \boldsymbol{\xi}^{(k)}}(\bar{\boldsymbol{\varepsilon}}, \boldsymbol{\xi}) = \bar{\boldsymbol{\varepsilon}} : \mathbf{a}^{(k)} + \sum_{\ell=1}^M (\mathcal{D}^{(k\ell)} - \mathcal{L}^{(k\ell)}) \boldsymbol{\xi}^{(\ell)}, \text{ with } \left. \begin{array}{l} \tilde{\mathbf{L}} = \langle \mathbf{A}^T : \mathbf{L} : \mathbf{A} \rangle, \quad \mathbf{a}^{(k)} = \langle \boldsymbol{\mu}^{(k)} : \mathbf{L} : \mathbf{A} \rangle, \\ \mathcal{D}^{(k\ell)} = \langle \boldsymbol{\mu}^{(k)} : \mathbf{L} : (\mathbf{D}^* \boldsymbol{\mu}^{(\ell)}) \rangle, \quad \mathcal{L}^{(k\ell)} = \langle \boldsymbol{\mu}^{(k)} : \mathbf{L} : \boldsymbol{\mu}^{(\ell)} \rangle. \end{array} \right\}$$

Reminder – At each point  $\mathbf{x}$  of the microstructure:

$$\begin{aligned} \text{State of the system (state variables)} & : \boldsymbol{\varepsilon}, \boldsymbol{\alpha} = \boldsymbol{\varepsilon}^p (\boldsymbol{\varepsilon}_v, \boldsymbol{\varepsilon}_s, \boldsymbol{\varepsilon}_{vp}, \mathbf{p} \dots), \\ \text{Energy available in the system} & : w(\boldsymbol{\varepsilon}, \boldsymbol{\alpha}), w(\boldsymbol{\varepsilon}, \boldsymbol{\alpha}) = \frac{1}{2} (\boldsymbol{\varepsilon} - \boldsymbol{\alpha}) : \mathbf{L} : (\boldsymbol{\varepsilon} - \boldsymbol{\alpha}), \\ \Rightarrow \text{Driving forces} & : \boldsymbol{\sigma} = \frac{\partial w}{\partial \boldsymbol{\varepsilon}}(\boldsymbol{\varepsilon}, \boldsymbol{\alpha}), \quad \mathcal{A} = - \frac{\partial w}{\partial \boldsymbol{\alpha}}(\boldsymbol{\varepsilon}, \boldsymbol{\alpha}), \\ \text{Evolution of the internal variables} & : \dot{\boldsymbol{\alpha}} = \frac{\partial \psi}{\partial \mathcal{A}}(\mathcal{A}) \Leftrightarrow \mathcal{A} = \frac{\partial \varphi}{\partial \dot{\boldsymbol{\alpha}}}(\dot{\boldsymbol{\alpha}}). \end{aligned}$$

Where  $\psi$  and  $\varphi$  are dual potentials.

### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- **2<sup>nd</sup> ingredient : evolution law for the reduced variables.**

#### 3. Reduced kinetics: the hard problem!

$$\mathbf{a}^{(k)} = - \frac{\partial \tilde{W}}{\partial \xi^{(k)}}(\bar{\boldsymbol{\varepsilon}}, \boldsymbol{\xi}) = \bar{\boldsymbol{\varepsilon}} : \mathbf{a}^{(k)} + \sum_{\ell=1}^M (\mathcal{D}^{(k\ell)} - \mathcal{L}^{(k\ell)}) \xi^{(\ell)}, \text{ with } \left. \begin{array}{l} \mathbf{a}^{(k)} = \langle \boldsymbol{\mu}^{(k)} : \mathbf{L} : \mathbf{A} \rangle, \\ \mathcal{D}^{(k\ell)} = \langle \boldsymbol{\mu}^{(k)} : \mathbf{L} : (\mathbf{D}^* \boldsymbol{\mu}^{(\ell)}) \rangle, \quad \mathcal{L}^{(k\ell)} = \langle \boldsymbol{\mu}^{(k)} : \mathbf{L} : \boldsymbol{\mu}^{(\ell)} \rangle. \end{array} \right\}$$

Evolution of the reduced thermodynamic forces:

$$\dot{\xi}^{(k)} = \frac{\partial \tilde{\psi}}{\partial \mathbf{a}^{(k)}}(\bar{\boldsymbol{\varepsilon}}, \boldsymbol{\alpha})$$

$$\dot{\mathbf{a}}^{(k)} = \frac{\partial \mathbf{a}^{(k)}}{\partial \bar{\boldsymbol{\varepsilon}}} : \dot{\bar{\boldsymbol{\varepsilon}}} + \sum_{\ell=1}^M \frac{\partial \mathbf{a}^{(k)}}{\partial \xi^{(\ell)}} \dot{\xi}^{(\ell)} = \frac{\partial \mathbf{a}^{(k)}}{\partial \bar{\boldsymbol{\varepsilon}}} : \dot{\bar{\boldsymbol{\varepsilon}}} + \frac{\partial \tilde{\psi}}{\partial \xi^{(k)}}(\bar{\boldsymbol{\varepsilon}}, \boldsymbol{\xi}(\bar{\boldsymbol{\varepsilon}}, \boldsymbol{\alpha})).$$

$\tilde{\psi}$  unknown?

### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- **2<sup>nd</sup> ingredient : evolution law for the reduced variables.**

#### 4. The effective dissipation potential: two proposals!

- **1<sup>st</sup> proposal: A hybrid formulation - Approximation of the effective dissipation potential [Fritzen and Leuschner, *CMAME* (2013)]**

$$\tilde{\psi} = \langle \psi \rangle$$

- [Michel and Suquet, *JMPS* (2016)] has shown that the definition of the effective dissipation potential chosen here by [Fritzen and Leuschner, *CMAME* (2013)] is not rigorously equivalent to the exact definition by duality.
  - Nevertheless, it is a good approximation when the number of modes chosen is sufficiently large!
- The derivative of the effective dissipation potential must be known at each integration time step.
- When the dissipation potential of the phases is not quadratic, knowledge of these derivatives requires calculation of local quantities before performing averaging operations.
  - We therefore lose the interest of a reduced model based on the NTFA approach, especially for our two typical examples!

### 3. Reduced model - Nonuniform Transformation Field Analysis (NTFA)

- **2<sup>nd</sup> ingredient : evolution law for the reduced variables.**

#### 4. The effective dissipation potential: two proposals!

- **2<sup>nd</sup> proposal: Tangent Second-Order linearization (TSO)** [Castañeda, *JMPS* (1996)], [Michel and Suquet, *JMPS* (2016)] – In each individual phase  $r$  the potential  $\psi^{(r)}$  is expanded to second-order in the stress as:

$$\psi^{(r)}(\mathcal{A}) \simeq \psi_{TSO}^{(r)}(\mathcal{A}),$$

$$\psi_{TSO}^{(r)}(\mathcal{A}) = \psi^{(r)}(\check{\mathcal{A}}^{(r)}) + \frac{\partial \psi^{(r)}}{\partial \mathcal{A}}(\check{\mathcal{A}}^{(r)}) : (\mathcal{A} - \check{\mathcal{A}}^{(r)}) + \frac{1}{2} (\mathcal{A} - \check{\mathcal{A}}^{(r)}) : \mathbf{M}_0^{(r)} : (\mathcal{A} - \check{\mathcal{A}}^{(r)}), \text{ with } \check{\mathcal{A}}^{(r)} = \langle \mathcal{A} \rangle^{(r)}, \quad \mathbf{M}_0^{(r)} = \frac{\partial^2 \psi^{(r)}}{\partial \mathcal{A}^2}(\check{\mathcal{A}}^{(r)}).$$

$$\tilde{\psi}_{TSO}(\bar{\boldsymbol{\varepsilon}}, \boldsymbol{\xi}) = \sum_{r=1}^P c^{(r)} \left[ \psi^{(r)}(\langle \mathcal{A} \rangle^{(r)}) + \frac{1}{2} \frac{\partial^2 \psi^{(r)}}{\partial \mathcal{A}^2}(\langle \mathcal{A} \rangle^{(r)}) :: \mathbf{C}^{(r)}(\mathcal{A}) \right],$$

with

$$\mathbf{C}^{(r)}(\mathcal{A}) = \langle (\mathcal{A} - \langle \mathcal{A} \rangle^{(r)}) \otimes (\mathcal{A} - \langle \mathcal{A} \rangle^{(r)}) \rangle^{(r)} = \langle \mathcal{A} \otimes \mathcal{A} \rangle^{(r)} - \langle \mathcal{A} \rangle^{(r)} \otimes \langle \mathcal{A} \rangle^{(r)}.$$



**NTFA-TSO - The differential equation of the reduced thermodynamic forces (reduced variables):**

$$\dot{\mathbf{a}}^{(k)} = \frac{\partial \mathbf{a}^{(k)}}{\partial \bar{\boldsymbol{\varepsilon}}} : \dot{\bar{\boldsymbol{\varepsilon}}} + \sum_{r=1}^P c^{(r)} \left[ \frac{\partial \psi^{(r)}}{\partial \mathcal{A}}(\langle \mathcal{A} \rangle^{(r)}) : \frac{\partial \langle \mathcal{A} \rangle^{(r)}}{\partial \xi^{(k)}} + \frac{1}{2} \frac{\partial^2 \psi^{(r)}}{\partial \mathcal{A}^2}(\langle \mathcal{A} \rangle^{(r)}) :: \frac{\partial \mathbf{C}^{(r)}(\mathcal{A})}{\partial \xi^{(k)}} + \frac{1}{2} \frac{\partial^3 \psi^{(r)}}{\partial \mathcal{A}^3}(\langle \mathcal{A} \rangle^{(r)}) ::: \mathbf{C}^{(r)}(\mathcal{A}) \otimes \frac{\partial \langle \mathcal{A} \rangle^{(r)}}{\partial \xi^{(k)}} \right].$$

# 4. NTFA-TSO applied to the 1<sup>st</sup> typical example - Implementation & results

- Exact local problem (Largenton et al., 2019).

**Equation System (1) Coupled with Evolution Law (2):**

$$\left. \begin{aligned} \sigma(\mathbf{x}, t) &= \mathbf{L}(\mathbf{x}) : (\varepsilon(\mathbf{x}, t) - \varepsilon_v(\mathbf{x}, t) - \varepsilon_s(\mathbf{x}, t)), \\ \operatorname{div} \sigma(\mathbf{x}, t) &= 0, \quad \sigma(\mathbf{x}, t) \cdot \mathbf{n}(\mathbf{x}) \text{ antiperiodic on } \partial V, \\ \varepsilon(\mathbf{x}, t) &= \bar{\varepsilon}(t) + \frac{1}{2}(\nabla \mathbf{u}^*(\mathbf{x}, t) + \nabla \mathbf{u}^{*T}(\mathbf{x}, t)), \quad \mathbf{u}^*(\mathbf{x}, t) \text{ periodic on } \partial V, \end{aligned} \right\} (1)$$

$\varepsilon(\mathbf{x}, t) = \varepsilon_e(\mathbf{x}, t) + \varepsilon_v(\mathbf{x}, t) + \varepsilon_s(\mathbf{x}, t)$ , with

$\varepsilon_e(\mathbf{x}, t) = \mathbf{M}^{(r)} : \sigma(\mathbf{x}, t)$ ,

$\dot{\varepsilon}_v(\mathbf{x}, t) = \frac{\partial \psi^{(r)}}{\partial \sigma}(\sigma(\mathbf{x}, t))$ ,

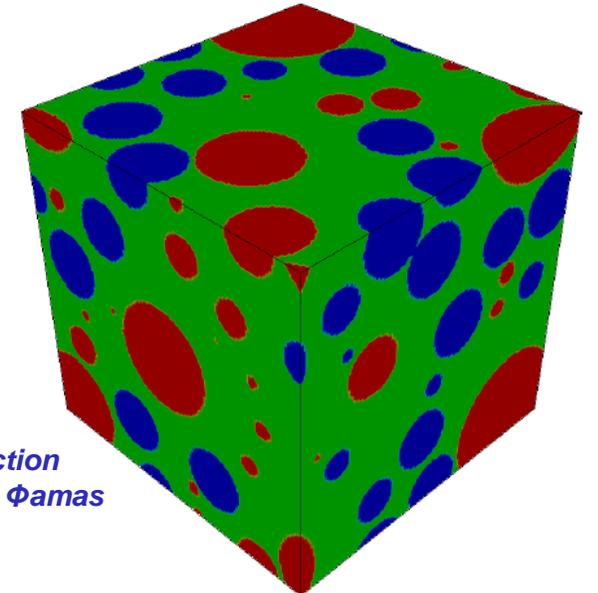
$\varepsilon_s(\mathbf{x}, t) = \varepsilon_s^{(r)}(t) \mathbf{i}$ .

$$\left\{ \begin{aligned} \psi^{(r)}(\sigma) &= \psi_1^{(r)}(\sigma_m, \sigma_{eq}) + \psi_n^{(r)}(\sigma_{eq}), \\ \psi_n^{(r)}(\sigma_{eq})(\mathbf{x}, t) &= \frac{\sigma_{eq}^{n+1}(\mathbf{x}, t)}{3(n+1)G_{V_n}^{(r)}}, \\ \psi_1^{(r)}(\sigma_m, \sigma_{eq})(\mathbf{x}, t) &= \frac{\sigma_m^2(\mathbf{x}, t)}{2k_{V_1}^{(r)}} + \frac{\sigma_{eq}^2(\mathbf{x}, t)}{6G_{V_1}^{(r)}(t)}. \end{aligned} \right. (2)$$

Evolution of  $G_{V_1}^{(r)}$  and  $\varepsilon_s$ : given in part 1 (Motivations).

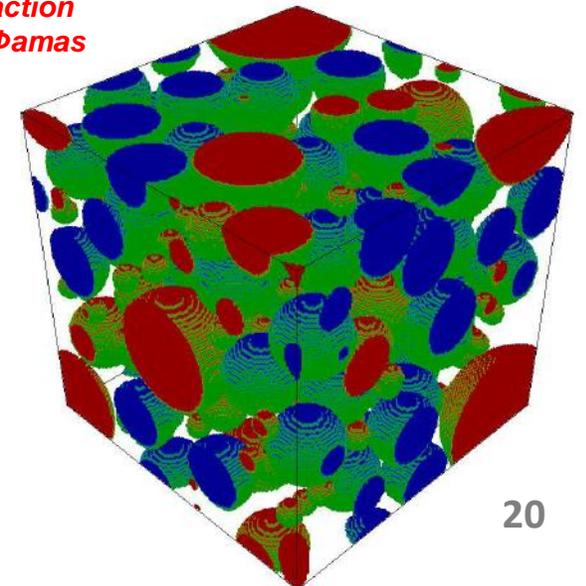
Phase	E (Pa)	$\nu$ (-)	$k_{V_1}^{(r)}$ (Pa.s)	$G_{V_n}^{(r)}$ (Pa <sup>n</sup> .s)	n (-)
Matrix (r=1)	2.e+11	0.3	1.67e+18	1.e+39	3.67
Pu clusters (r=2)	2.e+11	0.3	5.01e+17	1.e+39	3.67
U clusters (r=3)	2.e+11	0.3	1.04e+19	1.e+39	3.67

**RVE V**  
(3 phases & 147<sup>3</sup> Voxels).



57 Amas U : fraction volumique 25%,  $\Phi_{amas}$  30 $\mu$ m.

121 Amas Pu : fraction volumique 15%,  $\Phi_{amas}$  [10 $\mu$ m, 70 $\mu$ m].



## 4. NTFA-TSO applied to the 1<sup>st</sup> typical example - Implementation & results

- **Implementation** (Largenton et al., 2019).

### *NTFA Decomposition of Internal Variables:*

$$\varepsilon_v(\mathbf{x}, t) = \sum_{k=1}^M \xi^{(k)}(t) \boldsymbol{\mu}^{(k)}(\mathbf{x}).$$

### *Local Fields Expressed with Mode Decomposition:*

$$\varepsilon(\mathbf{x}, t) = \mathbf{A}(\mathbf{x}) : \bar{\varepsilon}(t) + \sum_{k=1}^M (\mathbf{D} * \boldsymbol{\mu}^{(k)})(\mathbf{x}) \xi^{(k)}(t) + \sum_{r=1}^N (\mathbf{D} * \chi^{(r)} \mathbf{i})(\mathbf{x}) \varepsilon_s^{(r)}(t), \text{ with}$$

$$\boldsymbol{\sigma}(\mathbf{x}, t) = \mathbf{L}(\mathbf{x}) : \mathbf{A}(\mathbf{x}) : \bar{\varepsilon}(t) + \sum_{k=1}^M \boldsymbol{\rho}^{(k)}(\mathbf{x}) \xi^{(k)}(t) + \sum_{r=1}^N \boldsymbol{\eta}^{(r)}(\mathbf{x}) \varepsilon_s^{(r)}(t),$$

$$\boldsymbol{\rho}^{(k)}(\mathbf{x}) = \mathbf{L}(\mathbf{x}) : ((\mathbf{D} * \boldsymbol{\mu}^{(k)})(\mathbf{x}) - \boldsymbol{\mu}^{(k)}(\mathbf{x})), \quad \boldsymbol{\eta}^{(r)}(\mathbf{x}) = \mathbf{L}(\mathbf{x}) : ((\mathbf{D} * \chi^{(r)} \mathbf{i})(\mathbf{x}) - \chi^{(r)}(\mathbf{x}) \mathbf{i}).$$

### *Macroscopic State Law:*

$$\frac{\partial \tilde{w}}{\partial \bar{\varepsilon}}(\bar{\varepsilon}, \boldsymbol{\xi}, \varepsilon_s) = \bar{\boldsymbol{\sigma}} = \tilde{\mathbf{L}} : \bar{\varepsilon} + \sum_{k=1}^M \langle \boldsymbol{\rho}^{(k)} \rangle \xi_s^{(k)} + \sum_{r=1}^N \langle \boldsymbol{\eta}^{(r)} \rangle \varepsilon_s^{(r)}.$$

$$\tilde{\mathbf{L}} = \langle \mathbf{L} : \mathbf{A} \rangle.$$

$$-\frac{\partial \tilde{w}}{\partial \xi^{(k)}}(\bar{\varepsilon}, \boldsymbol{\xi}, \varepsilon_s) \stackrel{\text{def}}{=} \tau^{(k)} = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\sigma} \rangle.$$

$$\tau^{(k)}(t) = \mathbf{a}^{(k)} : \bar{\varepsilon}(t) + \sum_{\ell=1}^M D^{(k\ell)} \xi^{(\ell)}(t) + \sum_{r=1}^N H^{(kr)} \varepsilon_s^{(r)}(t),$$

$$\mathbf{a}^{(k)} = \langle \boldsymbol{\mu}^{(k)} : (\mathbf{L} : \mathbf{A}) \rangle, \quad D^{(k\ell)} = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\rho}^{(\ell)} \rangle, \quad H^{(kr)} = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\eta}^{(r)} \rangle. \quad 21$$

## 4. NTFA-TSO applied to the 1<sup>st</sup> typical example - Implementation & results

- **Implementation** (Largenton et al., 2019).

### *Evolution of the Reduced Thermodynamic Variables:*

$$\tau^{(k)}(t) = \mathbf{a}^{(k)} : \bar{\boldsymbol{\varepsilon}}(t) + \sum_{\ell=1}^M D^{(k\ell)} \xi^{(\ell)}(t) + \sum_{r=1}^N H^{(kr)} \varepsilon_s^{(r)}(t), \text{ with } \dot{\xi}^{(k)} = \frac{\partial \tilde{\psi}}{\partial \tau^{(k)}} \Rightarrow \dot{\tau}^{(k)} = \mathbf{a}^{(k)} : \dot{\bar{\boldsymbol{\varepsilon}}} + \frac{\partial \tilde{\psi}}{\partial \xi^{(k)}} + \sum_{r=1}^N H^{(kr)} \dot{\varepsilon}_s^{(r)}.$$

$$\psi^{(r)}(\boldsymbol{\sigma}) \simeq \psi_{TSO}^{(r)}(\boldsymbol{\sigma}) = \psi^{(r)}(\bar{\boldsymbol{\sigma}}^{(r)}) + \frac{\partial \psi^{(r)}}{\partial \boldsymbol{\sigma}}(\bar{\boldsymbol{\sigma}}^{(r)}) : (\boldsymbol{\sigma} - \bar{\boldsymbol{\sigma}}^{(r)}) + \frac{1}{2} (\boldsymbol{\sigma} - \bar{\boldsymbol{\sigma}}^{(r)}) : \frac{\partial^2 \psi^{(r)}}{\partial \boldsymbol{\sigma}^2}(\bar{\boldsymbol{\sigma}}^{(r)}) : (\boldsymbol{\sigma} - \bar{\boldsymbol{\sigma}}^{(r)}),$$

where  $\bar{\boldsymbol{\sigma}}^{(r)} = \langle \boldsymbol{\sigma} \rangle^{(r)}$ , so that  $\tilde{\psi}$  is approximated by:

$$\tilde{\psi} \simeq \tilde{\psi}_{TSO} = \sum_{r=1}^N c^{(r)} \left[ \psi^{(r)}(\bar{\boldsymbol{\sigma}}^{(r)}) + \frac{1}{2} \frac{\partial^2 \psi^{(r)}}{\partial \boldsymbol{\sigma}^2}(\bar{\boldsymbol{\sigma}}^{(r)}) :: \mathbf{C}^{(r)}(\boldsymbol{\sigma}) \right],$$

$$\mathbf{C}^{(r)}(\boldsymbol{\sigma}) = \langle (\boldsymbol{\sigma} - \bar{\boldsymbol{\sigma}}^{(r)}) \otimes (\boldsymbol{\sigma} - \bar{\boldsymbol{\sigma}}^{(r)}) \rangle^{(r)}.$$

$$\dot{\tau}^{(k)} = \mathbf{a}^{(k)} : \dot{\bar{\boldsymbol{\varepsilon}}} + \sum_{r=1}^N c^{(r)} \left[ \frac{\partial \psi^{(r)}}{\partial \boldsymbol{\sigma}}(\bar{\boldsymbol{\sigma}}^{(r)}) : \frac{\partial \bar{\boldsymbol{\sigma}}^{(r)}}{\partial \xi^{(k)}} + \frac{1}{2} \frac{\partial^2 \psi^{(r)}}{\partial \boldsymbol{\sigma}^2}(\bar{\boldsymbol{\sigma}}^{(r)}) :: \frac{\partial \mathbf{C}^{(r)}(\boldsymbol{\sigma})}{\partial \xi^{(k)}} + \frac{1}{2} \frac{\partial^3 \psi^{(r)}}{\partial \boldsymbol{\sigma}^3}(\bar{\boldsymbol{\sigma}}^{(r)}) ::: \mathbf{C}^{(r)}(\boldsymbol{\sigma}) \otimes \frac{\partial \bar{\boldsymbol{\sigma}}^{(r)}}{\partial \xi^{(k)}} \right] + \sum_{r=1}^N H^{(kr)} \dot{\varepsilon}_s^{(r)}.$$

## 4. NTFA-TSO applied to the 1<sup>st</sup> typical example - Implementation & results

- **Implementation** (Largenton et al., 2019).

### *Identification of the modal base – 1<sup>st</sup> Step:*

- three uniaxial creep loadings with no shrinkage-swelling in the phases,

$$i = 1, 2 \text{ or } 3, \quad \bar{\boldsymbol{\sigma}}(t) = \sigma \mathbf{e}_i \otimes \mathbf{e}_i, \quad \sigma = 100 \text{ MPa}, \quad \varepsilon_s^{(r)}(t) = 0 \text{ in each phase } r,$$

- one loading corresponding to a pure shrinkage-swelling of the phases with no macroscopic stress,

$$\bar{\boldsymbol{\sigma}}(t) = \mathbf{0}, \quad \varepsilon_s^{(r)}(t)$$



For each of these four loading cases, 25 snapshots of the viscous strain field are stored every  $5.36 \times 10^6$  seconds of the full-field simulation.

## 4. NTFA-TSO applied to the 1<sup>st</sup> typical example - Implementation & results

- **Implementation** (Largenton et al., 2019).

**Reduction of the modal base – 2<sup>nd</sup> Step [Rousette et al., CST (2009)]:**

$$\theta_v^{(k)}(x) = \varepsilon_v(x, t_{(k)}),$$

with:  $\theta_v^{(k)}$  tensor associated with the internal time variable  $t_k$ ,

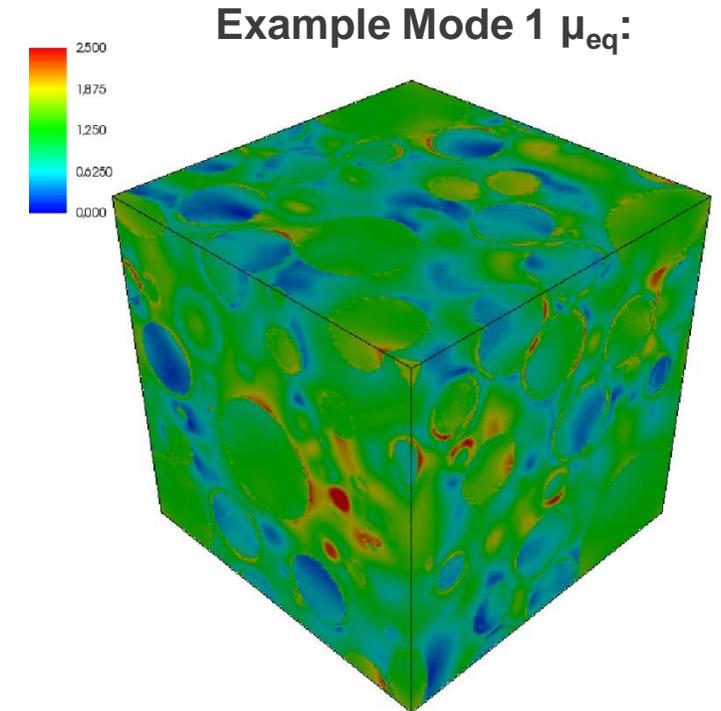
$$g_v^{ij} = \langle \theta_v^{(i)} : \theta_v^{(j)} \rangle, \quad \text{Symmetric matrix.}$$

where:  $g_v$ , global viscoplastic correlation matrix.

$$\text{POD: } g v^{(k)} = \lambda^{(k)} v^{(k)}, \quad \frac{\lambda^{(k)}}{\sum_k \lambda^{(k)}} > 1 - \alpha, \quad \alpha = 10^{-4} [-].$$

$$\mu_v^{(k)}(x) = \sum_l v_{l,v}^{(k)} \theta_v^{(l)}(x),$$

$$\langle \mu_v^{(k)}(x) : \mu_v^{(p)}(x) \rangle = \begin{cases} \lambda^{(k)} & \text{if } k = p, \\ 0 & \text{otherwise.} \end{cases} \quad \text{Positive eigenvalues.}$$

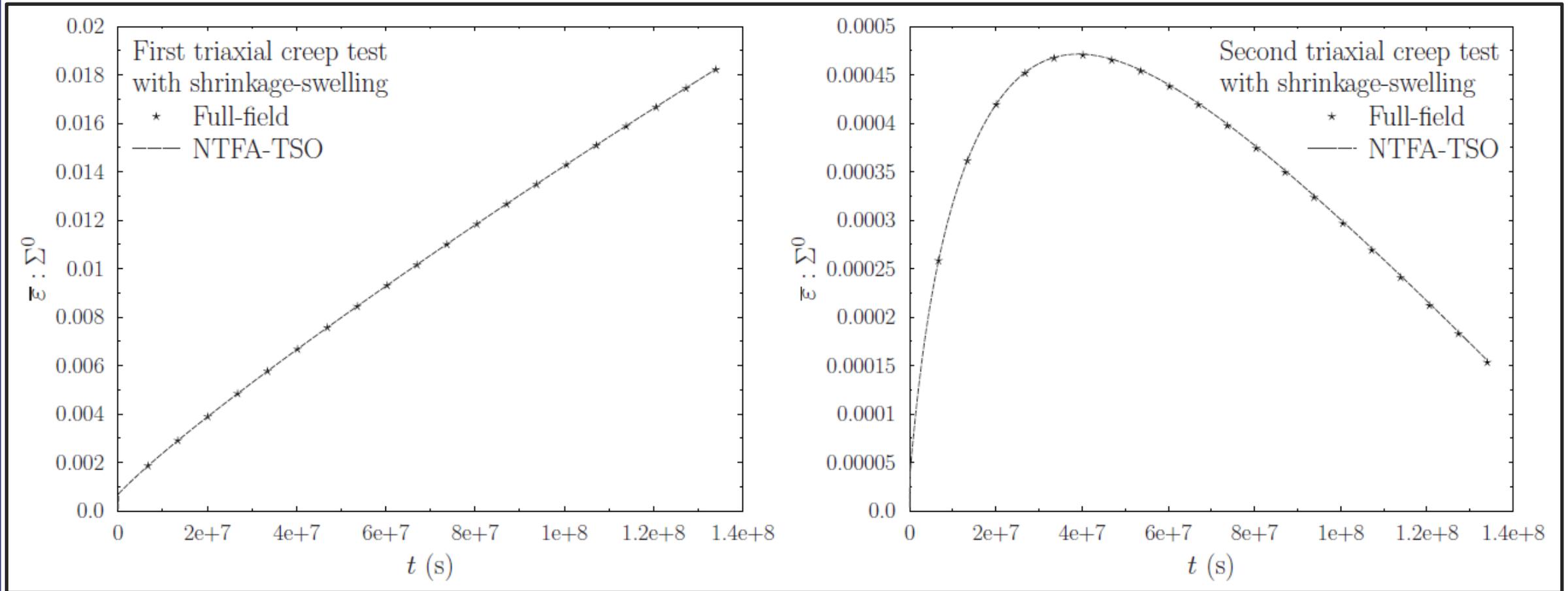


After application of this procedure, a total of 9 modes were selected (2 modes per uniaxial creep loading and 3 modes for the pure shrinkage-swelling test).

## 4. NTFA-TSO applied to the 1<sup>st</sup> typical example - Implementation & results

- **Results** (Largenton et al., 2019).

### *Macroscopic Comparison between Full-Field FFT and NTFA-TSO Model:*

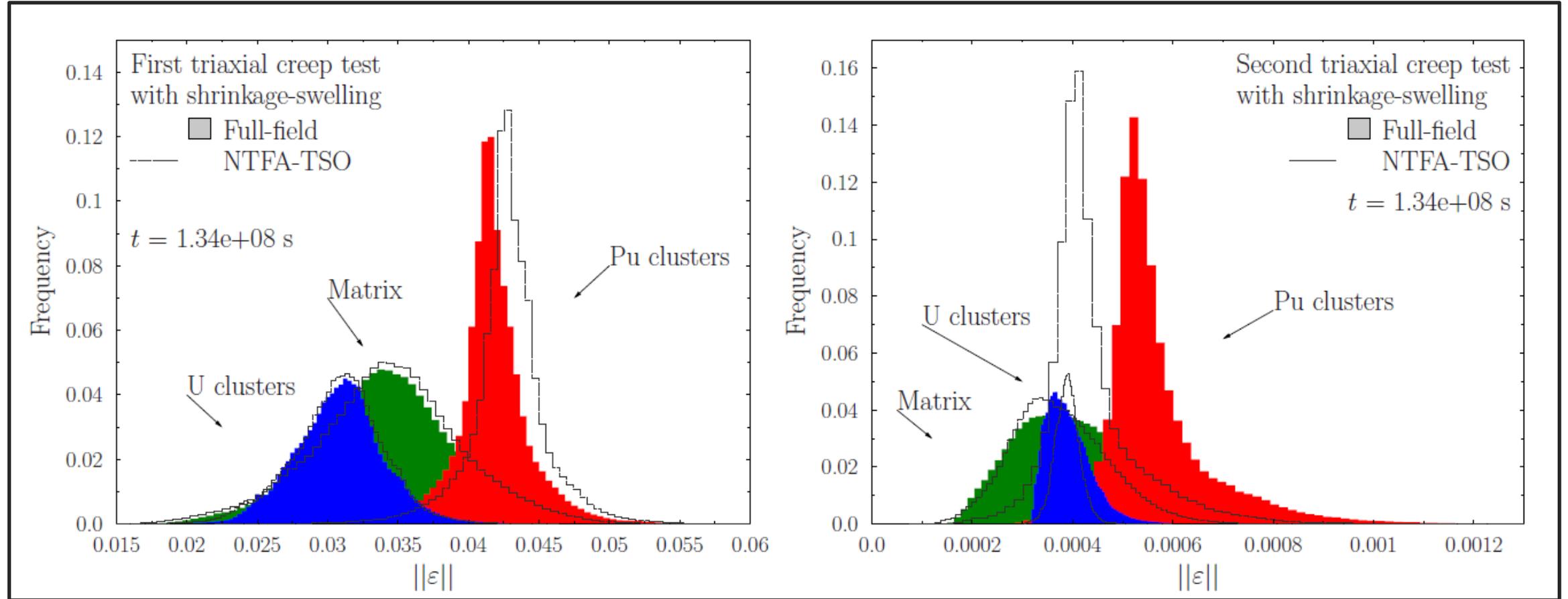


$$\bar{\sigma}(t) = \sum_{i=1}^3 \sigma_i \mathbf{e}_i \otimes \mathbf{e}_i, \quad \varepsilon_s^{(r)}(t)$$

1<sup>st</sup> triaxial creep test:  $\sigma_1 = -115$  MPa,  $\sigma_2 = -115$  MPa,  $\sigma_3 = -230$  MPa  
 2<sup>nd</sup> triaxial creep test:  $\sigma_1 = -10$  MPa,  $\sigma_2 = -10$  MPa,  $\sigma_3 = -6.66$  MPa

## 4. NTFA-TSO applied to the 1<sup>st</sup> typical example - Implementation & results

- Results** (Largenton et al., 2019). *Local Comparison between Full-Field FFT and NTFA-TSO Model:*



	Full-field simulation (FFT)	NTFA-TSO model (CPU ratio = FFT/TSO)
1 <sup>st</sup> triaxial creep test	10 146 s	1.92 s (CPU ratio = 5 284)
2 <sup>nd</sup> triaxial creep test	16 026 s	3.50 s (CPU ratio = 4 579)

CPU times. Processor Intel Xeon X5687 @ 3.6 GHz.

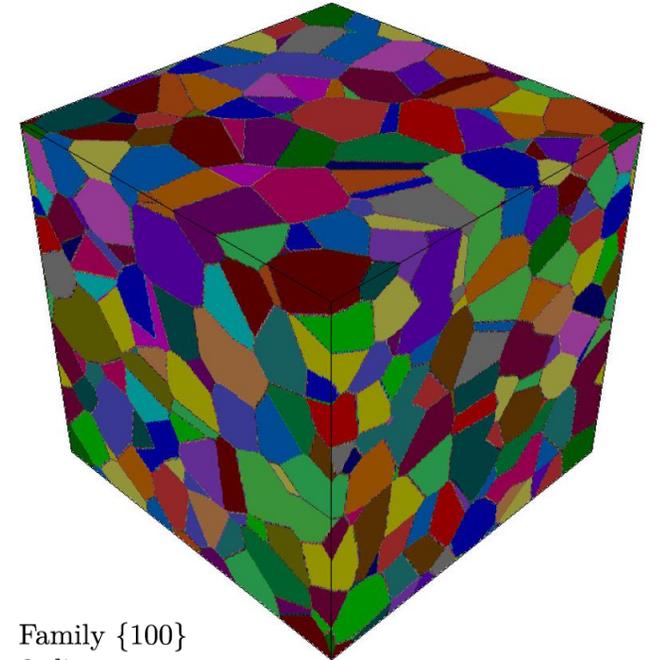
# 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- Exact local problem (Labat et al., 2023).

**Equation System (1) Coupled with Evolution Law (2):**

$$\left. \begin{aligned} \sigma(\mathbf{x}, t) &= \mathbf{L}(\mathbf{x}) : (\varepsilon(\mathbf{x}, t) - \varepsilon_{vp}(\mathbf{x}, t)), \quad \varepsilon_{vp}(\mathbf{x}, t) = \sum_s \gamma_s^{vp}(\mathbf{x}, t) \mathbf{m}_s(\mathbf{x}), \\ \operatorname{div} \sigma(\mathbf{x}, t) &= 0, \quad \sigma(\mathbf{x}, t) \cdot \mathbf{n}(\mathbf{x}) \text{ antiperiodic on } \partial V, \\ \varepsilon(\mathbf{x}, t) &= \bar{\varepsilon}(t) + \frac{1}{2}(\nabla \mathbf{u}^*(\mathbf{x}, t) + \nabla \mathbf{u}^{*T}(\mathbf{x}, t)), \quad \mathbf{u}^*(\mathbf{x}, t) \text{ periodic on } \partial V. \end{aligned} \right\} (1)$$

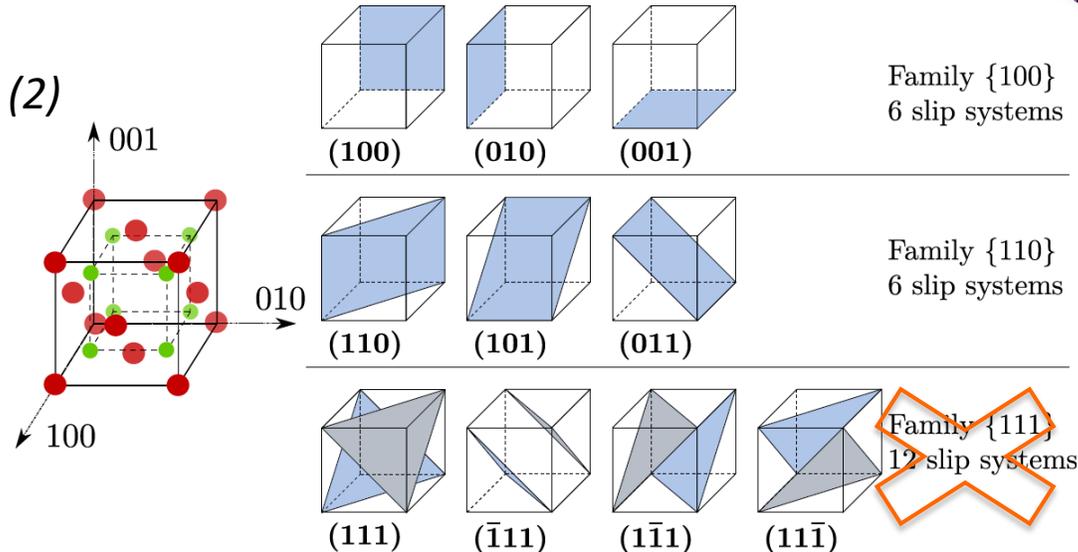
RVE  $V$   
(500 grains &  $135^3$  Voxels):



$$\begin{aligned} \dot{\gamma}_s^{vp} &= \dot{\gamma}_i^0 \times \left( \frac{|\tau_s|}{r_i} \right)^{n_i} \times \operatorname{sgn}(\tau_s), \\ r_i(\mathbf{x}, t) &= \tau_i^0(T, \dot{\varepsilon}) + h_i(T, \dot{\varepsilon}) \times p_i(\mathbf{x}, t), \\ \dot{p}_i &= \sum_{s \in S_i} \dot{\gamma}_s^p, \quad \dot{\gamma}_s^p = |\dot{\gamma}_s^{vp}|, \end{aligned} (2)$$

$\dot{\gamma}_s^{vp}$  evolution law inspired by [Knezevic et al., IJP (2013)].

- Formalisms of  $h_i$  and  $\tau_i^0$  and parameter values in Appendix 1.
- For elastic coefficient values, see (Labat et al., 2023).



Observation but with activation of other families, so this family's CRSS cannot be measured

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Implementation** (Labat et al., 2023).

### **NTFA Decomposition of Internal Variables:**

$$\varepsilon_{vp}(\mathbf{x}, t) = \sum_k^{M_{vp}} \xi_{vp}^{(k)}(t) \mu_{vp}^{(k)}(\mathbf{x}),$$

|| **NTFA decomposition** [Michel and Suquet, *JMPS* (2016)],

$$p_i(\mathbf{x}, t) = \left\{ \begin{array}{l} \sum_l^{M_{p,i}} \xi_{p,i}^{(l)}(t) \mu_{p,i}^{(l)}(\mathbf{x}) - \text{[Decomposed]}, \\ \sum_g \xi_{p,i}^{(g)}(t) \chi^{(g)}(\mathbf{x}) - \text{[Constant per grain]}. \end{array} \right.$$

|| **[Constant per grain] - decomposition** [Michel and Suquet, *CM* (2016)],

|| **[Decomposed] - tested decomposition,**

with:  $\left( \mu_{vp}^{(k)}, \mu_{p,i}^{(l)} \right)$  modes used for the internal-variables decomposition,  
 $\left( \xi_{vp}, \xi_{p,i} \right)$  reduced internal variables.

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Implementation** (Labat et al., 2023).

### *Local Fields Expressed with Mode Decomposition:*

$$\blacktriangleright \boldsymbol{\varepsilon}(\boldsymbol{x}, t) = \boldsymbol{A}(\boldsymbol{x}) : \bar{\boldsymbol{\varepsilon}}(t) + \sum_l^{M_{vp}} \boldsymbol{D} * \boldsymbol{\mu}_{vp}^{(l)}(\boldsymbol{x}) \xi_{vp}^{(l)}(t),$$

|| **induced by NTFA decomposition,**

with:	$\boldsymbol{\varepsilon}(\boldsymbol{x}, t)$	using the superposition principle: solution to the linear thermoelastic problem [Local problem (1)-(2)]
	$\bar{\boldsymbol{\varepsilon}}$	macroscopic strain tensor,
	$\boldsymbol{A}(\boldsymbol{x})$	strain concentration tensor,
	$\boldsymbol{D} * \boldsymbol{\mu}_{vp}^{(l)}(\boldsymbol{x})$	strain influence tensor,

$$\boldsymbol{D} * \boldsymbol{\mu}_{vp}^{(l)}(\boldsymbol{x}) = \frac{1}{|V|} \int_V \boldsymbol{D}(\boldsymbol{x}, \boldsymbol{x}') : \boldsymbol{\mu}_{vp}^{(l)}(\boldsymbol{x}') d\boldsymbol{x}', \quad \boldsymbol{D}(\boldsymbol{x}, \boldsymbol{x}') = \boldsymbol{\Gamma}(\boldsymbol{x}, \boldsymbol{x}') : \boldsymbol{L}(\boldsymbol{x}'),$$

with: |  $(\boldsymbol{D}, \boldsymbol{\Gamma})$  nonlocal and nonlocal Green operators,

$$\blacktriangleright \boldsymbol{\sigma}(\boldsymbol{x}, t) = \boldsymbol{L}(\boldsymbol{x}) : \boldsymbol{A}(\boldsymbol{x}) : \bar{\boldsymbol{\varepsilon}}(t) + \sum_l^{M_{vp}} \boldsymbol{\rho}_{vp}^{(l)}(\boldsymbol{x}) \xi_{vp}^{(l)}(t),$$

with: |  $\boldsymbol{\rho}_{vp}^{(k)}(\boldsymbol{x}) = \boldsymbol{L}(\boldsymbol{x}) : \left( \left( \boldsymbol{D} * \boldsymbol{\mu}_{vp}^{(k)} \right) (\boldsymbol{x}) - \boldsymbol{\mu}_{vp}^{(k)}(\boldsymbol{x}) \right).$

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Implementation** (Labat et al., 2023).

### *Macroscopic State Law:*

$$\frac{\partial \bar{w}}{\partial \bar{\boldsymbol{\varepsilon}}} = \bar{\boldsymbol{\sigma}}, \quad \bar{\boldsymbol{\sigma}} = \tilde{\mathbf{L}} : \bar{\boldsymbol{\varepsilon}} + \sum_k^{M_{vp}} \langle \boldsymbol{\rho}_{vp}^{(k)} \rangle \xi_{vp}^{(l)},$$

$$-\frac{\partial \bar{w}}{\partial \xi_{vp}^{(k)}} = a_{vp}^{(k)}, \quad a_{vp}^{(k)} = \bar{\boldsymbol{\varepsilon}} : \mathbf{a}^{(k)} + \sum_l \langle \boldsymbol{\mu}_{vp}^{(k)} : \boldsymbol{\rho}_{vp}^{(l)} \rangle \xi_{vp}^{(l)},$$

$$-\frac{\partial \bar{w}}{\partial \xi_{p,i}^{(k)}} = a_{p,i}^{(k)}, \quad a_{p,i}^{(k)} = \begin{cases} - \left( \tau_i^0 \langle \mu_{p_i}^{(k)} \rangle + h_i \times \sum_l^{M_{p,i}} \xi_{p_i}^{(l)} \langle \mu_{p_i}^{(k)} \mu_{p_i}^{(l)} \rangle \right) & \text{- [Decomposed],} \\ - \left( \tau_i^0 + h_i \times \xi_{p_i}^{(k)} \right) \times c^{(k)} & \text{- [Constant per grain],} \end{cases}$$

$$\text{with: } \begin{cases} \mathbf{a}^{(k)} & = \langle \boldsymbol{\mu}_{vp}^{(k)} : \mathbf{L} : \mathbf{A} \rangle, \\ \tilde{\mathbf{L}} & = \langle \mathbf{L} : \mathbf{A} \rangle. \end{cases}$$

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Implementation** (Labat et al., 2023).

### **Evolution of the Reduced Thermodynamic Variables:**

$$\dot{a}_{vp}^{(k)}(\bar{\varepsilon}, \xi_{vp}) = \frac{\partial a_{vp}^{(k)}}{\partial \bar{\varepsilon}} : \dot{\bar{\varepsilon}} + \sum_l^{M_{vp}} \frac{\partial a_{vp}^{(k)}}{\partial \xi_{vp}^{(l)}} \times \dot{\xi}_{vp}^{(l)}, \text{ with } \dot{\xi}_{vp}^{(l)} = \frac{\partial \psi_{vp}^{hom}}{\partial a_{vp}^{(l)}} \implies \dot{a}_{vp}^{(k)} = \frac{\partial a_{vp}^{(k)}}{\partial \bar{\varepsilon}} : \dot{\bar{\varepsilon}} + \frac{\partial \psi_{vp}^{hom}}{\partial \xi_{vp}^{(k)}}$$

$$\begin{aligned} \langle \psi_{vp,s}^{TSO}(\mathcal{A}_{vp,s}, \mathcal{A}_{p,i}) \rangle^{(g)} &= \left[ \psi_{vp,s}(\bar{\mathcal{A}}_{vp,s}^{(g)}, \bar{\mathcal{A}}_{p,i}^{(g)}) + \frac{\partial^2 \psi_{vp,s}}{\partial \mathcal{A}_{vp,s} \partial \mathcal{A}_{p,i}} \times C^{(g)}(\mathcal{A}_{vp,s}^{(g)}, \mathcal{A}_{p,i}^{(g)}) \right. \\ &\quad \left. + \frac{1}{2} \frac{\partial^2 \psi_{vp,s}}{\partial \mathcal{A}_{vp,s}^2} \times C^{(g)}(\mathcal{A}_{vp,s}^{(g)}) + \frac{1}{2} \frac{\partial^2 \psi_{vp,s}}{\partial \mathcal{A}_{p,i}^2} \times C^{(g)}(\mathcal{A}_{p,i}^{(g)}) \right] - \text{[Decomposed]}, \end{aligned}$$

**Tangent Second Order (TSO) linearisation of the effective dissipation potential** [Castañeda, *JMPS* (1996)] [Michel and Suquet, *CM* (2016)].

with:

$$\begin{cases} C^{(g)}(X, Y) &= \langle (X - \bar{X}^{(g)}) (Y - \bar{Y}^{(g)}) \rangle^{(g)}, \\ C^{(g)}(X) &= \langle (X - \bar{X}^{(g)})^2 \rangle^{(g)}. \end{cases}$$

- **Similar work has to be done on  $\dot{a}_p^{(k)}$ ,  $\dot{a}_{vp}^{(k)}$  has to be coupled with  $\dot{a}_p^{(k)}$ .**

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Implementation** (Labat et al., 2023).

### *Evolution of the Reduced Thermodynamic Variables:*

$$\dot{a}_{vp}^{(k)} = a^{(k)} : \dot{\bar{\epsilon}} + \sum_g c^{(g)} \sum_s \left[ \dot{\gamma}_s^{vp} \left( \bar{\mathcal{A}}_{vp,s}^{(g)}, \bar{\mathcal{A}}_{p,i}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{vp,s}^{(g)}}{\partial \xi_{vp}^{(k)}} + \frac{1}{2} \frac{\partial \dot{\gamma}_s^{vp}}{\partial \mathcal{A}_{vp,s}} \frac{\partial C^{(g)}}{\partial \xi_{vp}^{(k)}} \left( \mathcal{A}_{vp,s}^{(g)} \right) \right. \\ \left. + \frac{1}{2} \frac{\partial^2 \dot{\gamma}_s^{vp}}{\partial \mathcal{A}_{vp,s}^2} C^{(g)} \left( \mathcal{A}_{vp,s}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{vp,s}^{(g)}}{\partial \xi_{vp}^{(k)}} + \frac{1}{2} \frac{\partial^2 \dot{\gamma}_s^{vp}}{\partial \mathcal{A}_{p,i}^2} C^{(g)} \left( \mathcal{A}_{p,i}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{vp,s}^{(g)}}{\partial \xi_{vp}^{(k)}} \right. \\ \left. + \frac{\partial \dot{\gamma}_s^{vp}}{\partial \mathcal{A}_{p,i}} \frac{\partial C^{(g)}}{\partial \xi_{vp}^{(k)}} \left( \mathcal{A}_{vp,s}^{(g)}, \mathcal{A}_{p,i}^{(g)} \right) + \frac{\partial^2 \dot{\gamma}_s^{vp}}{\partial \mathcal{A}_{vp,s} \partial \mathcal{A}_{p,i}} C^{(g)} \left( \mathcal{A}_{vp,s}^{(g)}, \mathcal{A}_{p,i}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{vp,s}^{(g)}}{\partial \xi_{vp}^{(k)}} \right]$$

- **Black:** decomposed strain-hardening

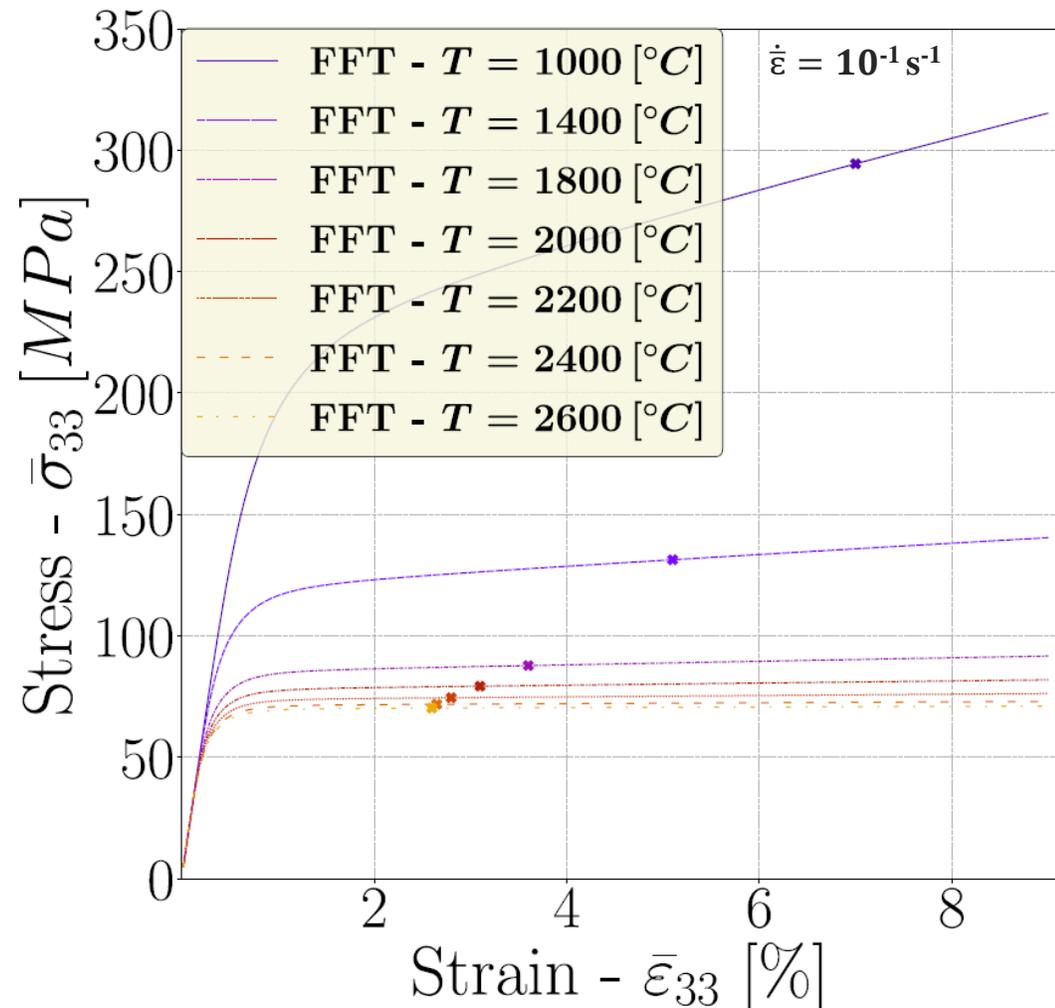
$$\dot{a}_{p,i}^{(k)} = \sum_g c^{(g)} \sum_s \left[ \dot{\gamma}_s^{p,i} \left( \bar{\mathcal{A}}_{vp,s}^{(g)}, \bar{\mathcal{A}}_{p,i}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{p,i}^{(g)}}{\partial \xi_{p,i}^{(k)}} + \frac{1}{2} \frac{\partial^2 \dot{\gamma}_s^{p,i}}{\partial \mathcal{A}_{vp,s}^2} C^{(g)} \left( \mathcal{A}_{vp,s}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{p,i}^{(g)}}{\partial \xi_{p,i}^{(k)}} \right. \\ \left. + \frac{1}{2} \frac{\partial \dot{\gamma}_s^{p,i}}{\partial \mathcal{A}_{p,i}} \frac{\partial C^{(g)}}{\partial \xi_{p,i}^{(k)}} \left( \mathcal{A}_{p,i}^{(g)} \right) + \frac{1}{2} \frac{\partial^2 \dot{\gamma}_s^{p,i}}{\partial \mathcal{A}_{p,i}^2} C^{(g)} \left( \mathcal{A}_{p,i}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{p,i}^{(g)}}{\partial \xi_{p,i}^{(k)}} \right. \\ \left. + \frac{\partial \dot{\gamma}_s^{p,i}}{\partial \mathcal{A}_{vp,s}} \frac{\partial C^{(g)}}{\partial \xi_{p,i}^{(k)}} \left( \mathcal{A}_{vp,s}^{(g)}, \mathcal{A}_{p,i}^{(g)} \right) + \frac{\partial^2 \dot{\gamma}_s^{p,i}}{\partial \mathcal{A}_{vp,s} \partial \mathcal{A}_{p,i}} C^{(g)} \left( \mathcal{A}_{vp,s}^{(g)}, \mathcal{A}_{p,i}^{(g)} \right) \frac{\partial \bar{\mathcal{A}}_{p,i}^{(g)}}{\partial \xi_{p,i}^{(k)}} \right]$$

- **Red:** terms deleted for constant strain-hardening per grain

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Implementation** (Labat et al., 2023).

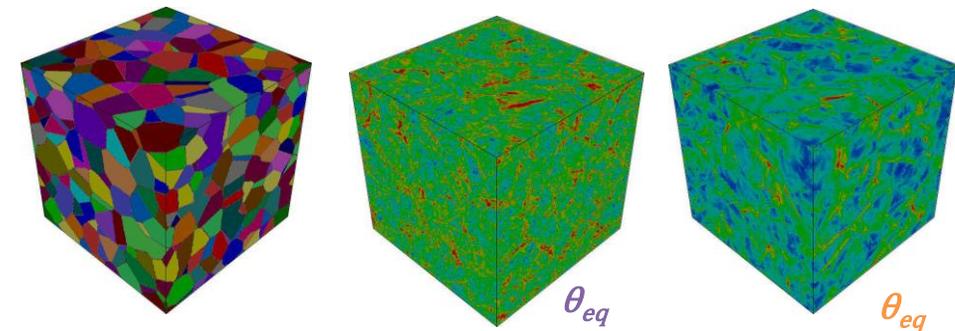
### Identification of the modal base – 1<sup>st</sup> Step:



It consists in performing full-field FFT simulations:

- The snapshots (viscoplastic and strain hardening modes) have been taken from these simulations at different times and for:  
 $T = [1000^{\circ}\text{C} - 2600^{\circ}\text{C}]$   
 $\dot{\epsilon} = [10^{-6} \text{ s}^{-1}, 10^{-1} \text{ s}^{-1}]$

- The modal base was made of these types of snapshots:



$\theta$  (snapshot) - Examples of equivalent viscoplastic strains

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Implementation** (Labat et al., 2023).

**Reduction of the modal base – 2<sup>nd</sup> Step [Rousette et al., CST (2009)]:**

$$\theta_{vp}^{(k)}(x) = \varepsilon_{vp}(x, t_{(k)}), \theta_{p,i}^{(k)}(x) = p_i(x, t_{(k)}),$$

with:  $(\theta_{vp}^{(k)}, \theta_{p,i}^{(k)})$  tensor and scalar associated with the internal time variable  $t_k$ ,

$$g_{vp}^{ij} = \langle \theta_{vp}^{(i)} : \theta_{vp}^{(j)} \rangle, g_{p,i}^{ij} = \langle \theta_{p,i}^{(i)} \theta_{p,i}^{(j)} \rangle \parallel \text{Symmetric matrix.}$$

where:  $(g_{vp}, g_{p,i})$  global viscoplastic and strain-hardening correlation matrices.

$$g_{vp}^{(k)} = \lambda^{(k)} v^{(k)}, \frac{\sum_k \lambda^{(k)}}{N_T} > 1 - \alpha, \alpha = 10^{-4} [-]$$

$$\mu_{vp}^{(k)}(x) = \sum_l v_{l,vp}^{(k)} \theta_{vp}^{(l)}(x), \mu_{p,i}^{(k)}(x) = \sum_l v_{l,p}^{(k)} \theta_{p,i}^{(l)}(x),$$

$$\langle \mu_{vp}^{(k)}(x) : \mu_{vp}^{(p)}(x) \rangle = \begin{cases} \lambda^{(k)} & \text{if } k = p, \\ 0 & \text{otherwise,} \end{cases} \parallel \text{Positive eigenvalues.}$$

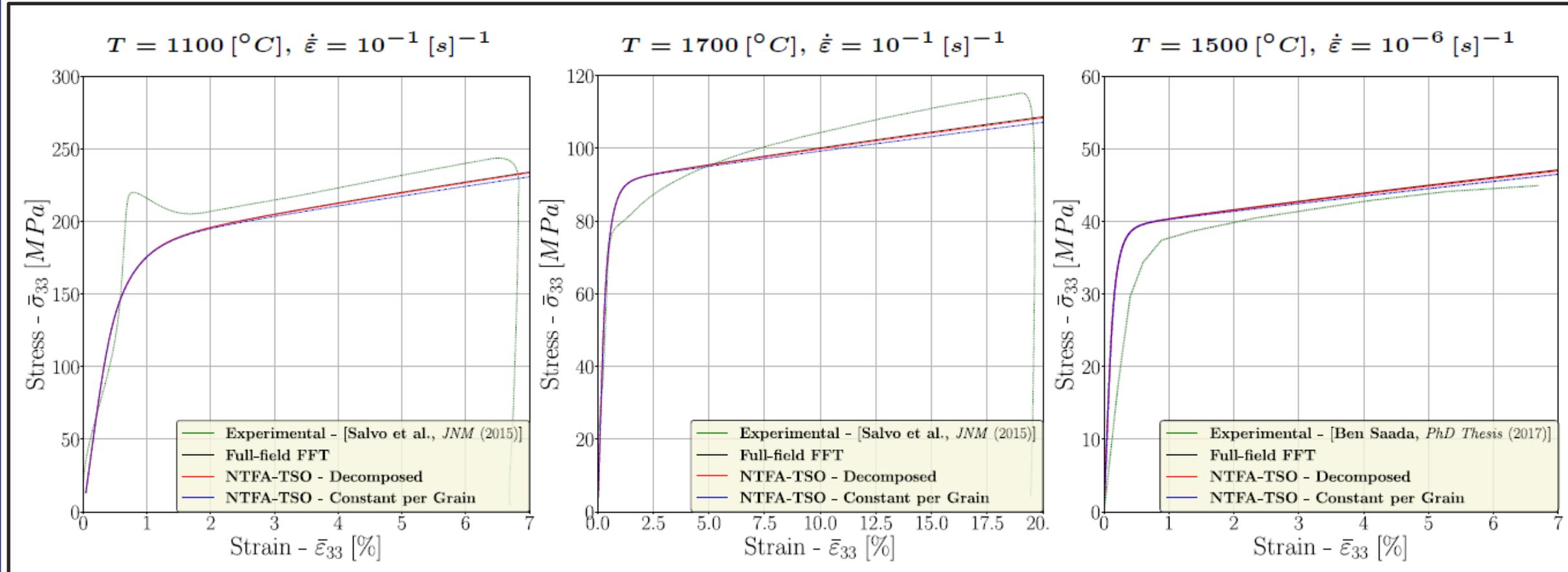
$$\langle \mu_{p,i}^{(k)}(x) \times \mu_{p,i}^{(l)}(x) \rangle = \begin{cases} \lambda^{(k)} & \text{if } k = l, \\ 0 & \text{otherwise.} \end{cases} \parallel \text{Positive eigenvalues.}$$

After application of this procedure, a total of ~1000 modes were selected for the decomposed strain-hardening model.

## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Results** (Labat et al., 2023).

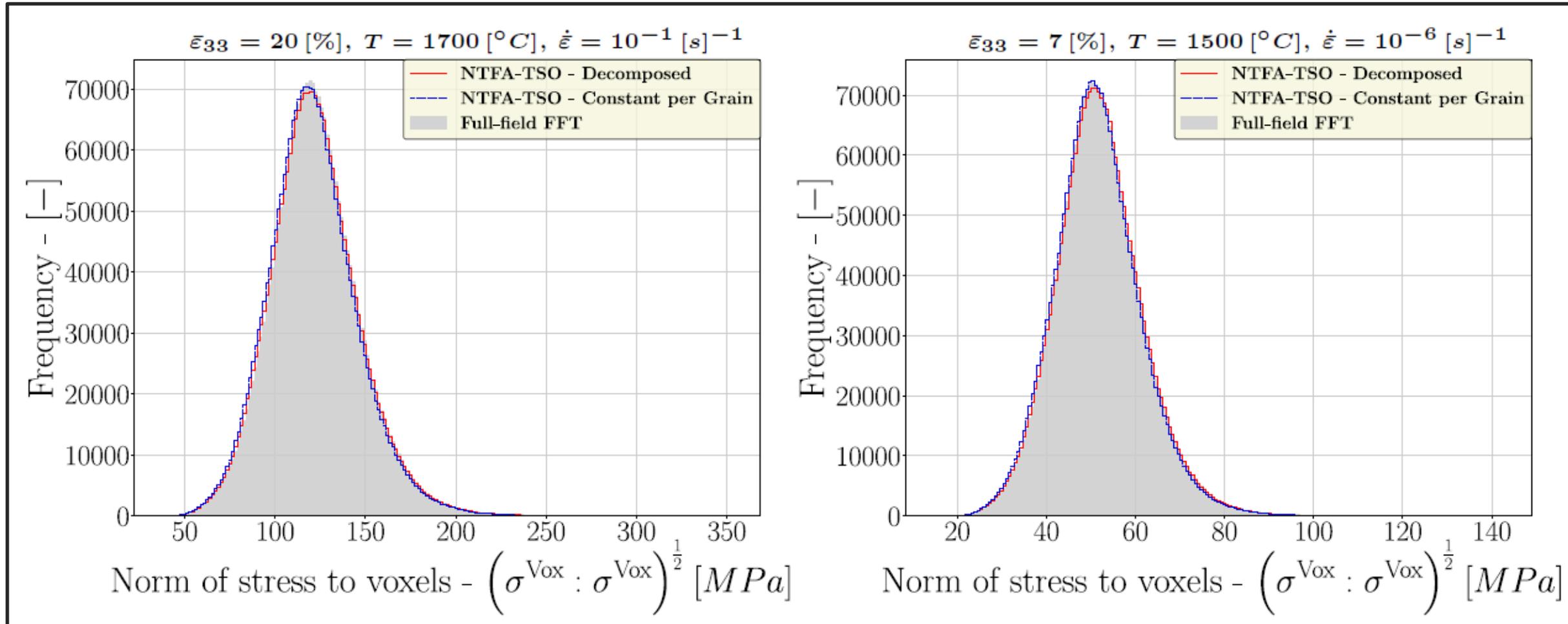
**Uniaxial Compressive Strain Test – Macroscopic Comparison between Experimental Results, Full-Field FFT and NTFA-TSO Model:**



## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Results** (Labat et al., 2023).

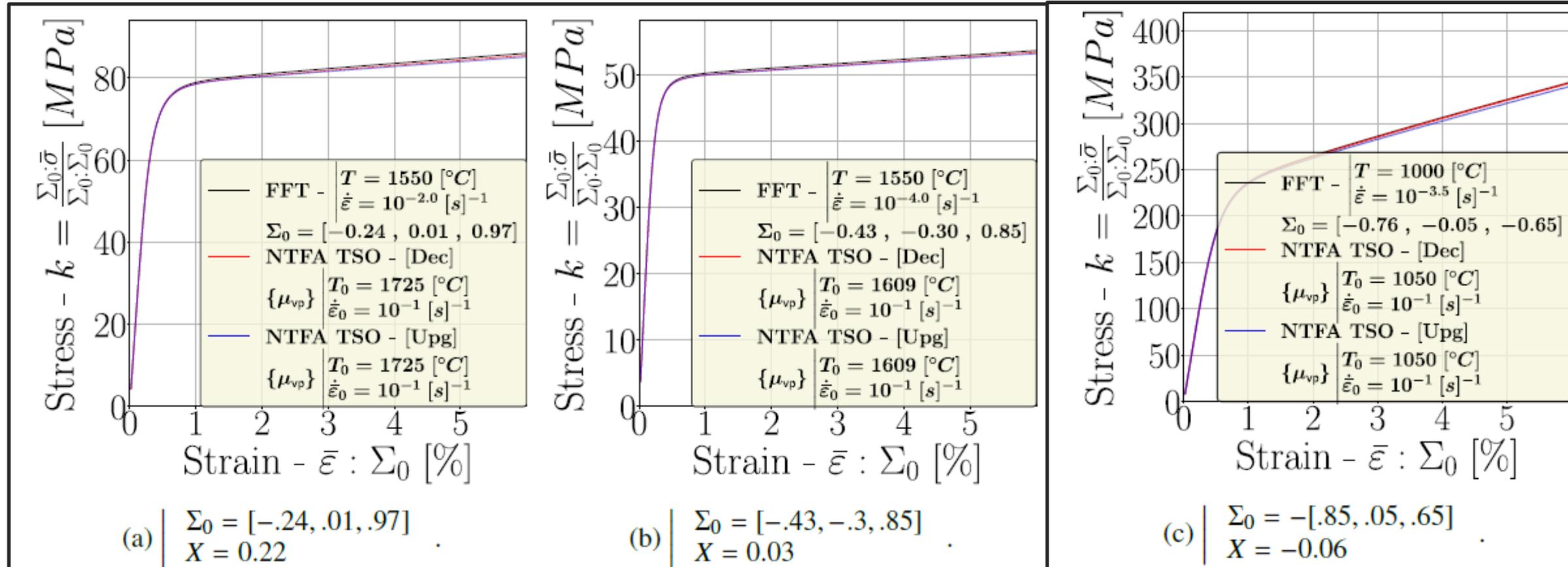
### *Uniaxial Compressive Strain Test – Local Comparison between Full-Field FFT and NTFA-TSO Model:*



## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Results** (Labat et al., 2023).

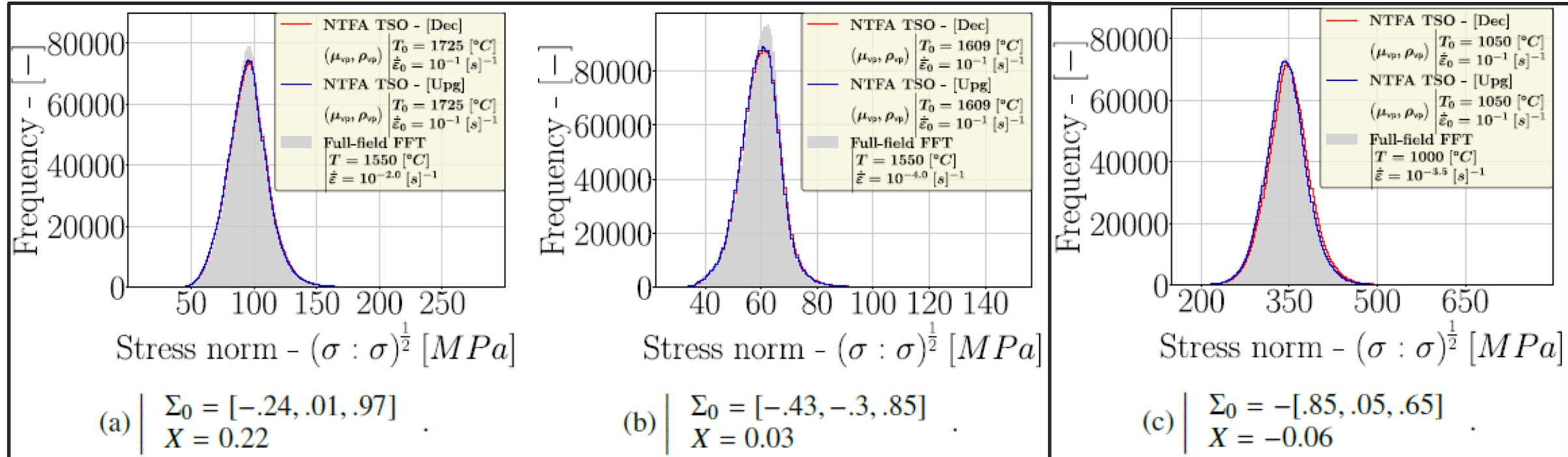
### *Triaxial Compressive Strain Test – Macroscopic Comparison between Full-Field FFT and NTFA-TSO Model:*



## 4. NTFA-TSO applied to the 2<sup>nd</sup> typical example - Implementation & results

- **Results** (Labat et al., 2023).

### *Triaxial Compressive Strain Test – Local Comparison between Full-Field FFT and NTFA-TSO Model:*



## 5. NTFA: Conclusion - Outlook & Reflection

### Conclusion:

- In nonlinear composite or polycrystalline materials, intra-phase strain heterogeneity is very important => Elementary patterns or modes of deformation are observed.
- These "modes" are the reduced base for a reduced "homogenized" constitutive model such as NTFA-type models:
  - The modes can be determined numerically by simulating (Full Field approach: FEM, FFT) the response of the r.v.e. along loading paths.
  - There is no universal method for choosing these modes, and you should try to select them according to the loads experienced within the structure (see (Michel and Suquet, 2009) or in appendix 2, see (Leturcq et al., 2022), etc) and/or the microstructure (see this presentation).
  - Reduction of the modal base is achieved by POD.
- The NTFA approach has been applied to many types of materials (composites, polycrystals, porous materials (Michel and Suquet, 2017), etc.) and for different types of linear and non-linear behaviors (aging viscoelastic, viscoplastic, plastic with isotropic and kinematic strain hardening, etc.).

### Outlook & Reflection:

- Modal base construction by Artificial Intelligence?
- Probability modes to account for microstructure variability during a manufacturing process?
- Considering damage (see Ju et al., 2025) and crack propagation?

# **Acknowledgements:**

*Jean-Claude Michel, Pierre Suquet and the various contributors to the NTFA approach.*

**Thank You for your attention.**

**Any questions ?**

Dr. Rodrigue Largenton – Senior Expert  
EDF R&D - Materials and Mechanics of Components Department  
Civil and Fuel Engineering Group  
CEA Cadarache Bât. 151 13108 St Paul lez Durance Cedex FRANCE  
[rodrigue.largenton@edf.fr](mailto:rodrigue.largenton@edf.fr)

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# APPENDIX 1 – TYPICAL EXAMPLE 2

Formalisms of  $h_i$  and  $\tau^0_i$  functions and Identification of parameters



# 1. Crystal plasticity law for UO<sub>2</sub> fuel

## Calibration of $\tau_i^0(T, \dot{\epsilon})$

$$n_{\{100\}} = 4, \dot{\gamma}_{\{100\}}^0(\dot{\epsilon}) = 0.7 \times \dot{\epsilon} \text{ and } n_{\{110\}} = 4, \dot{\gamma}_{\{110\}}^0(\dot{\epsilon}) = 0.5 \times \dot{\epsilon},$$

$$\text{with: } \begin{cases} n_i & \text{deduced from observations,} \\ \dot{\gamma}_i^0(\dot{\epsilon}) & \text{determined using numerical tests at the single crystal scale.} \end{cases}$$

$$\tau_i^0(T, \dot{\epsilon}) = A_i^f(\dot{\epsilon}) \times \exp(-b_i^f \times T) + C_i^f(\dot{\epsilon})$$

$$A_i^f(\dot{\epsilon}) = \text{Max} \left[ a_i^{f,0} - a_i^{f,1} \times \exp[-a_i^{f,2} \times \log_{10}(\dot{\epsilon})], 0 \right],$$

$$\text{with: } \begin{cases} C_i^f(\dot{\epsilon}) & \text{same strain rate dependence as } A_i^f(\dot{\epsilon}), \\ (A_i^f, b_i^f, C_i^f) & \text{obtained by minimising error using LM algorithm} \\ & \text{[Levenberg, QAM (1944)] [Marquardt, JSIAM (1963)],} \\ \tau_i^0(T, \dot{\epsilon}) & \text{targeted function.} \end{cases}$$

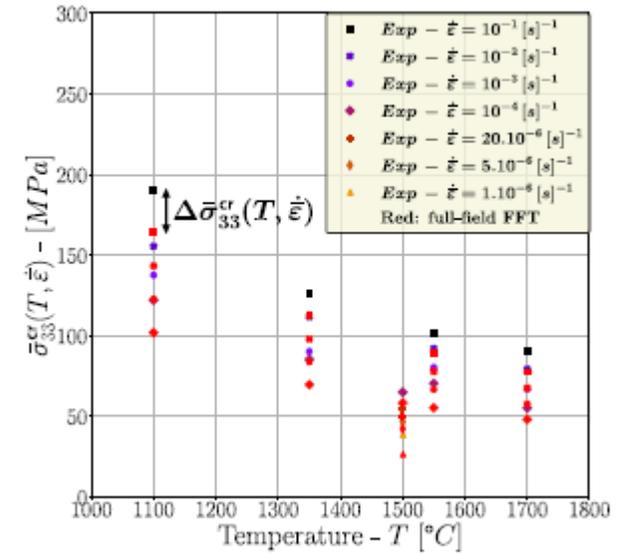
$$\tau_i^{0,(n+1)}(T, \dot{\epsilon}) = \tau_i^{0,(n)}(T, \dot{\epsilon}) + f_s \times {}^{(n)}\Delta\bar{\sigma}_{33}^{cr}(T, \dot{\epsilon}), \quad f_s = 0.5,$$

$$\text{with: } \begin{cases} \tau_i^{0,(n+1)} & \text{input data used in the LM algorithm,} \end{cases}$$

$$Er^{(n)} = \frac{1}{N} \times \sum |{}^{(n)}\Delta\bar{\sigma}_{33}^{cr}(T, \dot{\epsilon})|, \quad |Er^{(n)} - Er^{(n-1)}| < \delta \times Er^{(n-1)},$$

$$\text{with: } \begin{cases} {}^{(n)}\Delta\bar{\sigma}_{33}^{cr}(T, \dot{\epsilon}) & \text{error at given loading conditions,} \\ (Er^{(n)}, \delta (= 10^{-3})) & \text{relative error and stopping criterion.} \end{cases}$$

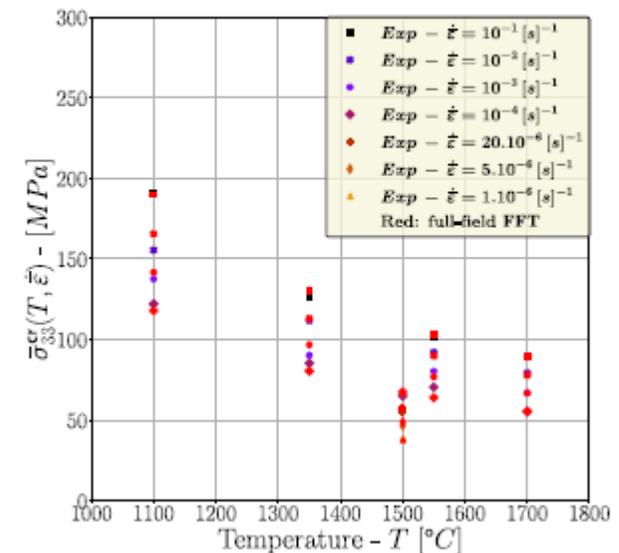
## Beginning of the iterative process



## Mechanical tests

from [Salvo et al., JNM (2015)] and [Ben Saada, PhD Thesis (2017)]

## End of the iterative process



## 2. Crystal plasticity law for UO<sub>2</sub> fuel

### Calibration of $h_i(T, \dot{\epsilon})$

$$h_{\{100\}} = h_{\{110\}} = h$$

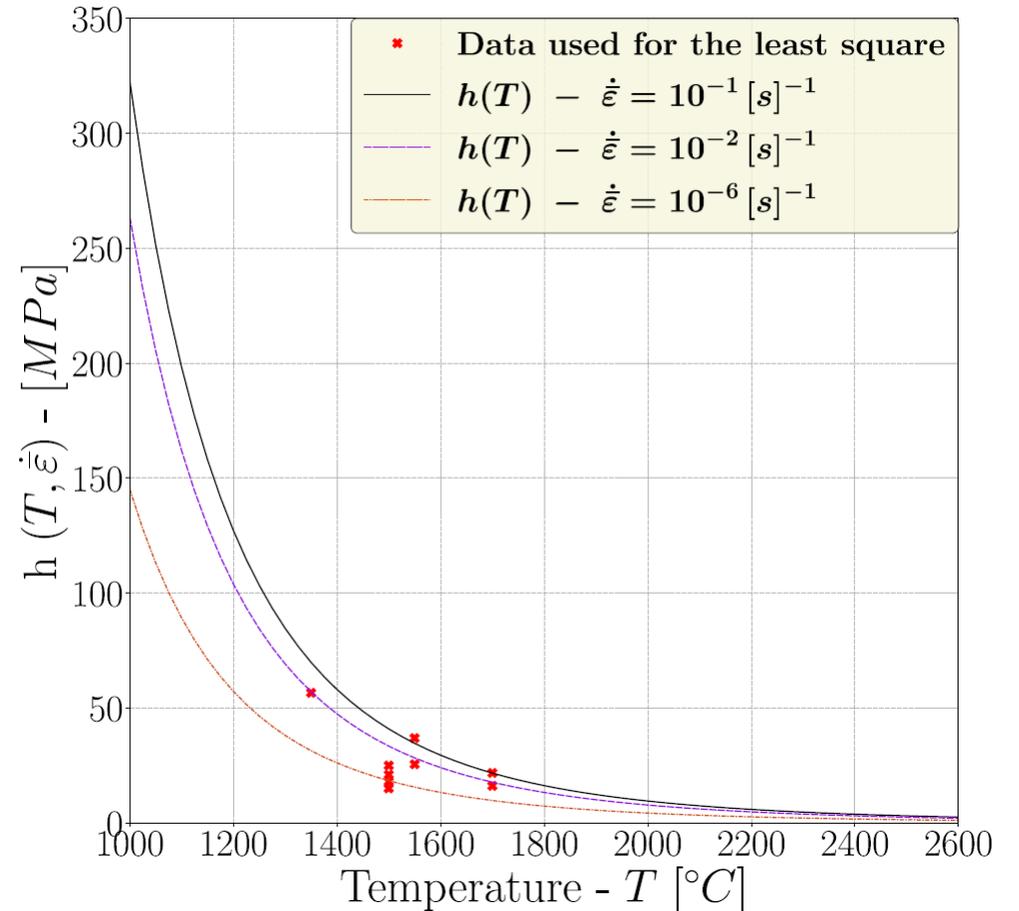
$$h^{(n+1)} = \frac{a_{p,exp} \times h^{(n)}}{a_{p,simu}^{(n)}}, \quad |a_{p,simu}^{(n)} - a_{p,exp}| < \delta \times a_{p,exp},$$

with:  $a_{p,exp}$  experimental strain hardening slope,  
 $a_{p,simu}^{(n)}$  numerical strain hardening slope,  
 $\delta (= 10^{-4})$  relative stopping criterion.

$$h(T, \dot{\epsilon}) = \frac{a^h \times \ln(b^h \times \dot{\epsilon} + f^h)}{(c^h \times T + d^h)^{e^h}},$$

with:  $h^{(n)}$  input data used in the LM algorithm,  
 $(a^h, b^h, c^h, d^h, e^h, f^h)$  coefficients determined by using LM algorithm [Levenberg, QAM (1944)] [Marquardt, JSIAM (1963)].

### End of the iterative process



**Mechanical tests**  
 from [Salvo et al., JNM (2015)] and  
 [Ben Saada, PhD Thesis (2017)]

# APPENDIX 2

## PLASTIC STRAIN HETEROGENEITY IN COMPOSITE MATERIALS AND THE NONUNIFORM TRANSFORMATION FIELD ANALYSIS

R. Largeton, J.-C. Michel, P. Suquet  
[suquet@lma.cnrs-mrs.fr](mailto:suquet@lma.cnrs-mrs.fr)

Euromech 537 – Multi-scale Computational Homogenization of Heterogeneous Structures and Materials –  
Université Paris-Est, Marne-la-Vallée,  
26-28 March 2012



### Abstract:

The Nonuniform Transformation Field Analysis is a reduced order model for the effective behavior of nonlinear composite materials. It is based on the decomposition of the plastic strain field on nonuniform plastic modes. It is presented and applied to the modeling of the aging behavior of a nuclear fuel and the life-time prediction of a structure.

# Plastic strain heterogeneity in composite materials and the Nonuniform Transformation Field Analysis

**R. Largenton<sup>(1)</sup>, JC Michel<sup>(2)</sup>, P. Suquet<sup>(2)</sup>**

<sup>(1)</sup> Dpt MMC EDF R&D, Avenue des Renardières, 77818 Moret Sur Loing, France

<sup>(2)</sup> LMA, CNRS, UPR 7051, 31 Chemin Joseph Aiguier, 13402 Marseille Cedex 20, France

*suquet@lma.cnrs-mrs.fr*

**1. Motivation**

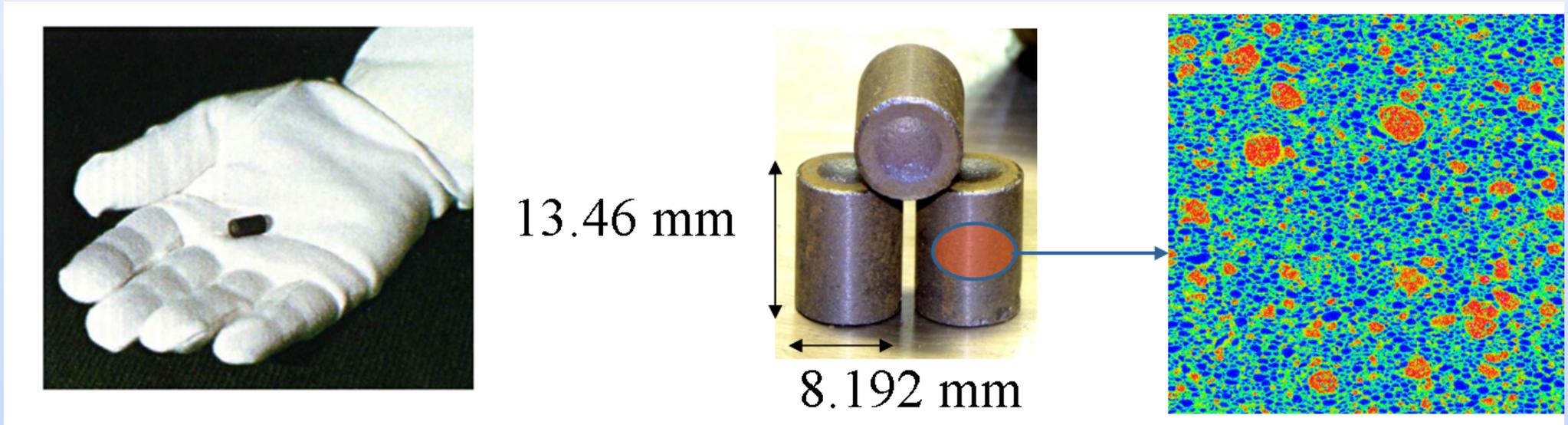
**2. Classical approach : Transformation Field Analysis**

**3. Reduced model : Nonuniform Transformation Field Analysis**

# 1. Motivation : two typical examples.

## 1. Aging of nuclear fuels.

Mixed Oxide (MoX)= Mix  $\text{UO}_2$  and  $\text{U-PuO}_2$  (recycling fission products).



### Three-phase composite :

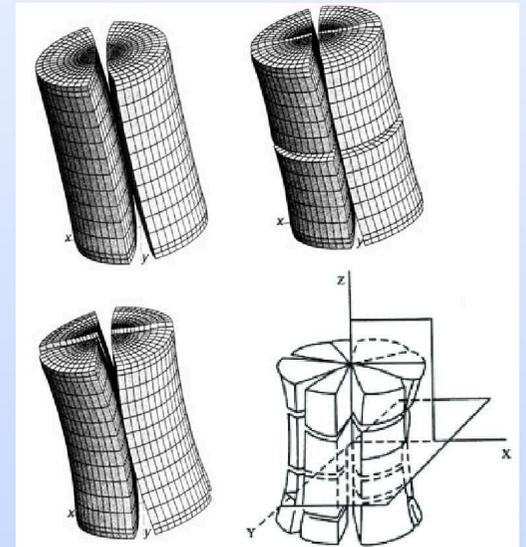
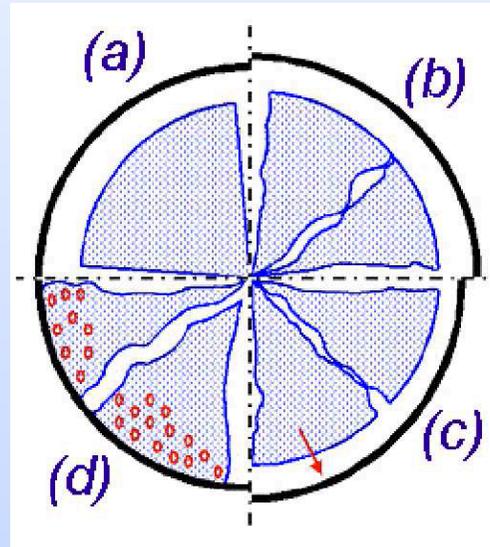
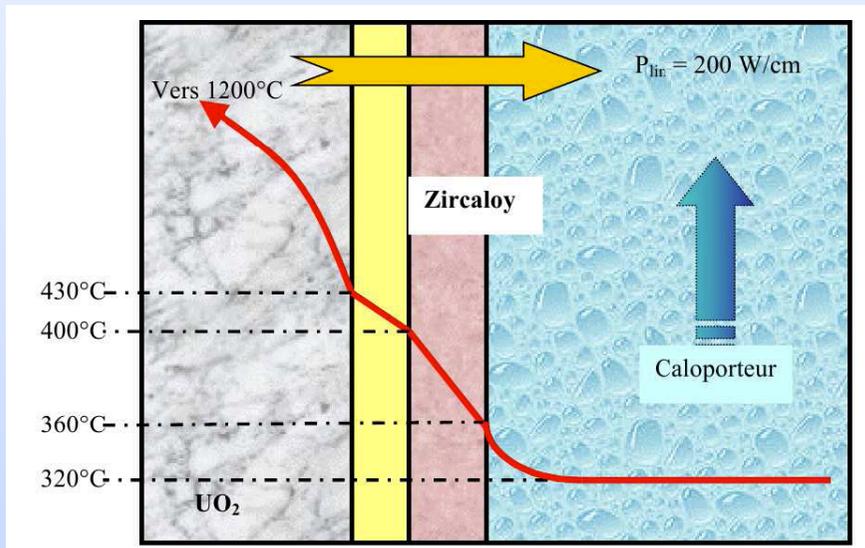
- **composite matrix** where both phases are well mixed (green  $\simeq 10\%$   $Pu$ )
- clusters of  **$\text{UO}_2$**  (blue, 0%  $Pu$ ), volume fraction  $\simeq 24\%$ ,  $10\ \mu\text{m} \leq \phi \leq 60\ \mu\text{m}$ ,
- clusters of  **$\text{U-PuO}_2$**  (red, 28%  $Pu$ ), volume fraction  $\simeq 15\%$ ,  $10\ \mu\text{m} \leq \phi \leq 150\ \mu\text{m}$ .

# Constitutive relations for the individual phases

$$\epsilon = \epsilon^e + \epsilon^{an}, \quad \epsilon^{an} = \epsilon^{\text{irradiation creep}} + \epsilon^{\text{solid swelling}}$$

$$\epsilon^{\text{irradiation creep}} = A\dot{F}(t)e^{-\frac{Q}{RT(t)}}M^V : \sigma, \quad \dot{F} = \text{fission rate},$$

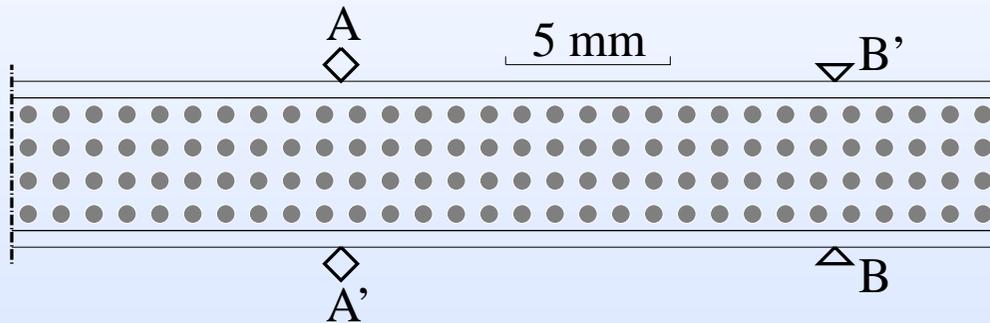
$$\epsilon^{\text{solid swelling}} = f(t)i, \quad f(t) = aB(t) + b, \quad B = \text{burn-up}.$$



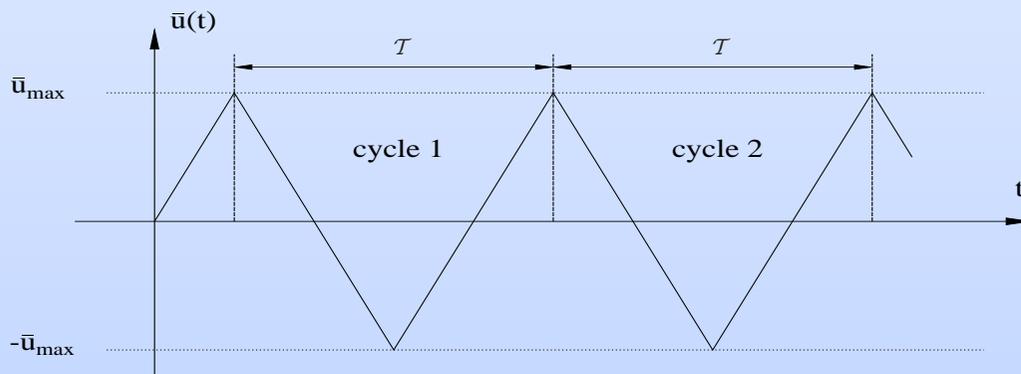
**Swelling** : fission  $\Rightarrow$  diffusion of atoms. **Aging** : time-dependent material properties (function of irradiation which is a function of time).

**High temperature gradient**  $\Rightarrow$  heterogeneous deformation, fracturation, contact with cladding. **PREDICT** : overall swelling, effective behavior of MoX ?

## 2. Life-time prediction of a structure



Half-beam (120 fibers).



**Loading** : prescribed vertical displacement at points A and A', frequency 0.1 Hz, 3 different amplitudes

$$\bar{u}_{\max} = 0.15, 0.25, 0.5 \text{ mm.}$$

Fatigue of a composite beam by cyclic 4 point-bending.

Elastic fibers  
elasto-viscoplastic matrix with nonlinear kinematic hardening (Chaboche, 1993) :

$$\sigma = L : (\varepsilon - \varepsilon^p), \quad \dot{\varepsilon}^p = \frac{3}{2} \dot{p} \frac{s - \mathfrak{X}}{(\sigma - \mathfrak{X})_{\text{eq}}},$$

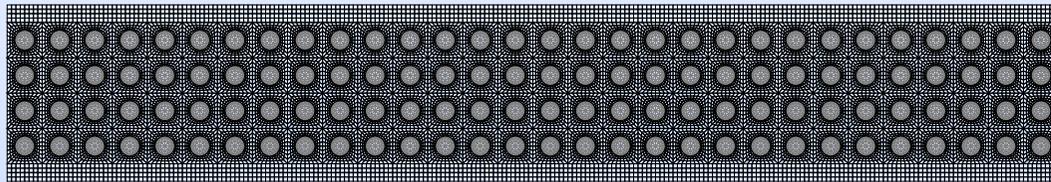
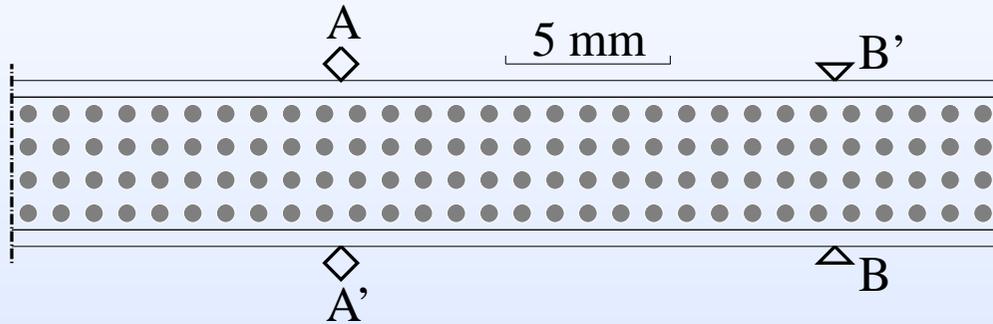
$$\dot{p} = \dot{\varepsilon}_0 \left[ \frac{((\sigma - \mathfrak{X})_{\text{eq}} - \sigma_y)^+}{\sigma_0} \right]^n,$$

$$\dot{\mathfrak{X}} = \frac{2}{3} H \dot{\varepsilon}^p - \xi \mathfrak{X} \dot{p}.$$

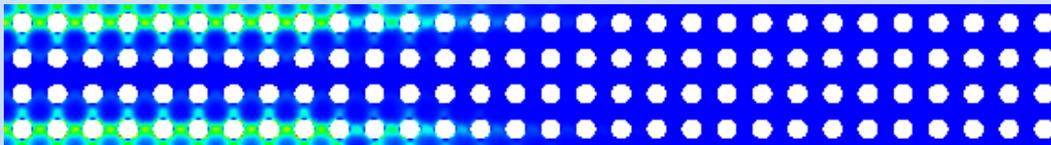
Local fatigue criterion (at point  $X$ ) based on the energy dissipated along the stabilized cycle :  $N_{\text{cycl}} \# w^\beta$  with

$$w(\mathbf{X}, \text{cycle}) = \int_{\text{cycle}} \sigma(\mathbf{X}, t) : \dot{\varepsilon}^p(\mathbf{X}, t) dt.$$

# Brute force : full-field computation of the composite structure



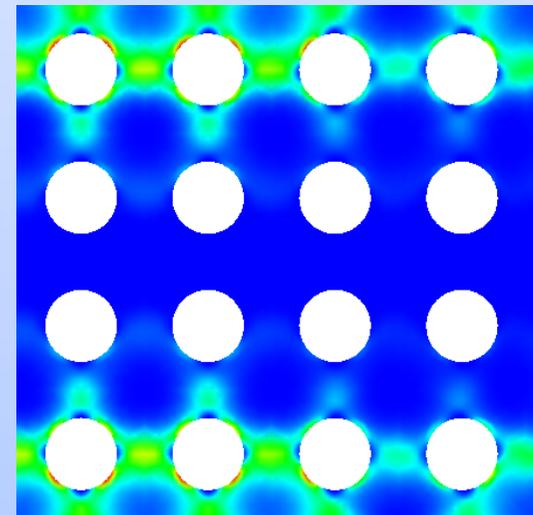
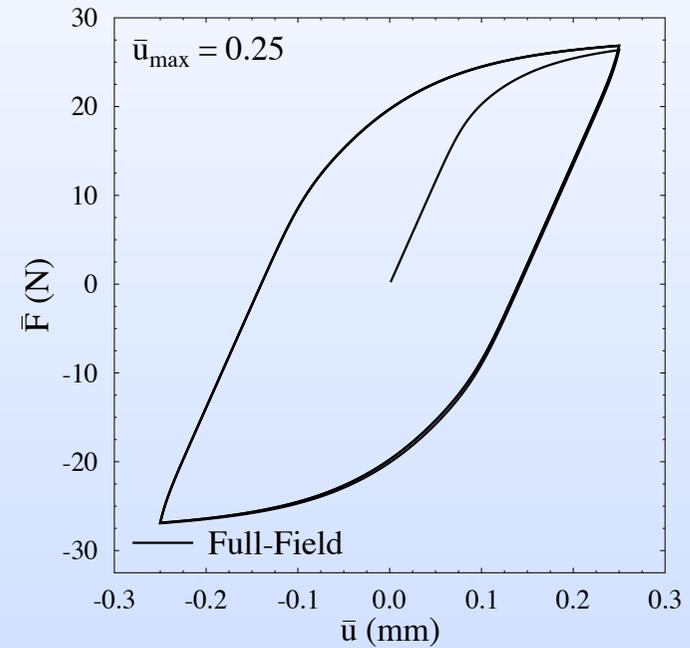
26880 quadratic elements (6 or 8 nodes)



Snapshot of the energy dissipated along the stabilized cycle. Zoom →

Can the global and the local responses of the beam be predicted by means of an homogenized model ?

## Force/displacement at point A



Homogenization of highly heterogeneous materials with nonlinear dissipative constituents entering the class of *Generalized Standard Materials (GSM)* (Halphen & Nguyen, 1975) governed by two convex potentials :

At each point  $x$  of the (micro)structure :

**State of the system (state variables)** :  $\varepsilon, \alpha = \varepsilon^p,$

**Energy available in the system** :  $w(\varepsilon, \alpha),$

$\Rightarrow$  **Driving forces** :  $\sigma = \frac{\partial w}{\partial \varepsilon}(\varepsilon, \alpha), \quad \mathcal{A} = -\frac{\partial w}{\partial \alpha}(\varepsilon, \alpha),$

**Evolution of the internal variables** :  $\dot{\alpha} = \frac{\partial \psi}{\partial \mathcal{A}}(\mathcal{A}) \Leftrightarrow \mathcal{A} = \frac{\partial \varphi}{\partial \dot{\alpha}}(\dot{\alpha}).$

where  $\psi$  and  $\varphi$  are dual potentials.

**EXACT separation of scales in nonlinear problems is seldomly met : an infinite number of internal variables is required (Mandel 68, Rice 71, PS 82).**

State variables	:	$\bar{\varepsilon}, \quad \tilde{\alpha} = \{\varepsilon^p(x)\}_{x \in V},$
Potentials	:	$\tilde{w}(\bar{\varepsilon}, \tilde{\alpha}) = \langle w(\varepsilon, \varepsilon^p) \rangle, \quad \tilde{\varphi}(\dot{\tilde{\alpha}}) = \langle \varphi(\dot{\varepsilon}^p) \rangle,$
Driving forces	:	$\bar{\sigma} = \frac{\partial \tilde{w}}{\partial \bar{\varepsilon}}(\bar{\varepsilon}, \tilde{\alpha}), \quad \tilde{\mathcal{A}} = -\frac{\partial \tilde{w}}{\partial \tilde{\alpha}}(\bar{\varepsilon}, \tilde{\alpha}),$
Evolution of internal variables	:	$\tilde{\mathcal{A}} = \frac{\partial \tilde{\varphi}}{\partial \dot{\tilde{\alpha}}}(\dot{\tilde{\alpha}}).$

⇒ **No scale decoupling : both scales have to be resolved simultaneously.**

**Differential Equation in a space of infinite dimension :**

$$\dot{\tilde{\alpha}} = \frac{\partial \tilde{\psi}}{\partial \tilde{\mathcal{A}}} \left( -\frac{\partial \tilde{w}}{\partial \tilde{\alpha}}(\bar{\varepsilon}, \tilde{\alpha}) \right).$$

**Aim of this work : derive a reduced model.**

## 2. Classical approach : Transformation Field Analysis

**Objective :** approximate homogenized model for the r.v.e.  $V$ . Internal variables

$$\tilde{\alpha} = \varepsilon^p(x)|_{x \in V},$$

where  $V =$  r.v.e. (representative volume element).

Reduction (Dvorak, 1992) of the plastic strain field on the r.v.e to  $6 \times N$  internal variables,  $N =$  number of phases in the composite :

- H1. Plastic strain uniform / phase :

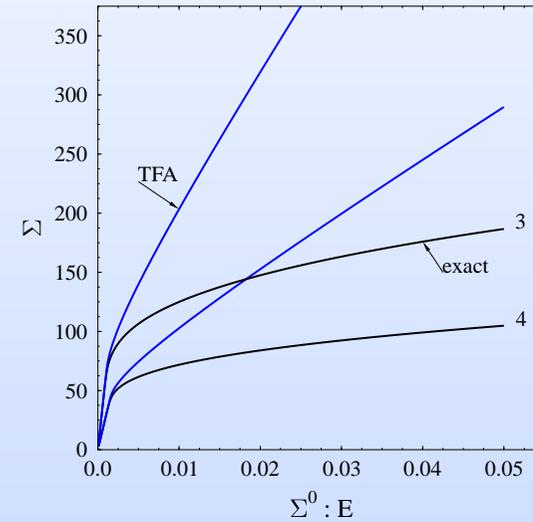
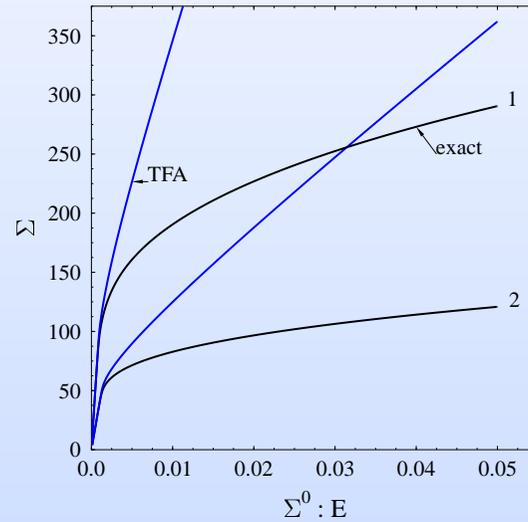
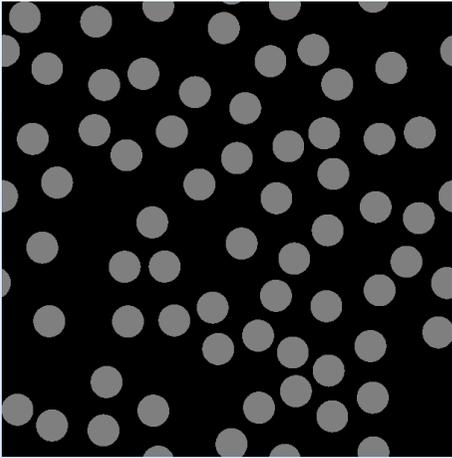
$$\varepsilon^p(x) = \sum_{r=1}^N \varepsilon_r^p \chi^{(r)}(x), \quad x \in V$$

Internal variables :  $\varepsilon_r^p | r = 1, \dots, N$

- H2. Evolution of  $\varepsilon_r^p$  governed by the average stress in phase  $r$  :

$$\varepsilon_r = M^{(r)} : \sigma_r + \varepsilon_r^p, \quad \sigma_r = \langle \sigma \rangle_r, \quad \dot{\varepsilon}_r^p = \frac{\partial \psi^{(r)}}{\partial \sigma}(\bar{\sigma}_r).$$

## Very stiff predictions.....

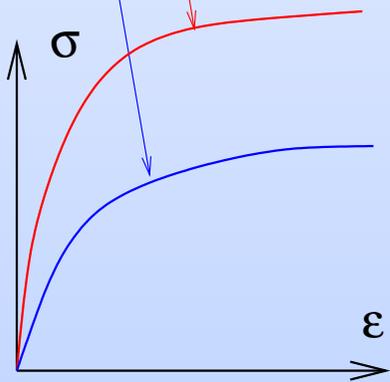
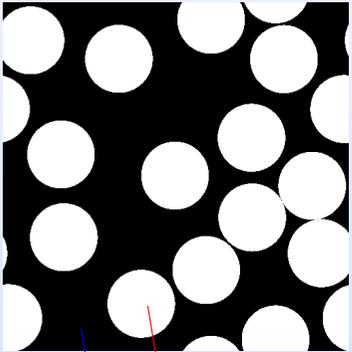


4 loading conditions :  $\bar{\sigma} = \bar{\sigma}(t)\Sigma^0$  :

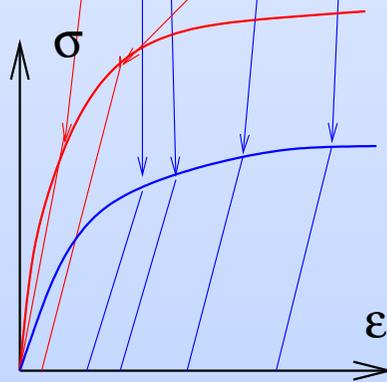
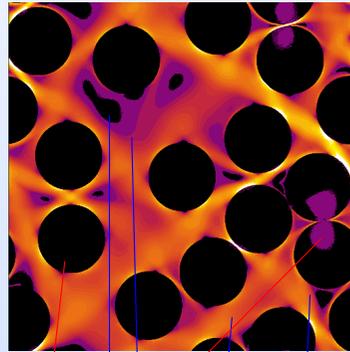
$$\Sigma^{(1)} = e_1 \otimes e_1, \quad \Sigma^{(2)} = e_1 \otimes e_2 + e_2 \otimes e_1, \quad \Sigma^{(3)} = \Sigma^{(1)} + \frac{1}{2}\Sigma^{(2)}, \quad \Sigma^{(4)} = \Sigma^{(1)} + \Sigma^{(2)}.$$

# What's wrong

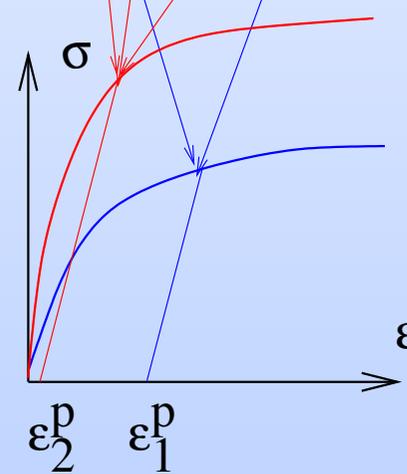
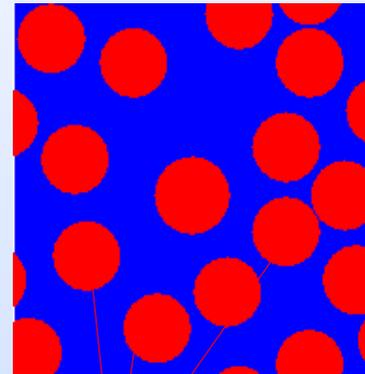
Nonlinear composite  
(two-phase)



Actual nonuniform  
plastic strain field

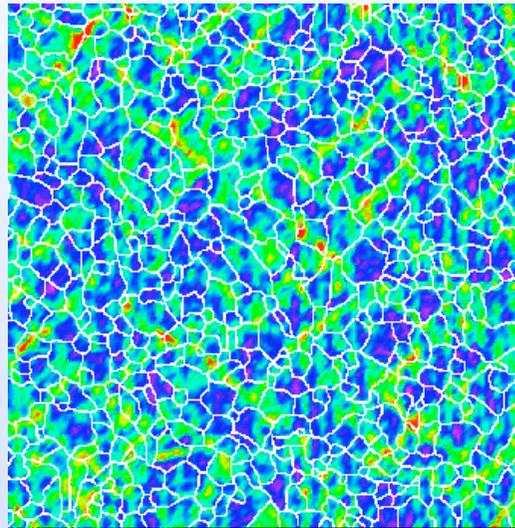
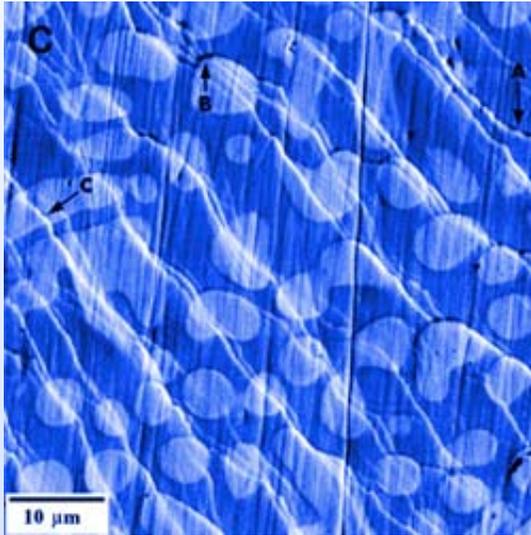


Uniform plastic strain field  
(TFA)



**The TFA assumes that the plastic strain field is uniform per phase.**

# Field fluctuations do exist !

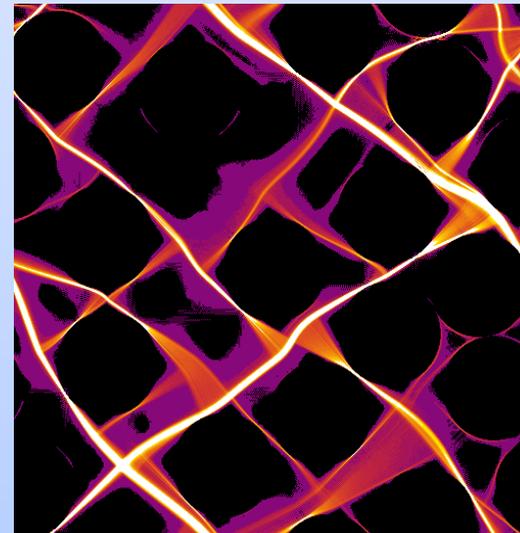
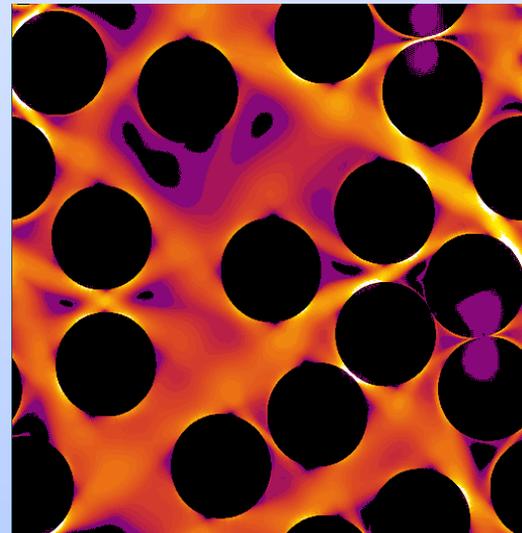
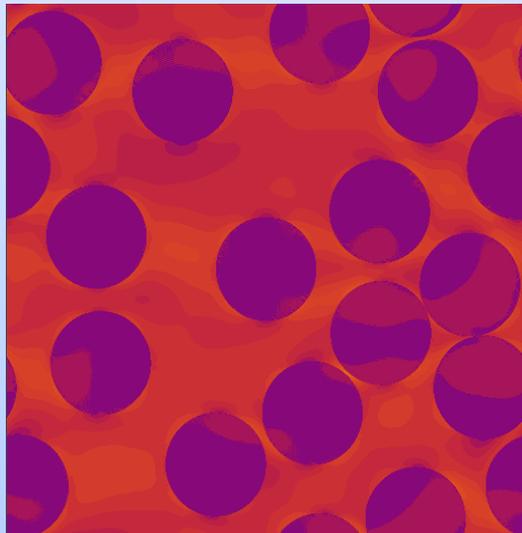


## Experiments :

left : metallic glass ©W. Johnson

right : polycrystalline Zirconium.

©Bornert & Doumalin.



## Simulations :

Elastic fibers, matrix :

left : elastic,

center : hardening,

right : ideally plastic.

### 3. Non Uniform Transformation Field Analysis NTFA

**Reduction (Michel, PS, IJSS 2003)** : reproduce the “dynamics” (evolution) and the observed “patterns” with **just a few variables, but physically motivated**.

**1st ingredient : Re-formulation of assumptions H1 :  $\varepsilon^p$  decomposed on nonuniform “plastic modes”**

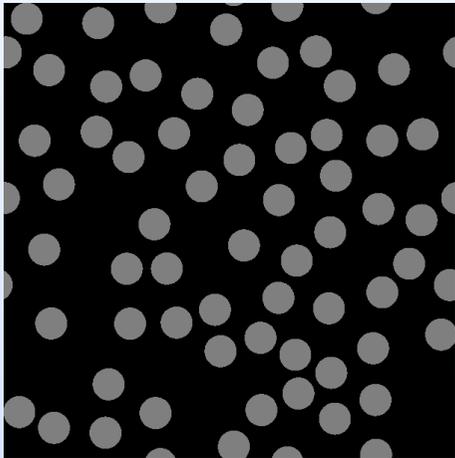
$$\varepsilon^p(\mathbf{x}, t) = \sum_{k=1}^M \varepsilon_k^p(t) \boldsymbol{\mu}^{(k)}(\mathbf{x}), \quad \mathbf{x} = \text{microscopic variable} \in \text{volume element } V.$$

$\boldsymbol{\mu}^{(k)}$  **tensorial field** defined on  $V$  only, capturing the pattern of the plastic deformation,  $\varepsilon_k^p$  is a **scalar**. The  $\varepsilon_k^p$ 's are the **internal variables of the model**.

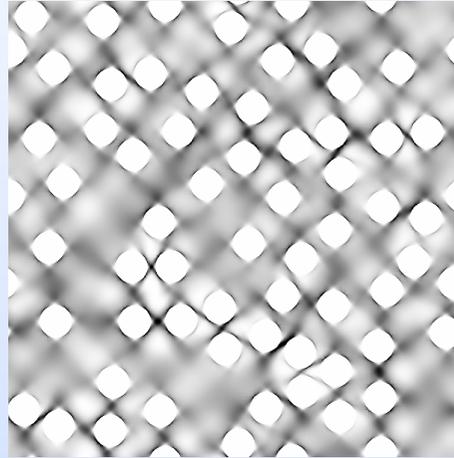
- $\boldsymbol{\mu}^{(k)}$  has its support in a single phase in  $V$ .
- $\boldsymbol{\mu}^{(k)}$  are mutually orthogonal :  $\langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\mu}^{(\ell)} \rangle = 0$  when  $k \neq \ell$ .
- $\text{Tr} \boldsymbol{\mu}^{(k)} = 0$  (incompressible plasticity, for simplicity).

State variables :  $\bar{\boldsymbol{\varepsilon}}, (\varepsilon_k^p)_{k=1, M}$ .

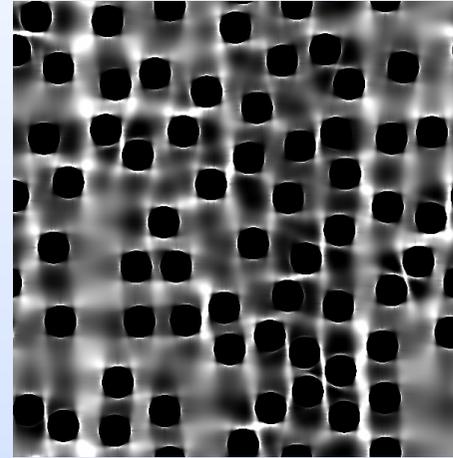
**What do these modes look like ?** Elastic fibers. Elasto-plastic matrix with isotropic hardening . Fiber volume fraction : 0.25.



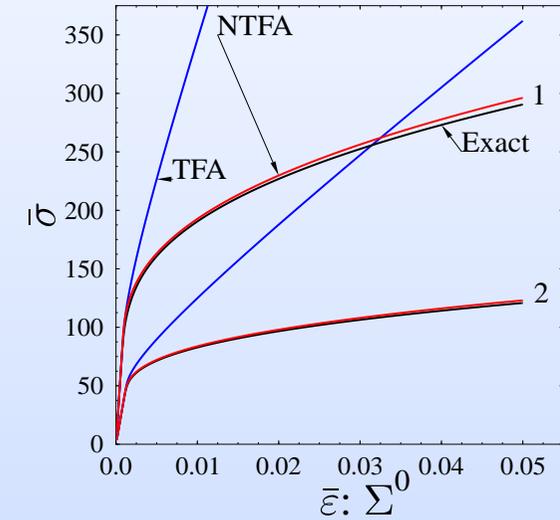
VER (64 fibers).



$\mu_{22}^{(1)}$



$\mu_{12}^{(2)}$



**TFA : plastic strain field uniform in the matrix phase (2 internal variables  $\varepsilon_{11}^p, \varepsilon_{12}^p$ .)**

**NTFA : 2 modes  $\mu^{(k)}$  = plastic strain field along two loading paths :**

$$\bar{\sigma} = \bar{\sigma}(t) \Sigma^{(k)}, \quad \Sigma^{(1)} = \text{simple tension}, \quad \Sigma^{(2)} = \text{pure shear}, \quad \bar{\varepsilon}_t : \Sigma^{(k)} = 5\%.$$

**Requires an accurate evolution law for the amplitudes  $\varepsilon_k^p$  on the modes.**

## 2nd ingredient : evolution law for the $\varepsilon_k^p$ 's

**Local fields** : Prescribe the macroscopic state variables  $\bar{\varepsilon}, (\varepsilon_k^p)_{k=1,M}$ . Solve :

$$\begin{aligned}\sigma(\mathbf{x}) &= \mathbf{L}(\mathbf{x}) : (\varepsilon(\mathbf{x}) - \varepsilon^p(\mathbf{x})), & \varepsilon^p(\mathbf{x}) &= \sum_{k=1}^M \varepsilon_k^p \mu^{(k)}(\mathbf{x}), \\ \operatorname{div}(\sigma(\mathbf{x})) &= 0, & \langle \varepsilon \rangle &= \bar{\varepsilon}, & + \text{B.C. (periodicity)}.\end{aligned}$$

**Linear (thermoelastic) problem**  $\Rightarrow$  superposition :

$$\begin{aligned}\varepsilon(\mathbf{x}, t) &= \mathbf{A}(\mathbf{x}) : \bar{\varepsilon}(t) + \sum_{k=1}^M \mathbf{D} * \mu^{(k)}(\mathbf{x}) \varepsilon_k^p \\ \sigma(\mathbf{x}, t) &= \mathbf{L}(\mathbf{x}) : \mathbf{A}(\mathbf{x}) : \bar{\varepsilon}(t) + \sum_{k=1}^M \rho^{(k)}(\mathbf{x}) \varepsilon_k^p(t),\end{aligned}$$

- $\mathbf{A}(\mathbf{x})$  **elastic strain-localization tensor**
- $\mathbf{D}$  Green's operator,  $\mathbf{D} * \mu^{(k)}(\mathbf{x})$  **influence tensors**.
- $\rho^{(k)}(\mathbf{x}) = \mathbf{L}(\mathbf{x}) : (\mathbf{D} * \mu^{(k)}(\mathbf{x}) - \mu^{(k)}(\mathbf{x}))$ .

**Local fields  $\sigma, \varepsilon$  depend LINEARLY on  $\bar{\varepsilon}$  and  $\varepsilon_k^p |_{k=1,\dots,M}$ .**

# Constitutive relations for the macroscopic state variables $\varepsilon_k^p |_{k=1, M}$ 's

More easily expressed in terms of the **reduced variables** :

$$\tau_k = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\sigma} \rangle, \quad e_k = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\varepsilon} \rangle, \quad e_k^p = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\varepsilon}^p \rangle = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\mu}^{(k)} \rangle \varepsilon_k^p.$$

$$\dot{\boldsymbol{\sigma}}(\boldsymbol{x}) = \boldsymbol{L}^{(r)} : (\dot{\boldsymbol{\varepsilon}} - \dot{\boldsymbol{\varepsilon}}^p) \Rightarrow \dot{\tau}_k = 2G^{(r)}(\dot{e}_k - \dot{e}_k^p),$$

$$\boldsymbol{\varepsilon}(\boldsymbol{x}) = \boldsymbol{A}(\boldsymbol{x}) : \bar{\boldsymbol{\varepsilon}} + \sum_{k=1}^M \boldsymbol{D} * \boldsymbol{\mu}^{(k)}(\boldsymbol{x}) \varepsilon_k^p \Rightarrow \dot{e}_k = \boldsymbol{a}_k : \dot{\bar{\boldsymbol{\varepsilon}}} + \sum_{\ell=1}^M D_{k\ell} \dot{\varepsilon}_\ell^p,$$

$$\boldsymbol{a}_k = \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{A} \rangle, \quad D_{k\ell} = \langle \boldsymbol{\mu}^{(k)} : (\boldsymbol{D} * \boldsymbol{\mu}^{(\ell)}) \rangle$$

$$\dot{e}_k^p = \langle \boldsymbol{\mu}^{(k)} : \dot{\boldsymbol{\varepsilon}}^p \rangle = \frac{3}{2} \left\langle \frac{\partial \psi}{\partial \sigma_{\text{eq}}}(\sigma_{\text{eq}}) \frac{\boldsymbol{\mu}^{(k)} : \boldsymbol{\sigma}^{\text{dev}}}{\sigma_{\text{eq}}} \right\rangle \simeq \frac{3}{2} \frac{\partial \psi^{(r)}}{\partial \sigma_{\text{eq}}}(\tau_{\text{eq}}^r) \frac{\tau_k}{\tau_{\text{eq}}^r}$$

**Approximation** :  $\sigma_{\text{eq}}$  approximated by  $\tau_{\text{eq}}^r = \left( \sum_{k=1}^{M(r)} (\tau_k)^2 \right)^{1/2} \Rightarrow$

Ordinary differential equations :  $\dot{\tau}_k = F(\{\tau\}_\ell, \dot{\bar{\boldsymbol{\varepsilon}}})$  or equivalently  $\dot{\varepsilon}_k^p = G(\{\varepsilon^p\}_\ell, \dot{\bar{\boldsymbol{\varepsilon}}})$ .

## 4. Implementation and examples

### A. Effective constitutive relations :

#### 1. Do once for all :

- **Select plastic modes**  $\mu^{(k)}$  on the unit-cell.  $\mu^{(k)} \equiv$  extracted (**Karhunen-Loeve**) from computational results for representative loadings on the unit-cell only...
- **Compute several tensors representing the elastic interactions between modes.** **Linear elasticity problems** to be solved once for all.

#### 2. Simulation of the response of a macroscopic material point

$$\bar{\boldsymbol{\sigma}}(t) = \langle \boldsymbol{\sigma} \rangle = \tilde{\mathbf{L}} : \bar{\boldsymbol{\varepsilon}}(t) + \sum_{k=1}^M \langle \boldsymbol{\rho}^{(k)} \rangle \varepsilon_k^p(t), \quad \dot{\varepsilon}_k^p(t) = G(\{\varepsilon^p\}_\ell(t), \dot{\bar{\boldsymbol{\varepsilon}}}(t)),$$

## B. Structural problems :

- Incorporate the NTFA constitutive equations in a FEM code (internal variables).
- fields (post-processing) :

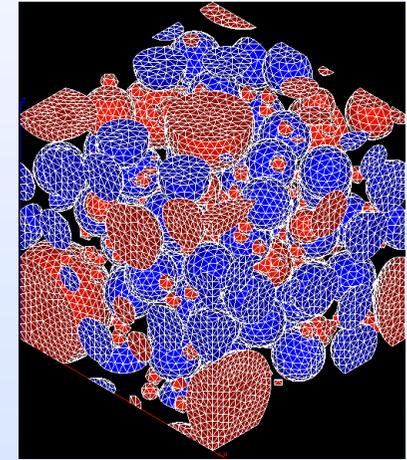
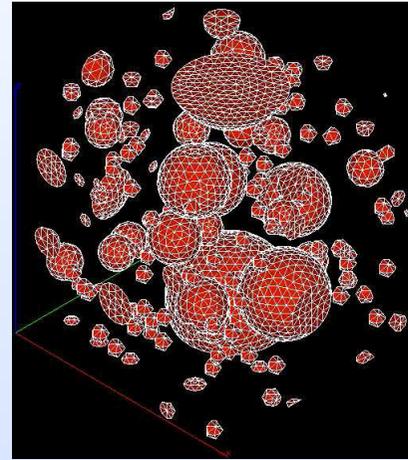
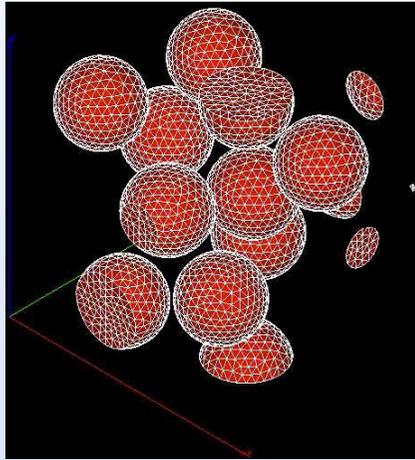
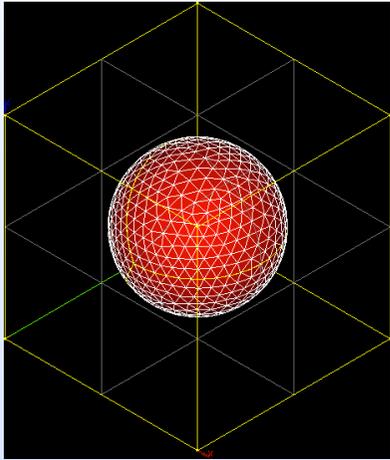
$$\begin{aligned}\varepsilon^p(\mathbf{x}, t) &= \sum_{k=1}^M \varepsilon_k^p(t) \boldsymbol{\mu}^{(k)}(\mathbf{x}), \\ \boldsymbol{\varepsilon}(\mathbf{x}, t) &= \mathbf{A}(\mathbf{x}) : \bar{\boldsymbol{\varepsilon}}(t) + \sum_{k=1}^M \mathbf{D} * \boldsymbol{\mu}^{(k)}(\mathbf{x}) \varepsilon_k^p \\ \boldsymbol{\sigma}(\mathbf{x}, t) &= \mathbf{L}(\mathbf{x}) : \mathbf{A}(\mathbf{x}) : \bar{\boldsymbol{\varepsilon}}(t) + \sum_{k=1}^M \boldsymbol{\rho}^{(k)}(\mathbf{x}) \varepsilon_k^p(t),\end{aligned}$$

where  $\boldsymbol{\rho}^{(k)}(\mathbf{x}) = \mathbf{L}(\mathbf{x}) : \left( \mathbf{D} * \boldsymbol{\mu}^{(k)} \right) (\mathbf{x}) - \mathbf{L}(\mathbf{x}) : \boldsymbol{\mu}^{(k)}(\mathbf{x})$ .

**LINEAR localization relations !**

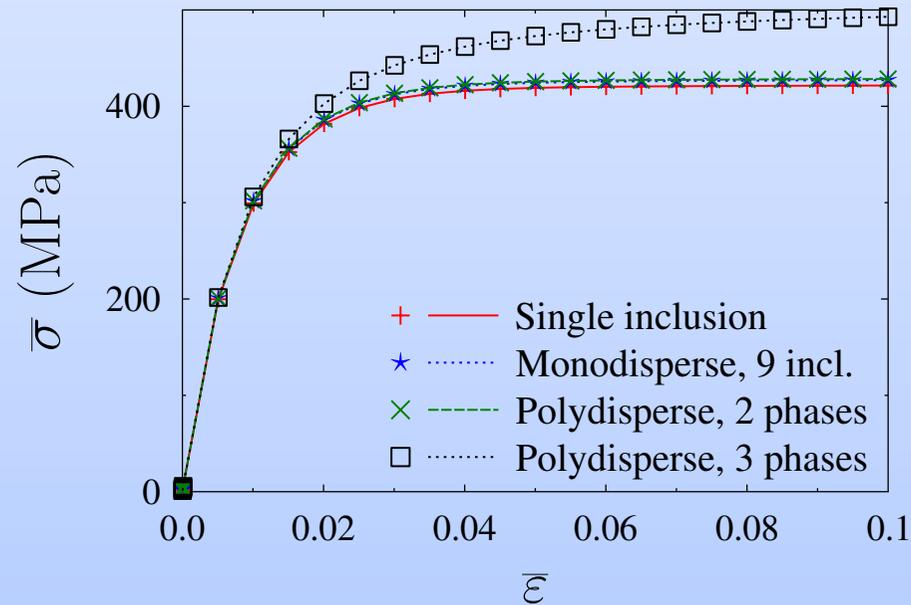
# 1. MoX : effective constitutive relations

Full-Field simulations. Monodisperse, polydisperse ? How many phases, 2, 3 ?



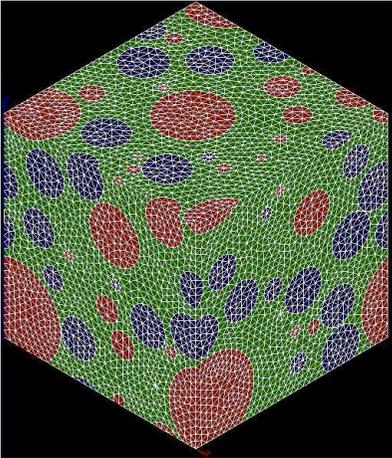
2-phase	E (GPa)	$\nu$ (-)	$\mu$ (GPa.s)
Pu clusters	200	0.3	21.43
Matrix	200	0.3	64.30

3-phase	E (GPa)	$\nu$ (-)	$\mu$ (GPa.s)
Pu clusters	200	0.3	21.43
U clusters	200	0.3	158.83
Matrix	200	0.3	52.94

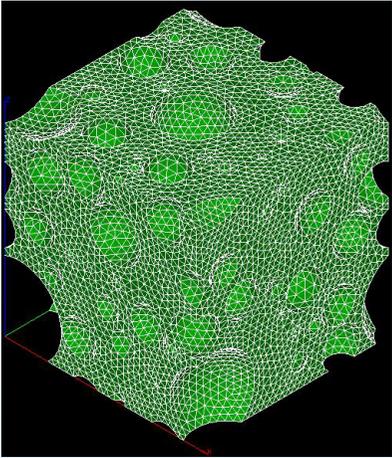


**3rd phase does matter !**

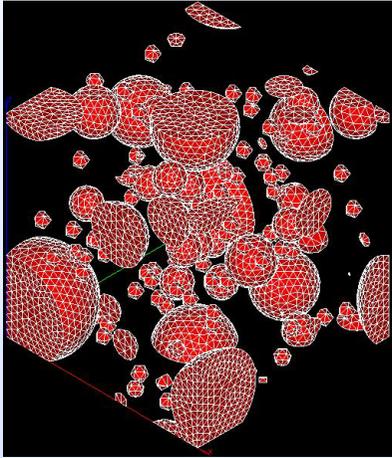
# Which polydisperse, 3-phase, microstructure ?



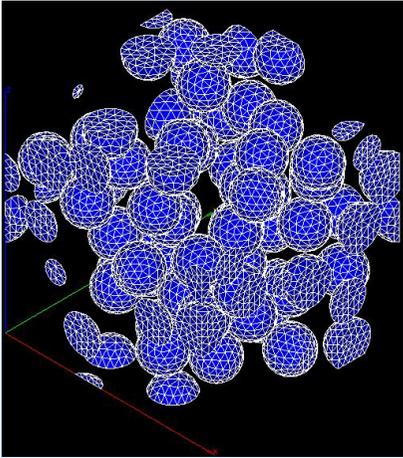
VER



Matrix

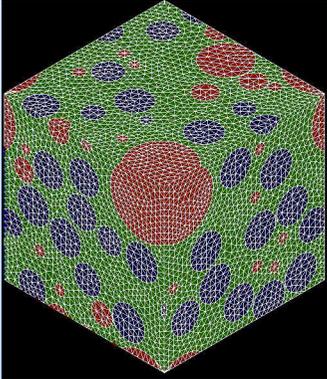
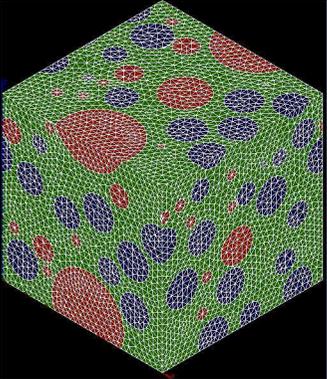
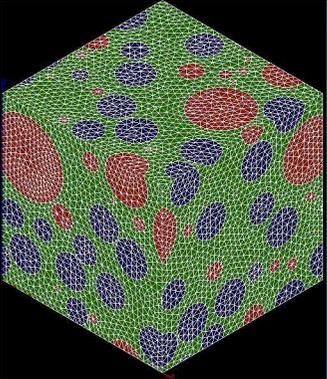
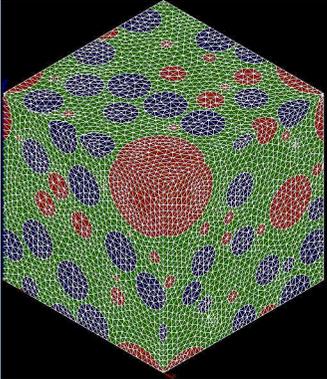
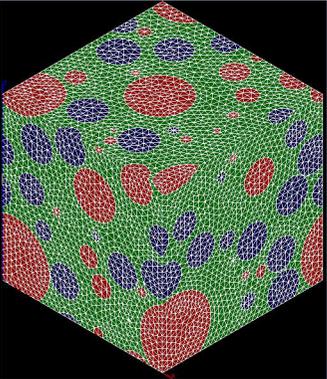


Pu clusters



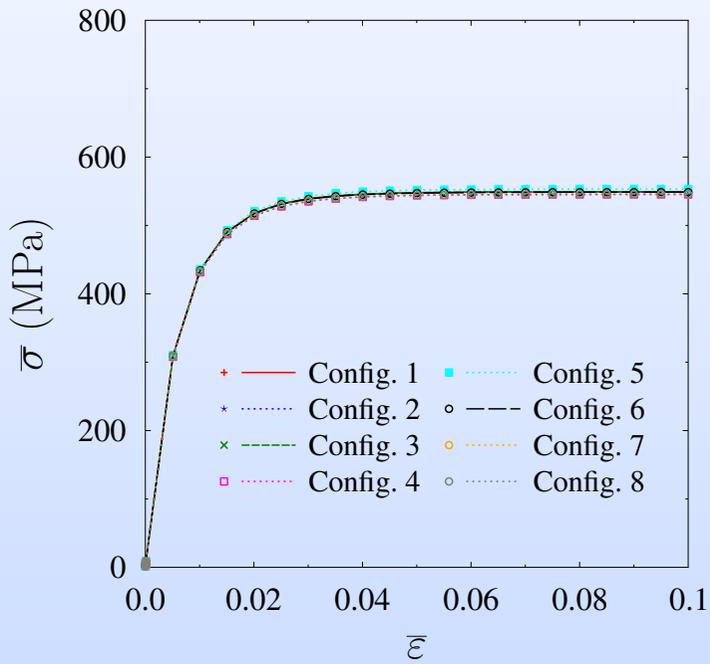
U clusters

## 8 different configurations



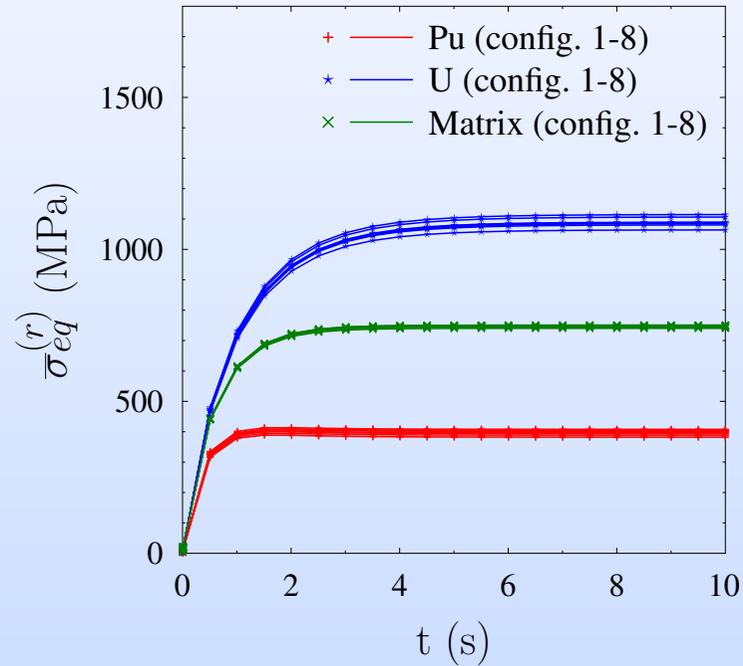
.....

# Is the volume element representative ?



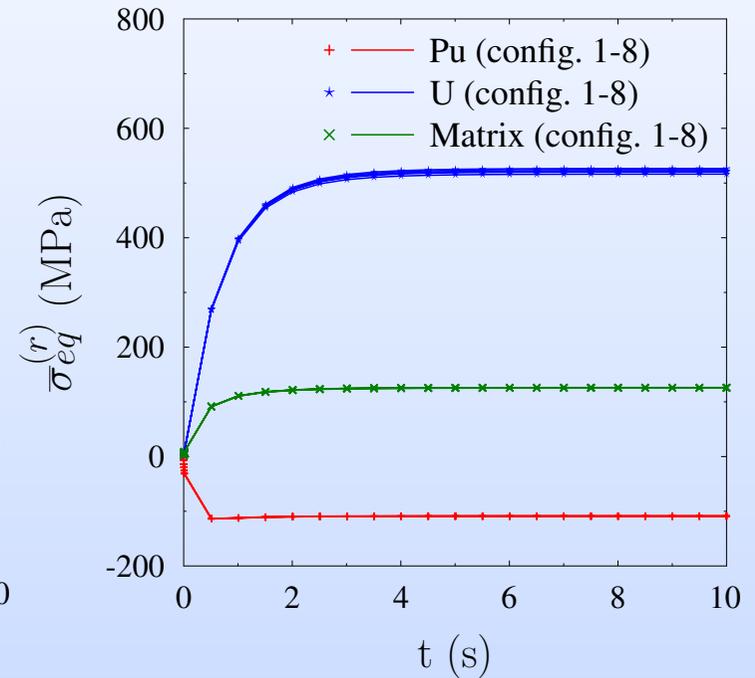
Effective response

$$\bar{\sigma}$$



Average per phase

$$\bar{\sigma}_{eq}^{(r)}$$



Average per phase

$$\bar{\sigma}_m^{(r)}$$

**1 configuration is enough !**

## NTFA. Mode Selection (Karhunen-Loeve)

Modes  $\mu^{(k)}$ 's generated from **pre-computed plastic strain-fields along "well-chosen" elementary loading directions (relevant to the problem at hand) on the unit-cell**

- **Numerical simulations** of the response of the RVE along 7 elementary loading paths :

$$\Sigma_0^{(1)} = \mathbf{e}_1 \otimes_s \mathbf{e}_1 \text{ (uniaxial tension), } \Sigma_0^{(2)} = \mathbf{e}_1 \otimes_s \mathbf{e}_2 \text{ (pure shear)..., } \boldsymbol{\varepsilon}^{swelling} = \mathbf{0},$$

$$\Sigma_0^{(7)} = \mathbf{0}, \quad \boldsymbol{\varepsilon}^{swelling} = f^{(r)} \mathbf{i} \quad \text{in phase } r.$$

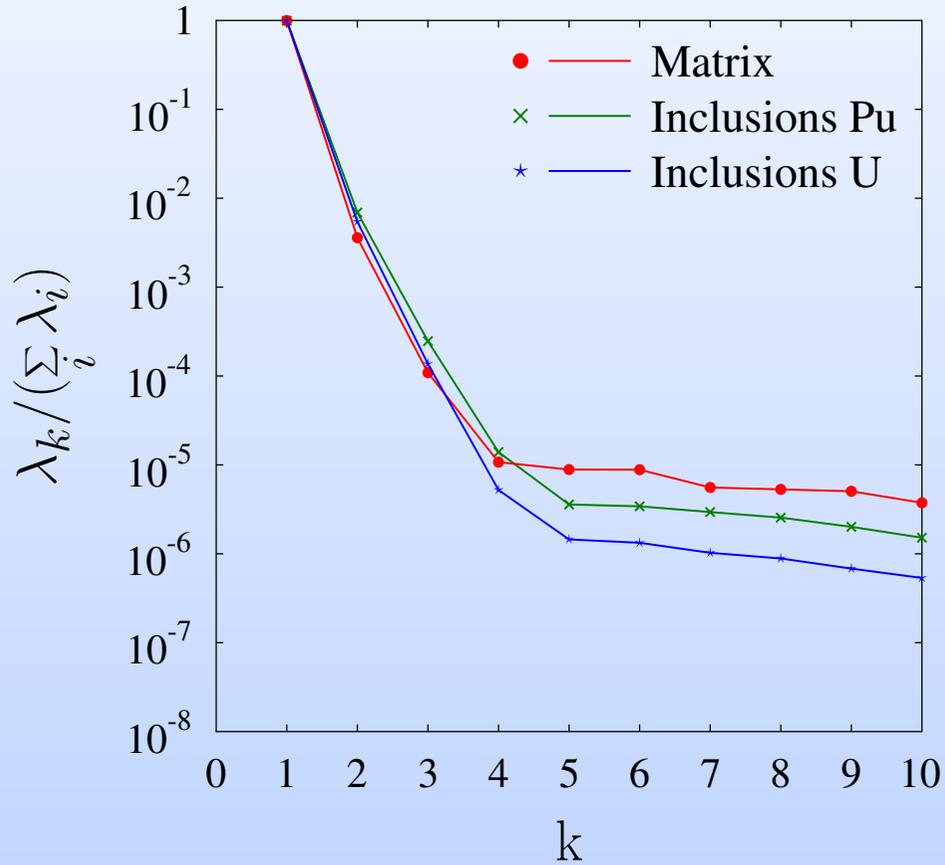
- **Select snapshots**  $\boldsymbol{\theta}^{(i)}$  of the anelastic strain field (25 snapshots for each loading path here).

$$\boldsymbol{\mu}^{(k)}(\mathbf{x}) = \sum_{\ell=1}^{M_T} v_{\ell}^{(k)} \boldsymbol{\theta}^{(\ell)}(\mathbf{x}),$$

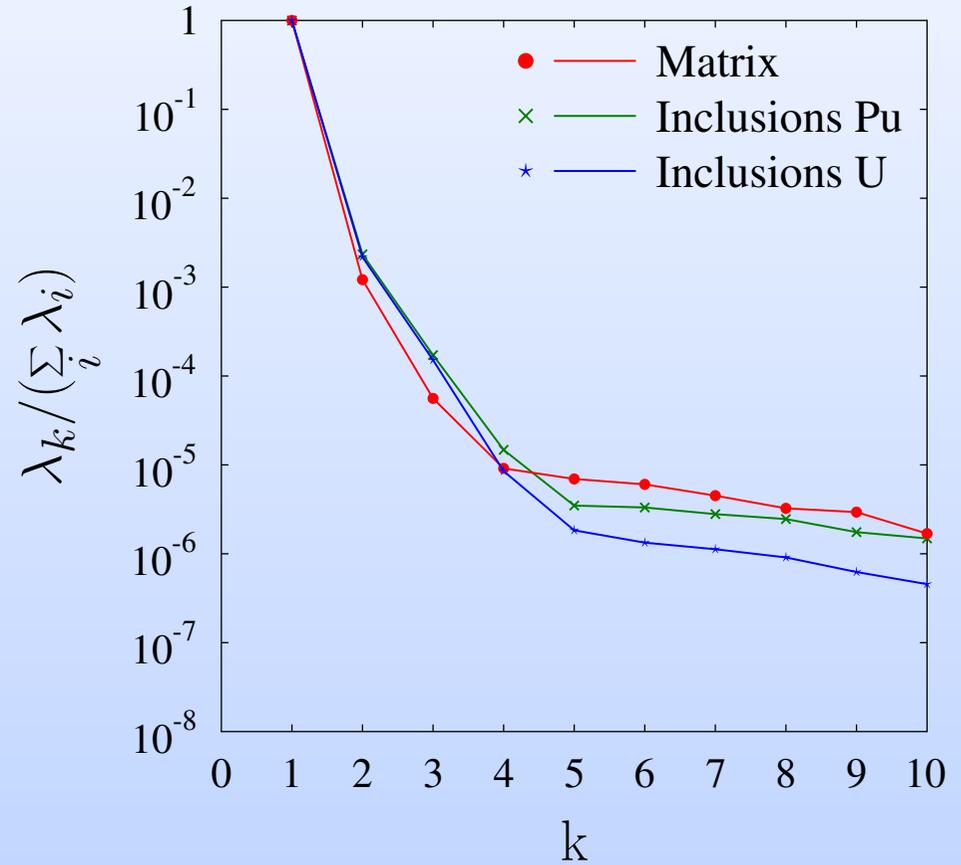
- where  $v^{(k)}$  **eigenvectors of the correlation matrix :**

$$\sum_{j=1}^{M_T} g_{ij} v_j^{(k)} = \lambda_k v_i^{(k)}, \quad g_{ij} = \langle \boldsymbol{\theta}^{(i)} : \boldsymbol{\theta}^{(j)} \rangle,$$
$$\langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\mu}^{(\ell)} \rangle = 0 \quad k \neq \ell, \quad \langle \boldsymbol{\mu}^{(k)} : \boldsymbol{\mu}^{(k)} \rangle = \lambda_k$$

- Extract the modes corresponding to a specified information content.  $10^{-4}$  here.



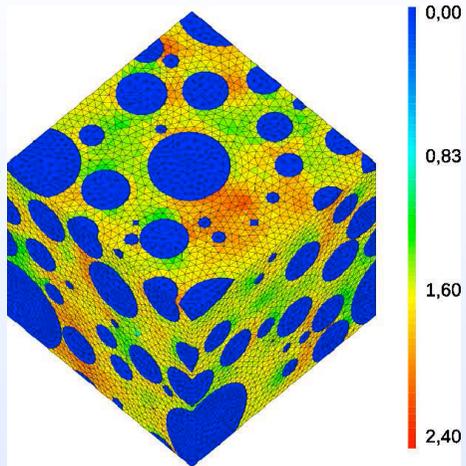
Linear matrix  $n = 1$



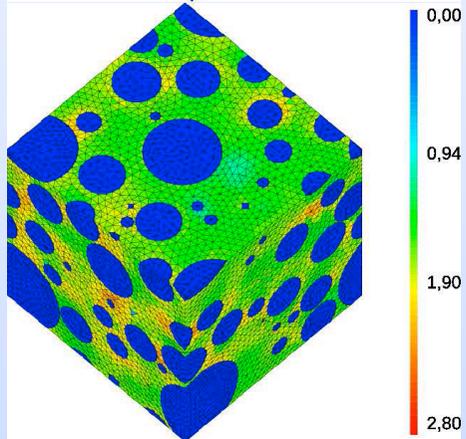
Nonlinear matrix  $n = 4$

# Modes

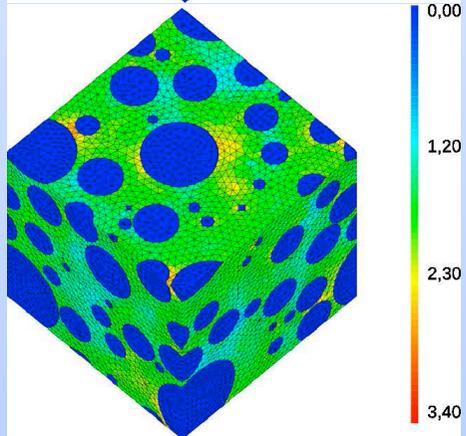
Mode 1



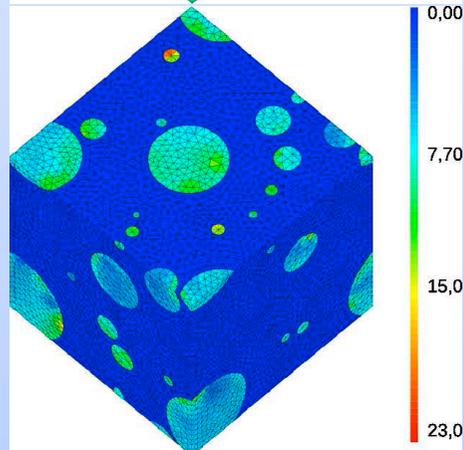
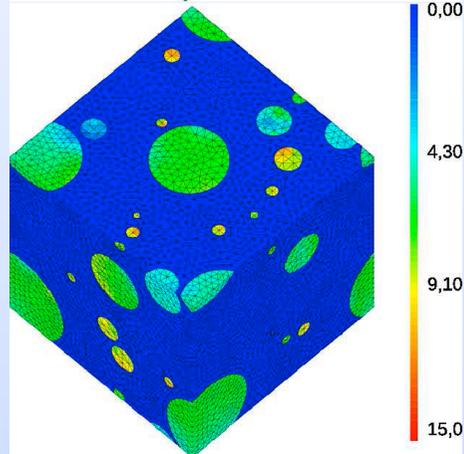
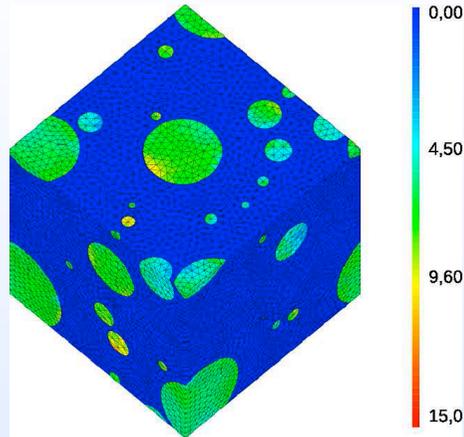
Mode 2



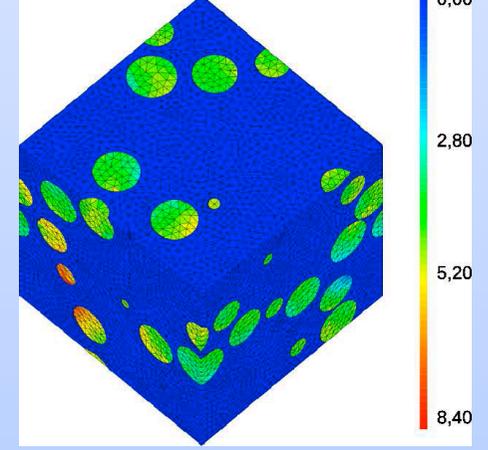
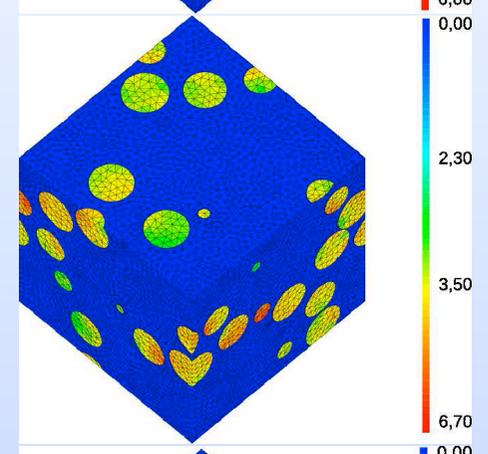
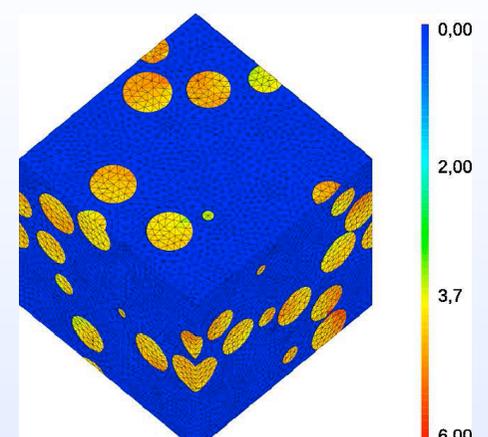
Mode 3



Matrix



Pu clusters

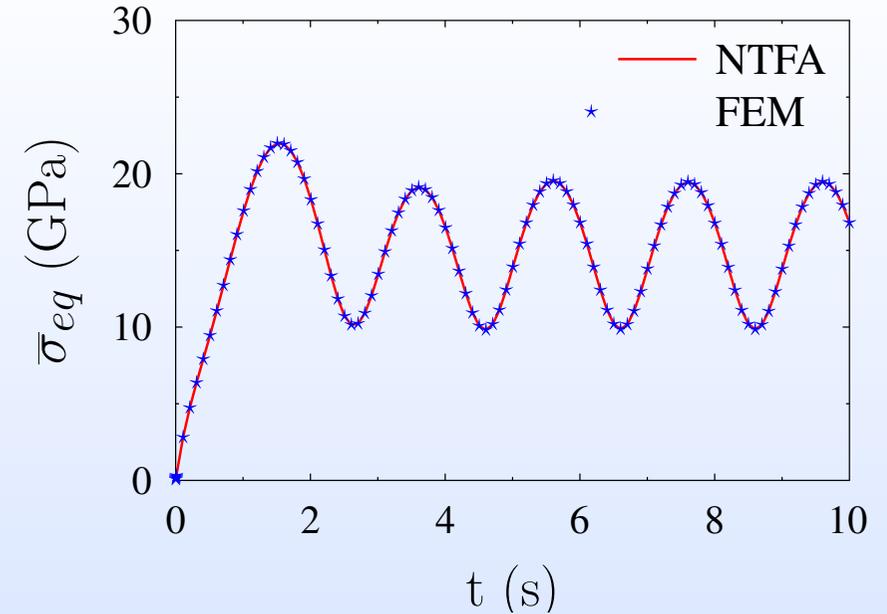


U clusters

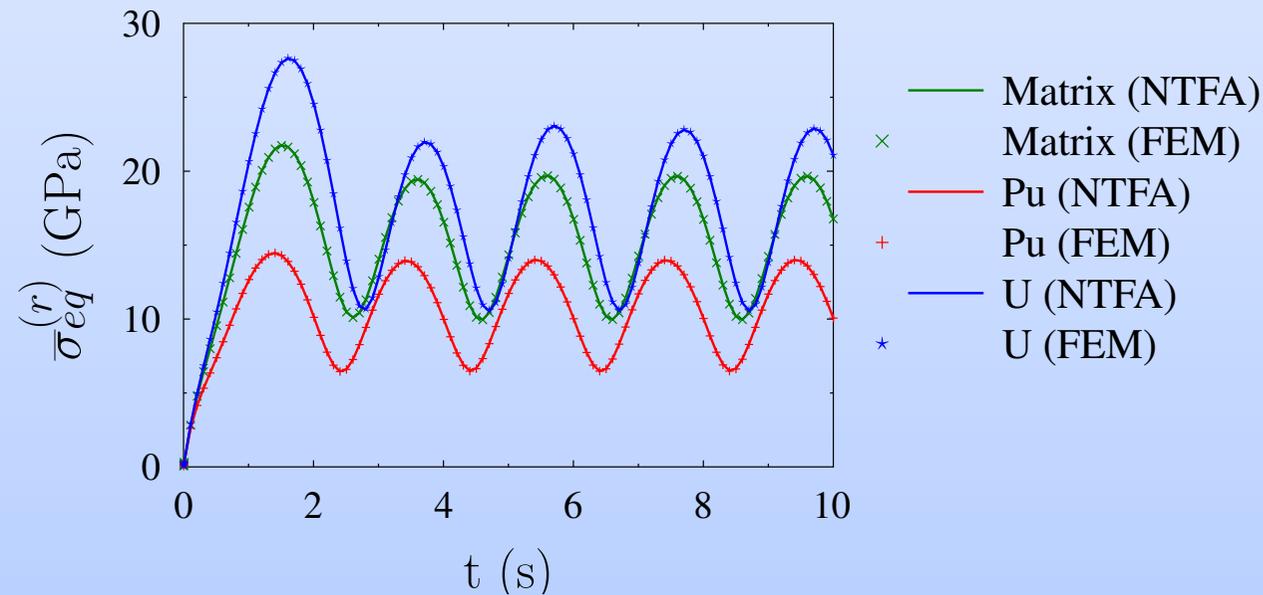
## Complex loading (tension+shear) with rotation of principal axes

$$\bar{\varepsilon}_{11}(t) = 10^{-1} \sin \omega t,$$

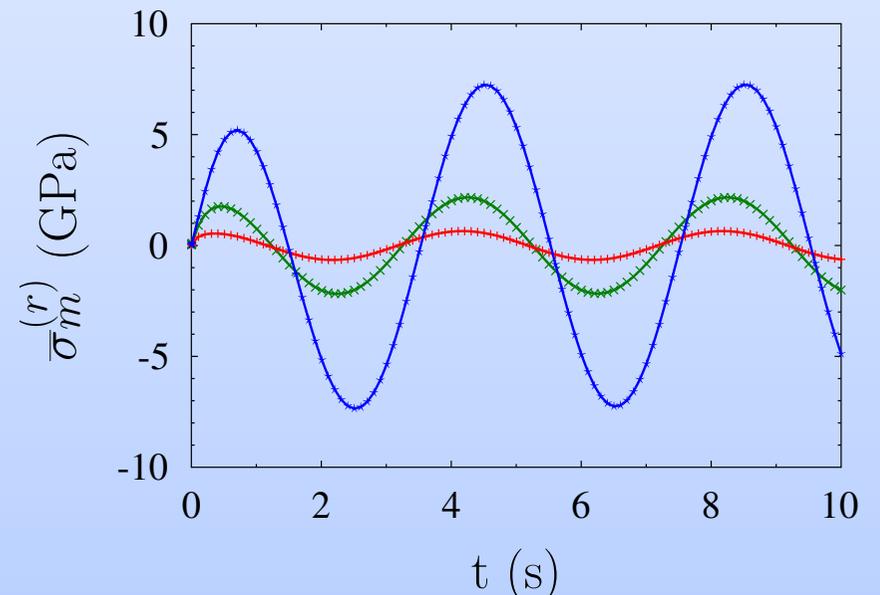
$$\bar{\varepsilon}_{12}(t) = 10^{-1}(1 - \cos \omega t).$$



Effective response



Average per phase  $\bar{\sigma}_{eq}^{(r)}$



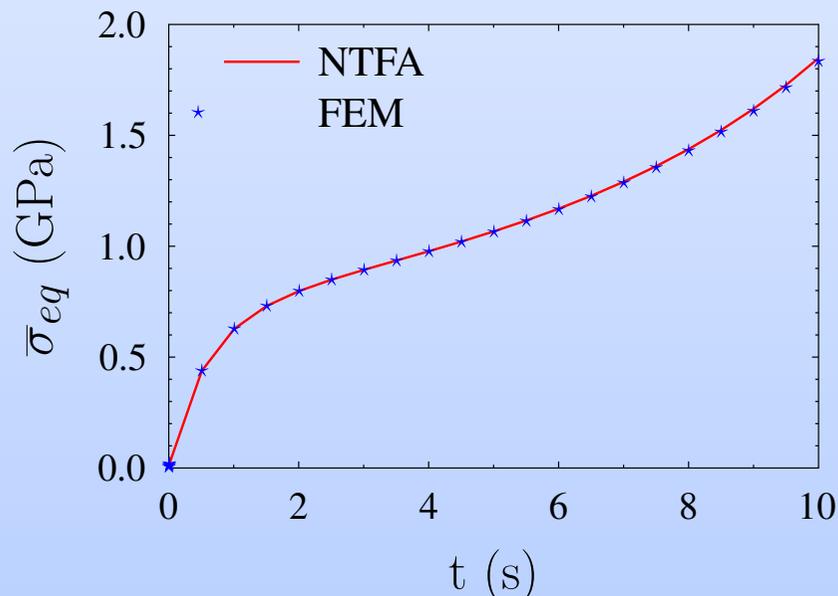
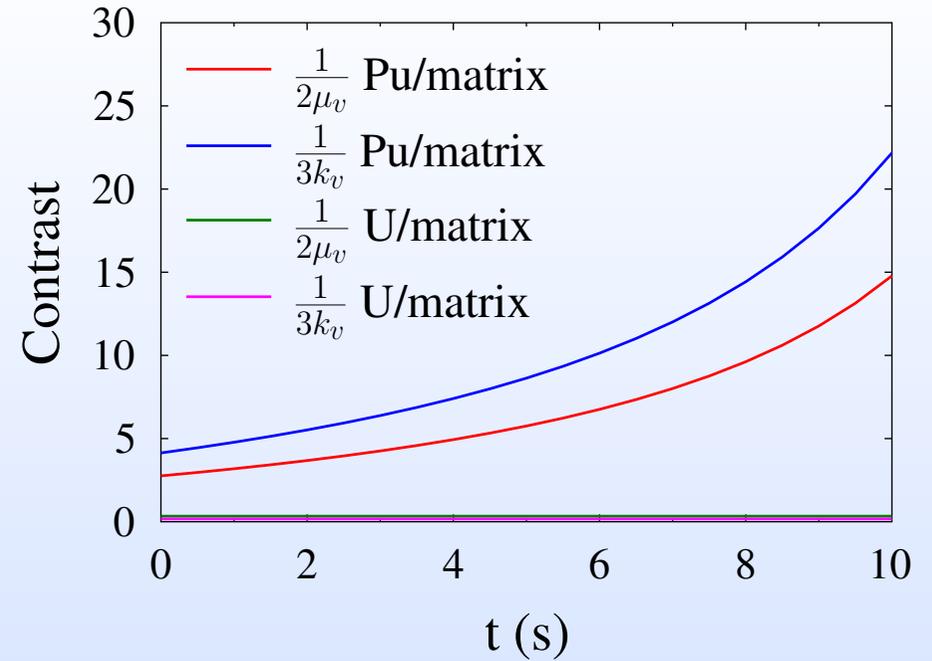
Average per phase  $\bar{\sigma}_m^{(r)}$

# Aging materials

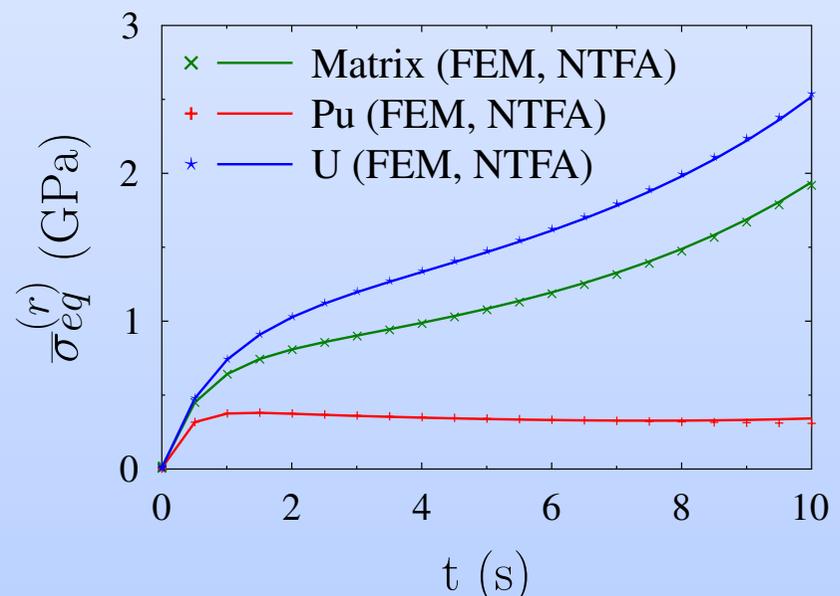
Time-dependent viscosities (bulk and shear)  $k_v, \mu_v$  in Pu.

Modes computed once for all.

Loading (tension+shear) at constant strain-rate + swelling.

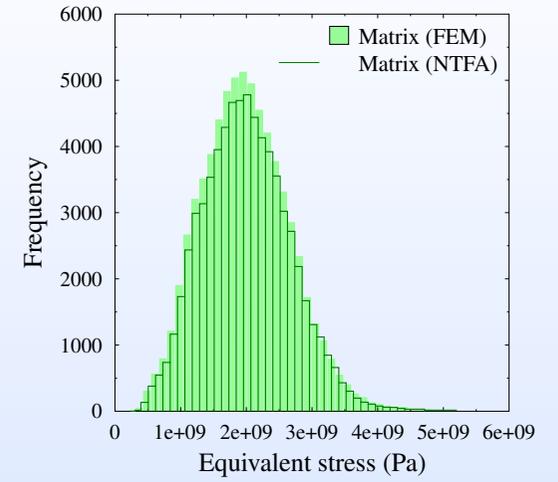
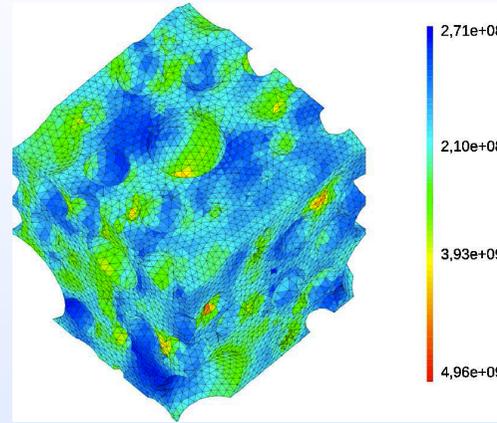
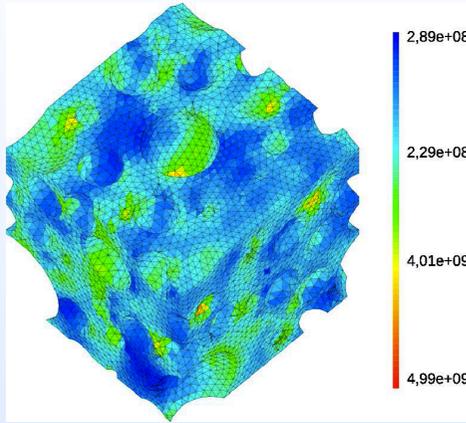


Effective response

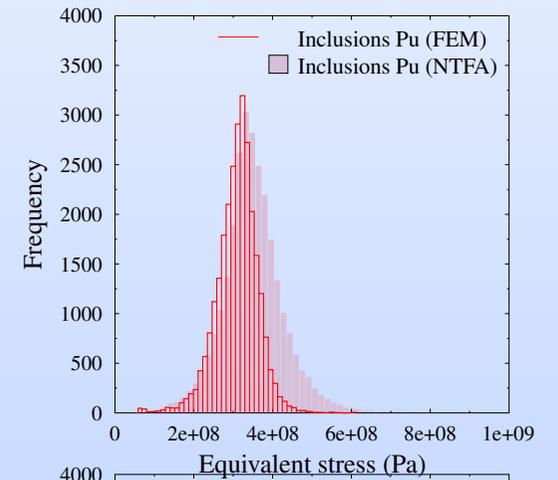
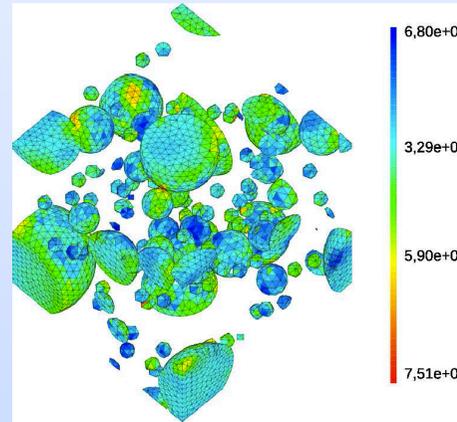
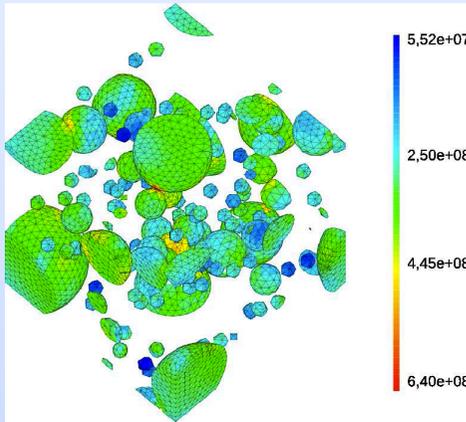


Average per phase

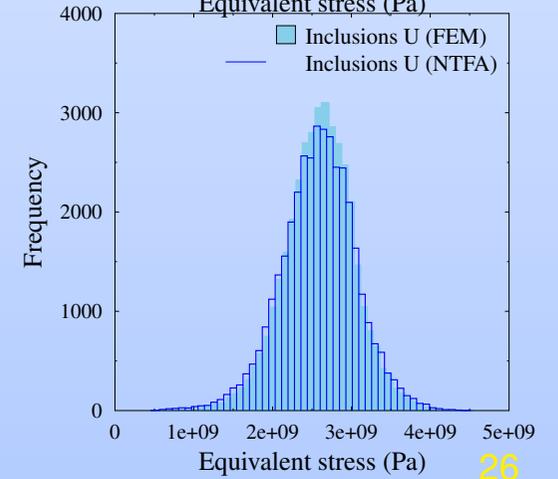
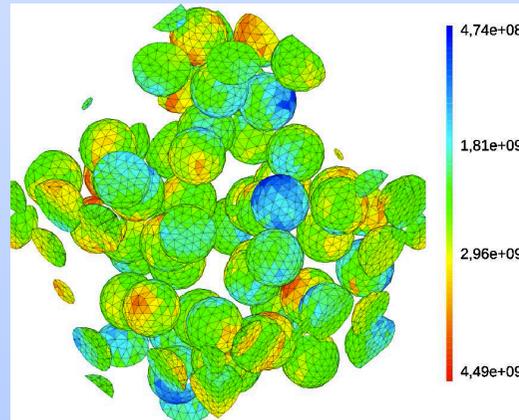
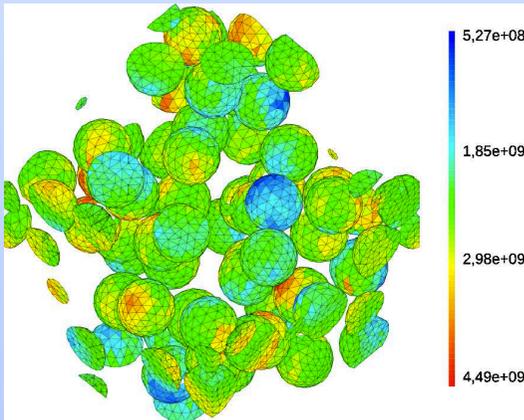
Matrix



Pu



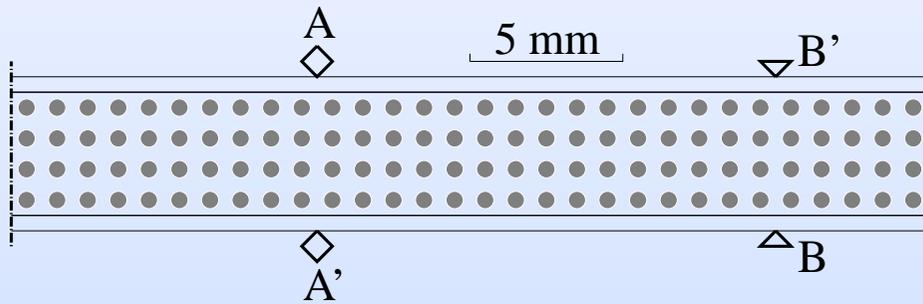
U



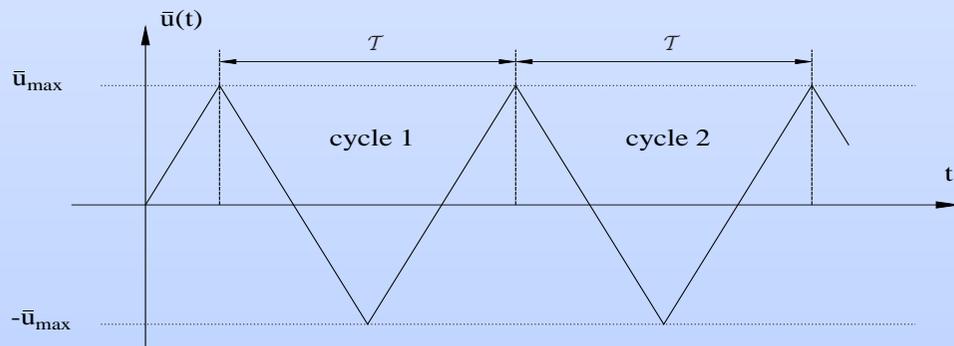
FEM

NTFA

## 2. Structural problem

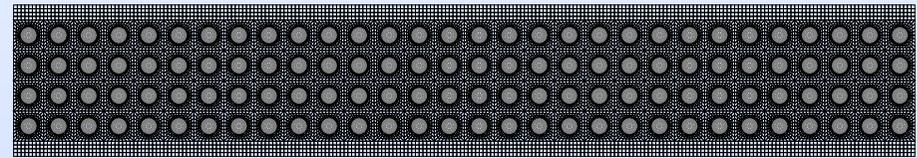


### Geometry.

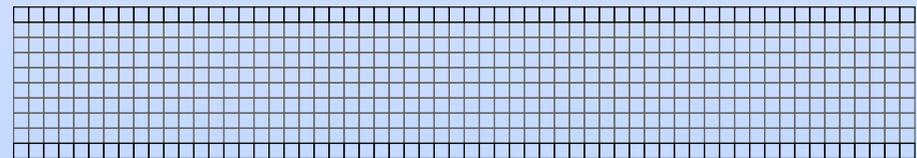


### Loading :

### Meshes



### Full-field



### NTFA

## Modes

- **Plane problem : 3 directions of macroscopic stress** : horizontal tension, transverse shear, vertical tension.

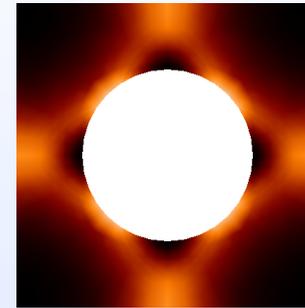
- **Pre-computations with loading-unloading cycles** :

For each loading case  $\bar{\varepsilon}(t)$  along the applied stress cycled between  $\pm 0.0025$ ,

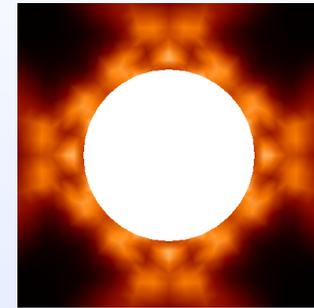
$$\dot{\bar{\varepsilon}} = \pm 10^{-3} \text{ s}^{-1}.$$

$\theta^{(i)}$  : plastic strain field at every quarter of each cycle.

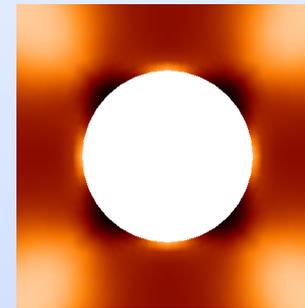
- **5 Karhunen-Loeve modes are generated.**



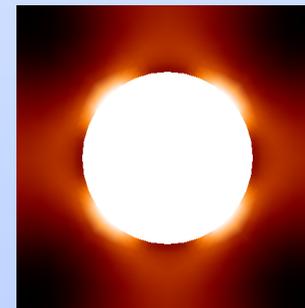
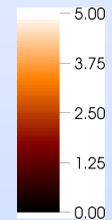
$\mu_{\text{eq}}^{(1)}$



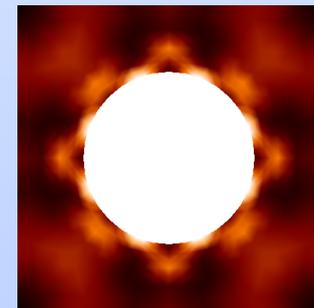
$\mu_{\text{eq}}^{(4)}$



$\mu_{\text{eq}}^{(2)}$



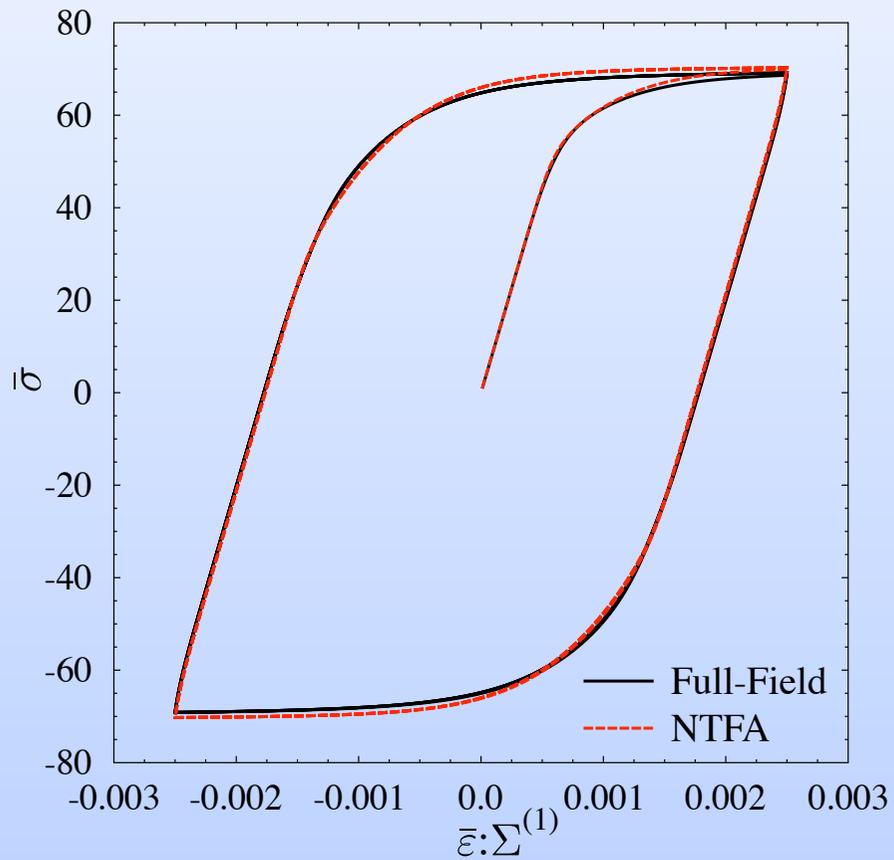
$\mu_{\text{eq}}^{(3)}$



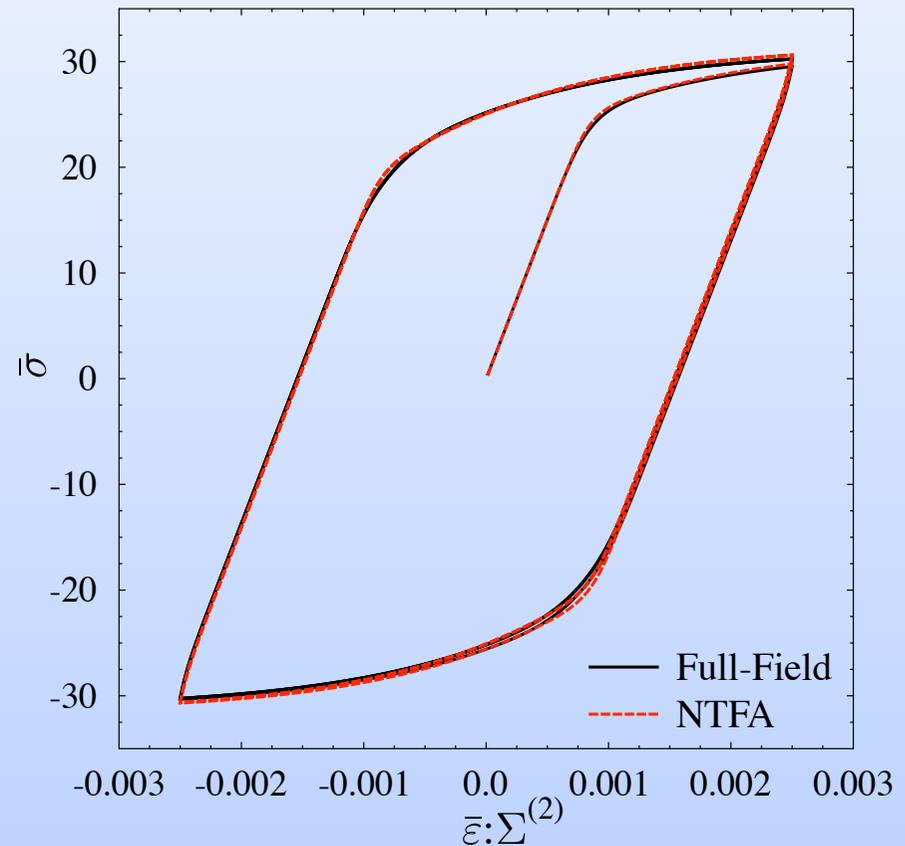
$\mu_{\text{eq}}^{(5)}$

# Material response (unit-cell level)

## Macroscopic stress-strain response

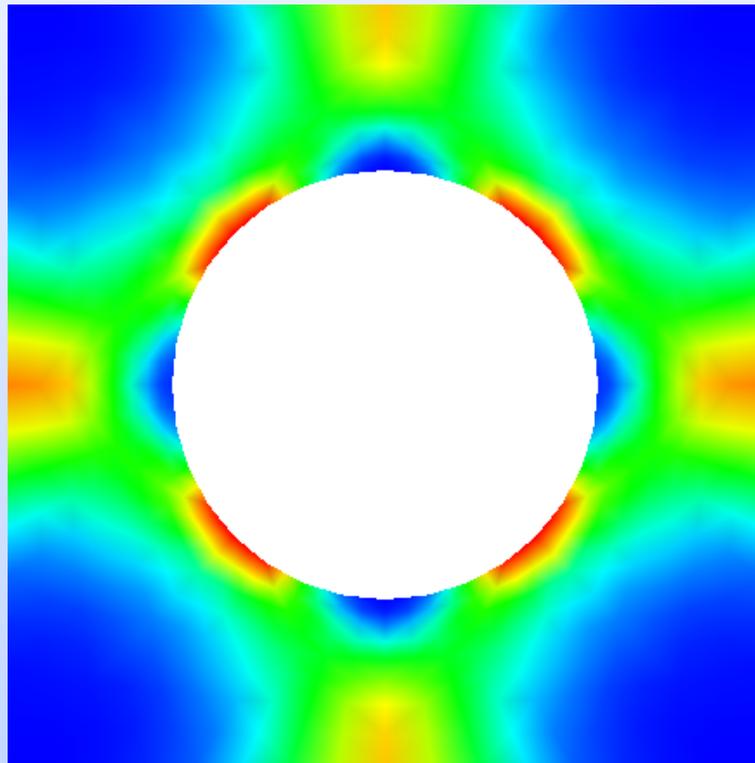


**Uniaxial tension**

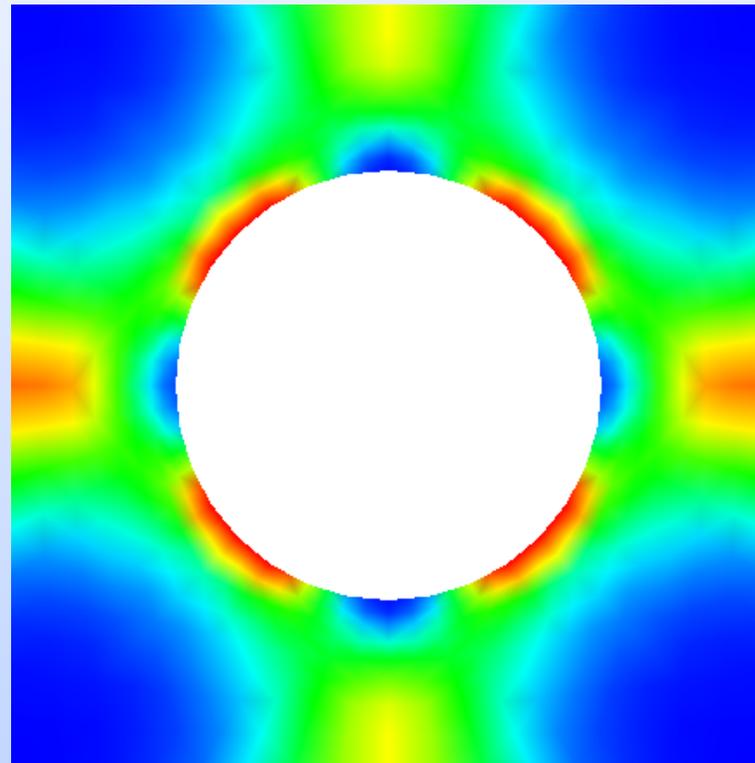


**Shear**

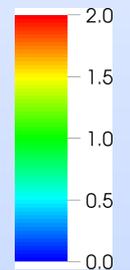
# Snapshot of the energy dissipated along the stabilized cycle (unit-cell)



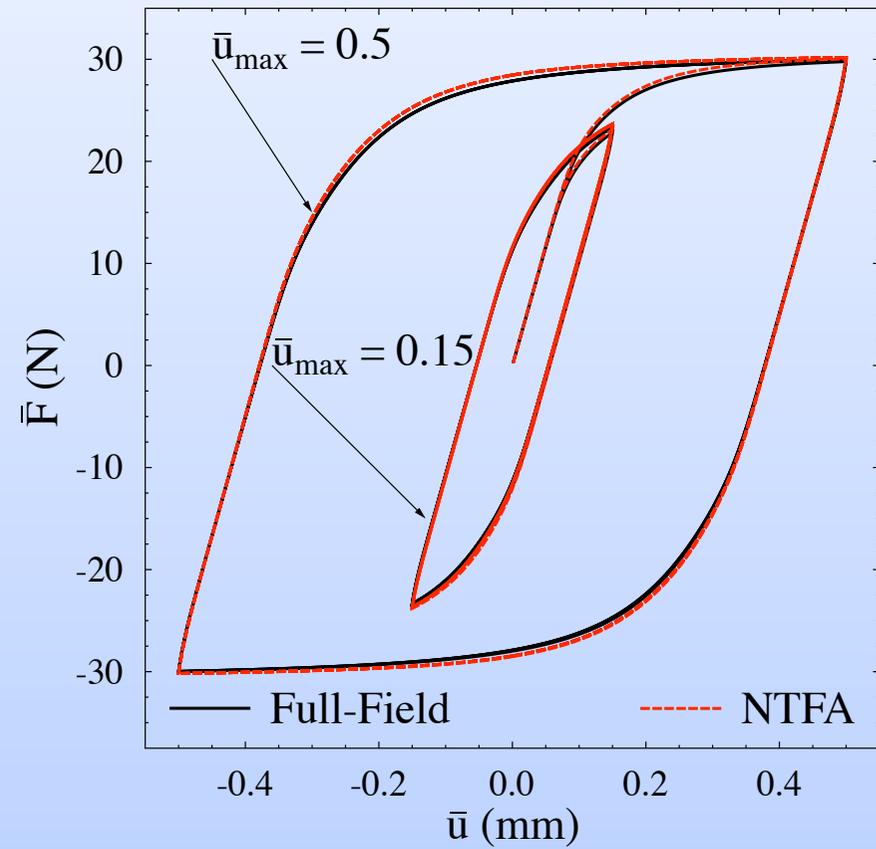
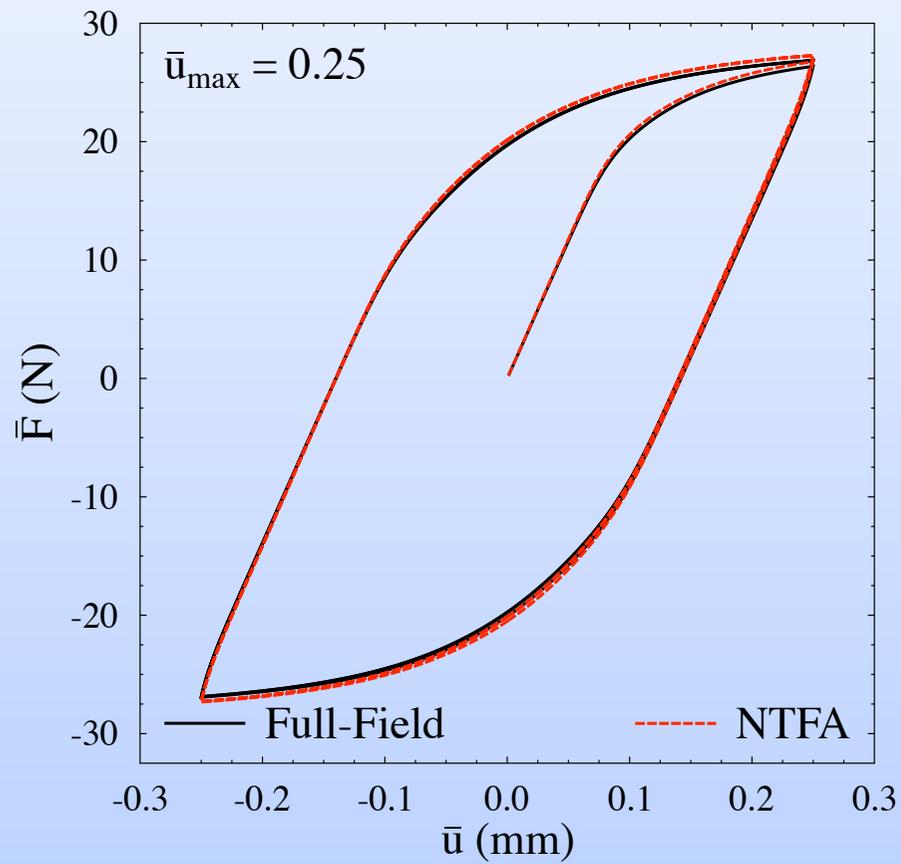
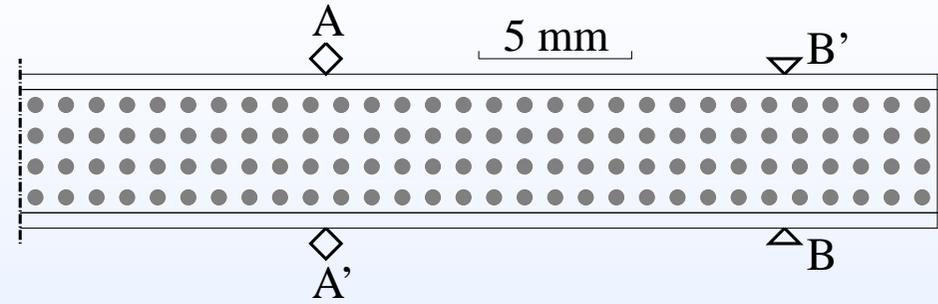
Reference FEM



NTFA



## Structural response (entire beam)

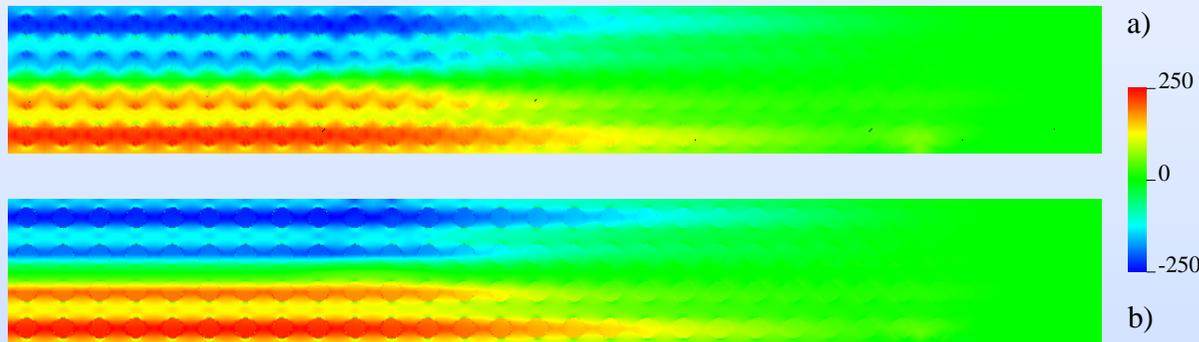


Force/displacement at points A, A'

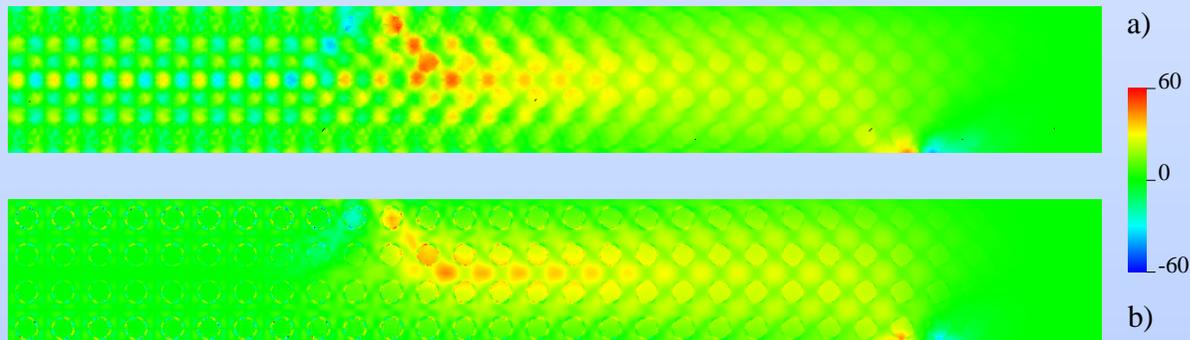
## Local stress fields

$$\sigma(\mathbf{X}, t) = \mathbf{L}(\mathbf{x}) : \mathbf{A}(\mathbf{x}) : \bar{\varepsilon}(\mathbf{X}, t) + \sum_{k=1}^M \rho^{(k)}(\mathbf{x}) \varepsilon_k^p(\mathbf{X}, t), \quad \mathbf{x} = \frac{\mathbf{X}}{\varepsilon}.$$

$\mathbf{X}$  = macroscopic variable (structure),  $\mathbf{x}$  microscopic variable (unit-cell).



- a)  $\sigma_{11}$  Exact.
- b)  $\sigma_{11}$  Re-localized stress field from the reduced computation.

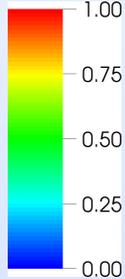
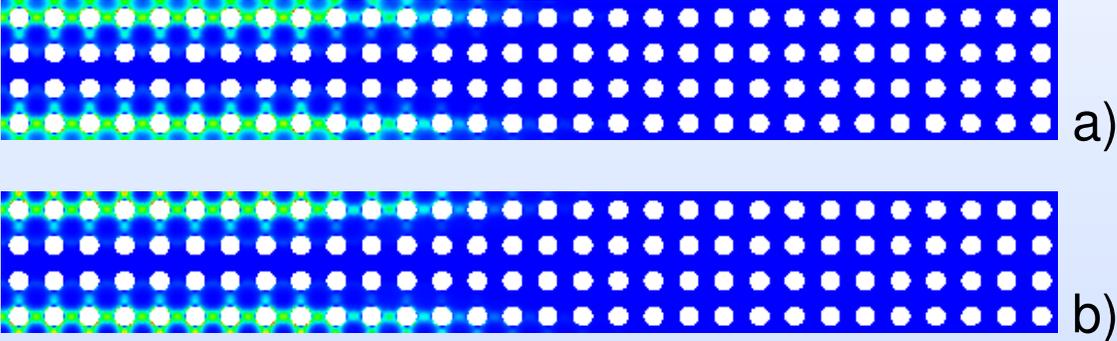


- a)  $\sigma_{12}$  Exact.
- b)  $\sigma_{12}$  Re-localized stress field from the reduced computation.

**Post-processing of the computation with homogenized model only !**

# Snapshot of the energy dissipated along the stabilized cycle

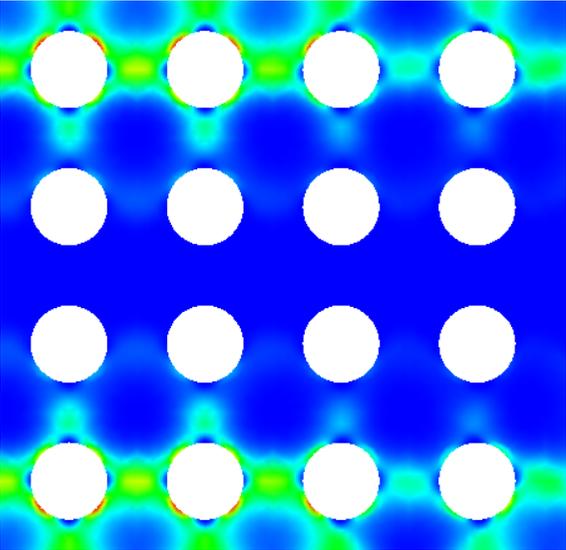
Michel, Suquet, IC Press 2007



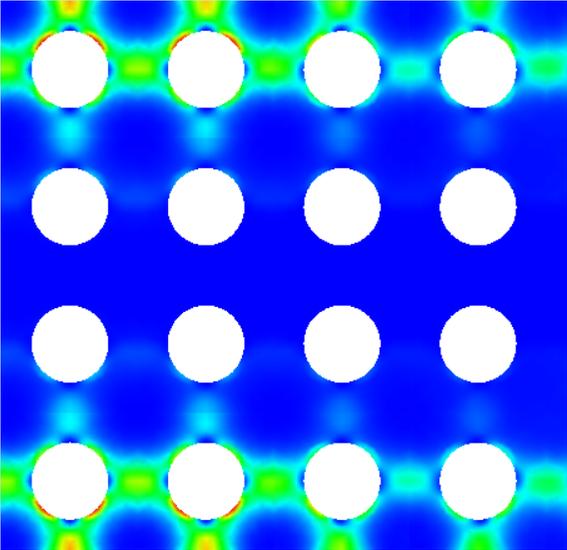
a) Full-Field

b) NTFA + Relocalization

$$\bar{u}_{\max} = 0.25 \text{ mm}$$



a)



b)

Zoom

$$w_{\max}^{\text{NTFA}} \approx 1.2 w_{\max}^{\text{ref}}$$

## Conclusion

- **In nonlinear composites** intraphase strain heterogeneity is very important.
- **Elementary patterns or modes of deformation are observed.**
- **These "modes" are the reduced basis for a reduced "homogenized" constitutive model**
- **Localization is a linear operation, even for nonlinear constituents.**